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# (12) United States Patent

## Lin et al.

### (54) NANOPARTICLES FOR CHEMOTHERAPY, TARGETED THERAPY, PHOTODYNAMIC THERAPY, IMMUNOTHERAPY, AND ANY COMBINATION THEREOF

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### (57) **ABSTRACT**

Prodrugs containing lipid moieties attached to drug derivatives, such as anti-cancer drug derivatives, via linkers comprising disulfide groups are described. Also described are nanoparticles coated with a lipid layer containing the prodrugs, formulations comprising the nanoparticles, and the use of the nanoparticles in methods of treating diseases, such as cancer, alone or in combination with additional drug compounds, targeting agents, and/or immunotherapy agents, such as immunosuppression inhibitors that target the CTLA-

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4, PD-1/PD-L1, IDO, LAG-3, CCR-7 or other pathways, or multiple immunosuppression inhibitors targeting a combination of such pathways. Optionally, the nanoparticles can comprise a photosensitizer or a derivative thereof and can be used in methods involving photodynamic therapy. Synergistic therapeutic effects result from combinations of multiple modalities provided by the disclosed nanoparticles and/or nanoparticle formulations.

### 34 Claims, 50 Drawing Sheets

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\* cited by examiner



FIG. 1A

FIG. 1B









FIG. 4



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FIG. 9B



FIG. 9A





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FIG. 15





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FIG. 21





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FIG. 23B



FIG. 24A

FIG. 24B




FIG. 26

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FIG. 30A

FIG. 30B









FIG. 32A

FIG. 32B









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FIG. 348















FIG. 368









FIG. 38A

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# NANOPARTICLES FOR CHEMOTHERAPY, TARGETED THERAPY, PHOTODYNAMIC THERAPY, IMMUNOTHERAPY, AND ANY COMBINATION THEREOF

### RELATED APPLICATIONS

The presently disclosed subject matter claims the benefit of U.S. Provisional Patent Application Ser. No. 62/339,594, filed May 20, 2016, the disclosure of which is incorporated <sup>10</sup> herein by reference in its entirety.

### GOVERNMENT INTEREST

This invention was made with government support under <sup>15</sup> Grant No. U01 CA198989 awarded by the National Institutes of Health. The government has certain rights in the invention.

### TECHNICAL FIELD

The presently disclosed subject matter provides prodrugs (e.g., prodrugs of chemotherapeutic agents) comprising drug moieties bound to lipid moieties via disulfide-containing linkers. The presently disclosed subject matter also provides 25 nanoparticles comprising the prodrugs. The nanoparticles can comprise, for example, a lipid coating layer containing the prodrug and a nanoscale coordination polymer (NCP) nanoparticle core, which can itself optionally comprise a chemotherapeutic agent analogue or prodrug or a combina-30 tion of two chemotherapeutic agent analogues or prodrugs. The nanoparticles can be further combined with photosensitizers for photodynamic therapy (PDT), targeting agents, and/or immunotherapy agents, such as immunosuppression inhibitors. Thus, the nanoparticle-based compositions of the 35 presently disclosed subject matter can provide synergistic anti-cancer effects by combining multiple treatment modalities in a variety of cancers.

### ABBREVIATIONS

° C.=degrees Celsius %=percentage µl=microliter µM=micromolar Chol=cholesterol cm=centimeter CPT=camptothecin CRT=calriticulin DCM=dichloromethane DHA=dihydroartemisinin DLS=dynamic light scattering DMF=dimethylformamide DOPA=dioleoyl-sn-glycero-3-phosphate DOPC=1,2-dioleoyl-sn-glycero-3-phosphate sodium salt 55 DSPE-PEG<sub>2k</sub>=1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[amino(polyethylene glycol)2000] ET=etoposide EtOH=ethanol g=gram h=hour IC<sub>50</sub>=fifty percent inhibitory concentration ICP-MS=inductively coupled plasma-mass spectrometry kg=kilogram M=molar mg=milligram

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min=minute mL=milliliter mM=millimolar mmol=millimole MOF=metal-organic framework MTX=mitoxantrone MW=molecular weight NCP=nanoscale coordination polymer NIR=near infrared nm=nanometer nmol=nanomoles NMR=nuclear magnetic resonance OA=oleic acid OX=oxaliplatin PBS=phosphate buffered saline PDI=polydispersity index PD-L1=programmed death-ligand 1 PDT=photodynamic therapy PEG=polyethylene glycol pmol=picomoles PS=photosensitizer Pt=platinum PTX=paclitaxel PVP=polyvinylpyrrolidone RES=reticuloendothelial system rpm=revolutions-per-minute SBU=secondary building units sec=seconds SOSG=singlet oxygen sensor green TEM=transmission electron microscopy TFA=trifluoroacetic acid THF=tetrahydrofuran Zn=zinc

### BACKGROUND

Photodynamic therapy (PDT), where a systemic or locally administrated photosensitizer (PS) is excited by local light irradiation to produce reactive oxygen species (ROS), can 40 selectively kill tumor cells while preserving adjacent normal tissue. PDT does not incur cross resistance with radiotherapy or chemotherapy, and therefore, can be useful in the treatment of cancer patients who have failed radiotherapy and chemotherapy. PDT provokes a strong acute inflammatory 45 reaction observed as localized edema at the targeted site. The inflammation elicited by PDT is a tumor antigen nonspecific process orchestrated by the innate immune system. PDT is particularly effective in rapidly generating an abundance of alarm/danger signals, such as damage-associated molecular 50 patterns (DAMPs), at the treated site that can be detected by the innate immunity alert elements. PDT can enhance antitumor immunity via stimulating dendritic cells by dead and dying tumor cells, leading to the recruitment and activation of CD8+ cytotoxic T cells (CTLs) followed by the formation of immune memory cells and resistance to subsequent tumor growth. When combined with other immunotherapeutic agents, not only the effective eradication of a primary tumor, but also suppression/eradication of a distant metastatic tumor or tumors can be accomplished.

60 Some small molecule chemotherapeutics, including oxaliplatin, paclitaxel, daunorubicin, docetaxel, doxorubicin, cyclophosphamide, dihydroartemisinin, and mitoxanthrone, can efficiently cause immunogenic cell death. Some chemotherapeutics are known to be immune-stimulatory. However,

65 many small molecule chemotherapeutics are highly hydrophobic, making delivery of the anticancer agents to tumors difficult.

Accordingly, there is an ongoing need to provide prodrugs and/or other drug delivery platforms for chemotherapeutics to improve their delivery (e.g., their targeted delivery). There is also a need for prodrug and/or other drug delivery platforms that can combine chemotherapeutics, such as 5 those chemotherapeutics with known immunogenic effects, with other treatment modalities, such as PDT modalities and/or immunotherapy agents (e.g., immunosuppression therapeutics), to provide enhanced anticancer therapy.

### SUMMARY

In some embodiments, the presently disclosed subject matter provides a prodrug comprising: (a) a monovalent drug moiety; (b) a monovalent lipid moiety; and (c) a 15 bivalent linker moiety comprising a biodegradable bond, wherein the monovalent drug moiety and the monovalent lipid moiety are linked through the linker. Optionally, the monovalent drug moiety is a monovalent derivative of an anticancer drug compound. Further optionally, the monova- 20 lent drug moiety is a monovalent derivative of a drug compound selected from the group comprising Etoposide (ET), Paclitaxel (PTX), OTS964, NLG919, OTS167, OTSC41, dihydroartemisin, Camptothecin (CPT), Doxorubicin, Docetaxel, Vincristine, Mitoxantrone, Artesunate, and 25 Capecitabine. Optionally, the biodegradable bond is a disulfide bond.

In some embodiments, the monovalent lipid moiety is a monovalent derivative of cholesterol, oleic acid, lyso-lipid or phosphocholine. In some embodiments, the monovalent 30 matter provides a method for treating a disease in a patient lipid moiety is a cholesterol derivative and the monovalent lipid moiety and bivalent linker moiety together have the structure:



where R is selected from oleyl, stearyl or palmitoleyl. In some embodiments, the monovalent lipid moiety is a phosphocholine derivative and the monovalent lipid moiety and bivalent linker together have the structure:



In some embodiments, the presently disclosed subject in need of treatment wherein the method comprises the steps of: administering to a patient a prodrug comprising a monovalent drug moiety, a monovalent lipid moiety, and a



In some embodiments, the monovalent lipid moiety is an oleic acid derivative and the monovalent lipid moiety and bivalent linker moiety together have the structure:

bivalent linker moiety comprising a biodegradable bond, optionally wherein administering the prodrug to the patient comprises administering a nanoparticle comprising the



In some embodiments, the monovalent lipid moiety is a 65 prodrug to the patient; and administering to the patient an lyso-lipid derivative and the monovalent lipid moiety and bivalent linker moiety together have the structure:

immunotherapy agent. In some embodiments, the prodrug is administered by administering a nanoparticle comprising the

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prodrug and wherein the nanoparticle comprises a nanoscale coordination polymer.

In some embodiments, the method further comprises administering to the patient a photosensitizer and/or a scintillator, and irradiating at least a portion of the patient with 5 light and/or X-rays. In some embodiments, the photosensitizer is a nanoparticle photosensitizer. In some embodiments, the nanoparticle photosensitizer is selected from the group comprising upconversion nanoparticles, optionally wherein the upconversion nanoparticles comprise NaYF<sub>4</sub>, further optionally wherein the NaYF<sub>4</sub> nanoparticles are doped at a ratio of Y:Yb:Er=78%:20%:2% and combined with chlorin e6 or MC540; a photosensitizer embedded in a silica-based nanoparticle, optionally 2-devinyl-2-(1-hexyloxyethyl) pyropheophorbide (HPPH)-loaded silica nanoparticles; polymer micelle-loaded photosensitizers, optionally Zn(II)phthalocyanine-loaded in DSPE-PEG5k polymer micelles; a liposome-based photosensitizer delivery system, optionally a 5,10,15,20-tetrakis(m-hydroxyphenyl)chlorin- 20 encapsulated liposome or a 5-aminolevulinic acid (ALA)encapsulated liposome; a human serum albumin (HSA)based photosensitizer delivery system, optionally HSApheophorbide a conjugate particles; a dendrimer-based photosensitizer delivery system, optionally a PEG-attached 25 poly(propyleneimine) or poly(amido amine) loaded with rose bengal and PpIX; a porphyrin-, chlorin- or bacteriochlorin-conjugated phospholipid-based bilayer delivery system; a porphyrin-lipid conjugate (pyrolipid) self-assembly nanovesicle (Porphysome); a nanoscale coordination poly- 30 mer (NCP) particle comprising a lipid coating layer comprising pyrolipid (NCP@Pyrolipid); or a nanoparticle comprising a zinc-pyrophosphate core and a lipid coating layer comprising pyrolipid (Zn@Pyrolipid). In some embodiments, irradiating with light comprises irradiating with 35 infrared light.

In some embodiments, the disease is cancer. In some embodiments, the disease is selected from the group comprising a head tumor, a neck tumor, breast cancer, a gynecological tumor, a brain tumor, colorectal cancer, lung 40 cancer, mesothelioma, a soft tissue sarcoma, skin cancer, connective tissue cancer, adipose cancer, lung cancer, stomach cancer, anogenital cancer, kidney cancer, bladder cancer, colon cancer, prostate cancer, central nervous system cancer, retinal cancer, blood cancer, neuroblastoma, multiple 45 myeloma, lymphoid cancer, and pancreatic cancer.

In some embodiments, the method further comprises administering to the patient an additional treatment. In some embodiments, the additional treatment is a cancer treatment, wherein said cancer treatment is selected from the group 50 comprising surgery, radiotherapy, chemotherapy, toxin therapy, immunotherapy, cryotherapy, and gene therapy. In some embodiments, the chemotherapy comprises administering a drug selected from the group comprising oxaliplatin, doxorubicin, daunorubicin, docetaxel, mitoxanthrone, pacli- 55 taxel, digitoxin, digoxin, and septacidin. In some embodiments, the chemotherapy comprises administering a drug formulation selected from the group comprising a polymeric micelle formulation, a liposomal formulation, a dendrimer formulation, a polymer-based nanoparticle formulation, a 60 silica-based nanoparticle formulation, a nanoscale coordination polymer formulation, and an inorganic nanoparticle formulation.

In some embodiments, the immunotherapy agent is selected from the group comprising an anti-CD52 antibody, 65 an anti-CD20 antibody, anti-CD47 antibody, an anti-GD2 antibody, polysaccharide K, and a cytokine. In some

embodiments, the immunotherapy agent is selected from the group comprising Alemtuzumab, Ofatumumab, Rituximab, Zevalin, Adcetris, Kadcyla, and Ontak. In some embodiments, the immunotherapy agent is selected from the group comprising a PD-1 inhibitor, a PD-L1 inhibitor, a CTLA-4 inhibitor, an IDO inhibitor, a CCR7 inhibitor, a OX40 inhibitor, a TIM3 inhibitor, and a LAG3 inhibitor.

In some embodiments, the cytokine is selected from the group comprising an interferon and an interleukin. In some embodiments, the cytokine is selected from the group comprising IFN- $\alpha$ , IFN- $\gamma$ , IL-2, IL-12 and TNF- $\alpha$ .

In some embodiments, the disease is a metastatic cancer. In some embodiments, the presently disclosed subject matter provides a composition comprising zinc pyrophosphate and a prodrug comprising a monovalent drug moiety, a monovalent lipid moiety, and a bivalent linker moiety comprising a biodegradable bond. In some embodiments, the zinc pyrophosphate is in the form of nanoparticles.

In some embodiments, the presently disclosed subject matter provides a composition comprising a prodrug comprising a monovalent drug moiety, a monovalent lipid moiety, and a bivalent linker moiety comprising a biodegradable bond; and a nanoscale coordination polymer comprising a cisplatin and/or oxaliplatin prodrug. In some embodiment, the composition further comprises siRNA.

In some embodiments, the presently disclosed subject matter provides a nanoscale particle for delivery of therapeutic agents, said nanoscale particle comprising: a core comprising a metal-organic matrix material, optionally wherein the metal-organic matrix material comprises a coordination polymer; and a prodrug, wherein the prodrug comprises a monovalent drug moiety, a monovalent lipid moiety, and a bivalent linker moiety comprising a biodegradable bond.

In some embodiments, the nanoscale particle further comprises at least one nucleic acid chemotherapeutic agent. In some embodiments, the nucleic acid chemotherapeutic agent is a siRNA, a miRNA, or an AS ODN. In some embodiments, the at least one nucleic acid is attached to the metal-organic matrix material core via coordination bonds between phosphate groups on the nucleic acid and metal ions on an outer surface of the core. In some embodiments, the at least one nucleic acid is selected from the group comprising survivin siRNA, ERCC-1 siRNA, P-glycoprotein siRNA (P-gp siRNA), Bcl-2 siRNA, or a mixture thereof.

In some embodiments, the nanoscale particle further comprises at least one photosensitizer.

In some embodiments, the nanoscale particle further comprises at least one non-nucleic acid chemotherapeutic agent incorporated in the metal-organic matrix material core, optionally wherein the at least one non-nucleic acid chemotherapeutic agent is incorporated in the metal-organic matrix material core via a covalent or coordination bond. In some embodiments, the at least one non-nucleic acid chemotherapeutic agent incorporated in the metal-organic matrix material core is selected from the group comprising cisplatin or oxaliplatin prodrugs, gemcitabine, methotrexate, leucovorin, pemetrexed disodium, doxorubicin, vinblastine, vincristine, vindesine, cytarabine, azathioprine, melphalan, imatinib, anastrozole, letrozole, carboplatin, paclitaxel, docetaxel, etoposide, and vinorelbine.

In some embodiments, the nanoscale particle comprises at least two chemotherapeutic agents incorporated in the metalorganic matrix material core. In some embodiments, the non-nucleic acid chemotherapeutic agent is cis, cis, trans- $Pt(NH_3)_2Cl_2(OEt)(O_2CCH_2CH_2COOH)$ , optionally wherein the core comprises between about 10 weight % and about 50 weight % of the non-nucleic acid chemotherapeutic agent.

In some embodiments, the nanoscale particle has an average diameter of between about 20 nm and about 140 nm.

In some embodiments, the nanoscale particle further comprises one or more coating agents or lavers covering at least a portion of the outer surface of the metal-organic matrix material core, wherein the one or more coating agents or layers are selected from a metal oxide, a polymer, a single lipid layer, a lipid bilayer, and combinations thereof. In some embodiments, the metal-organic matrix material core is coated with a lipid bilayer comprising a cationic lipid and/or a functionalized lipid, wherein said functionalized lipid is a 15 lipid functionalized with a group that can bond to a nucleic acid, and wherein at least one nucleic acid is covalently bonded to the functionalized lipid and/or attached to the cationic lipid via electrostatic interactions. In some embodiments, the lipid bilayer comprises a mixture comprising one 20 or more of a thiol- or dithiol-functionalized 1,2-distearoylsn-glycero-3-phosphoethanolamine (DSPE), 1,2-dioleoyl-3trimethylammonium propane (DOTAP), and 1,2-dioleoylsn-glycero-3-phosphocholine (DOPC).

In some embodiments, the one or more coating agents or 25 layers further comprise a passivating agent, optionally a hydrophilic polymer; a targeting agent, optionally a RGD peptide; and/or an imaging agent, optionally a fluorescent moiety. In some embodiments, the lipid bilayer further comprises one or more of 1,2-dioleoyl-sn-glycero-3-phos- 30 phate sodium salt (DOPA), cholesterol, and pegylated-DSPE.

In some embodiments, the metal-organic matrix material core comprises a metal bisphosphonate coordination polymer comprising a multivalent metal ion and a bisphospho- 35 nate. In some embodiments, the multivalent metal ion is selected from the group comprising Ca<sup>2+</sup>, Mg<sup>2+</sup>, Mn<sup>2+</sup>, Zn<sup>2+</sup>, and combinations thereof.

In some embodiments, the bisphosphonate is a chemotherapeutic prodrug, optionally a cisplatin or oxaliplatin <sup>40</sup> prodrug. In some embodiments, the prodrug comprises a monovalent derivative of DHA. In some embodiments, the bisphosphonate is a bisphosphonate ester of cis, cis-trans- $[Pt(NH_3)_2Cl_2(OH)_2]$  (a cisplatin prodrug) or cis, trans-[Pt(dach)Cl<sub>2</sub>(OH)<sub>2</sub>]. In some embodiments, the multivalent <sup>45</sup> metal ion is Zn<sup>2+</sup>. In some embodiments, the metal-organic matrix material core comprises between about 40 and about 50 weight % of bisphosphonate.

In some embodiments, the nanoscale particle further comprises a lipid single layer or lipid bilayer coating, 50 optionally wherein one or more of survivin siRNA, P-gp siRNA, and Bcl-2 siRNA are attached to the coating. In some embodiments, the nanoscale particle has a diameter between about 20 nm and about 180 nm. In some embodiments, the nanoscale particle has a diameter between about 55 90 nm and about 180 nm.

In some embodiments, the presently disclosed subject matter provides a method of treating cancer in a subject in need thereof, the method comprising administering to the subject a composition comprising zinc pyrophosphate and a 60 lipid-conjugate prodrug; a nanoscale coordination polymer comprising a cisplatin and/or oxaliplatin prodrug and a lipid-conjugate prodrug, or a composition comprising a nanoscale particle comprising a metal-organic matrix material core and a lipid-conjugate prodrug. In some embodi-65 ments, the cancer is selected from lung cancer, pancreatic cancer, ovarian cancer, breast cancer and colon cancer. In

some embodiments, the cancer is ovarian cancer, optionally a cisplatin resistant ovarian cancer.

In some embodiments, the method further comprises administering to the subject an immunotherapy agent. In some embodiments, the immunotherapy agent is selected from the group comprising an anti-CD52 antibody, an anti-CD20 antibody, anti-CD47 antibody an anti-GD2 antibody, a cytokine, and polysaccharide K. In some embodiments, the immunotherapy agent is selected from the group comprising Alemtuzumab, Ofatumumab, Rituximab, Zevalin, Adcetris, Kadcyla, and Ontak. In some embodiments, the immunotherapy agent is selected from the group comprising a PD-1 inhibitor, a PD-L1 inhibitor, a CTLA-4 inhibitor, an IDO inhibitor, and a LAG3 inhibitor.

In some embodiments, the method further comprises administering to the subject a photosensitizer. In some embodiments, the photosensitizer is a pyrolipid, wherein said pyrolipid is a lipid covalently attached to a porphyrin or a derivative or analog thereof.

In some embodiments, the presently disclosed subject matter provides a pharmaceutical formulation comprising a composition comprising a lipid-conjugate prodrug, optionally wherein the composition comprises a nanoscale particle, and a pharmaceutically acceptable carrier.

In some embodiments, the presently disclosed subject matter provides a method of treating cancer in a subject in need thereof wherein the method comprises administering to the subject a composition comprising a nanoscale particle comprising a lipid-conjugate prodrug and a metal-organic matrix material core and a photosensitizer, and irradiating the subject or a treatment area of the subject with radiation having a wavelength suitable to activate the photosensitizer.

In some embodiments, the cancer is a head and neck cancer, optionally wherein the head and neck cancer is a cisplatin resistant head and neck cancer. In some embodiments, the method further comprises administering to the subject an immunotherapy agent.

In some embodiments, the immunotherapy agent is selected from the group comprising an anti-CD52 antibody, an anti-CD20 antibody, anti-CD47 antibody, an anti-GD2 antibody, polysaccharide K, and a cytokine. In some embodiments, the immunotherapy agent is selected from the group comprising a radiolabeled antibody, an antibody-drug conjugate, and a neoantigen. In some embodiments, the immunotherapy agent is selected from the group comprising Alemtuzumab, Ofatumumab, Rituximab, Zevalin, Adcetris, Kadcyla, and Ontak. In some embodiments, the immunotherapy agent is selected from the group comprising a PD-1 inhibitor, a PD-L1 inhibitor, a CTLA-4 inhibitor, an IDO inhibitor, and a LAG3 inhibitor.

Accordingly, it is an object of the presently disclosed subject matter to provide lipid moiety-containing prodrugs, nanoparticles, and nanoparticle formulations comprising the prodrugs, as well as methods of treating disease using the nanoparticles and formulations.

An object of the presently disclosed subject matter having been stated hereinabove, and which is achieved in whole or in part by the presently disclosed subject matter, other objects will become evident as the description proceeds when taken in connection with the accompanying drawings and examples as best described hereinbelow.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A is a graph showing the antitumor efficacy of a cisplatin-containing nanoscale coordination polymer (NCP)

particle of the presently disclosed subject matter further comprising a lipid coating layer comprising a cholesterollinked etoposide prodrug and lipid-modified small interfering ribonucleic acids (i.e., NCP-1/ET/siRNAs) in a xenograft tumor model of a cisplatin-resistant ovarian cancer 5 (A2780/CDDP). Tumor volume (in cubic millimeters (mm<sup>3</sup>)) versus day post treatment is provided for an NCP particle-treated tumor model (circles) and a control (i.e., a phosphate-buffered saline (PBS)-treated model, squares).

FIG. 1B is a graph showing the antitumor efficacy of a 10 cisplatin-containing NCP particle of the presently disclosed subject matter further comprising a lipid coating layer comprising a cholesterol-linked etoposide prodrug and lipidmodified small interfering ribonucleic acids (i.e., NCP-1/ ET/siRNAs) in a xenograft tumor model of a cisplatin- 15 resistant ovarian cancer (SKOV-3). Tumor volume (in cubic millimeters (mm<sup>3</sup>)) versus day post treatment is provided for an NCP particle-treated tumor model (circles) and a control (i.e., a phosphate-buffered saline (PBS)-treated model, squares).

FIG. 1C is a graph showing the antitumor efficacy of a cisplatin-containing NCP particle of the presently disclosed subject matter further comprising a lipid coating layer comprising a cholesterol-linked etoposide prodrug and lipidmodified small interfering ribonucleic acids (i.e., NCP-1/ 25 ET/siRNAs) in a xenograft tumor model of a small cell lung cancer (H82). Tumor volume (in cubic millimeters (mm<sup>3</sup>)) versus day post treatment is provided for an NCP particletreated tumor model (circles) and a control (i.e., a phosphate-buffered saline (PBS)-treated model, squares).

FIG. 2 is a series of graphs showing calriticulin (CRT) exposure on the cell surface of CT26 murine colorectal adenocarcinoma cells induced by platinum (Pt) and/or paclitaxel (PTX) at a concentration of 5 micromolar (µM) as determined by flow cytometry analysis. Cells were treated 35 with oxaliplatin (top left), PTX (top middle), a combination of PTX and oxaliplatin (top right), a NCP comprising zinc (Zn) and an oxaliplatin analogue (NCP-2, bottom left), a combination of Zn and PTX (bottom middle), or NCP-2 coated with a lipid coating comprising a cholesterol-linked 40 of NCP particles comprising a zinc and oxaliplatin analogue PTX (NCP-2/PTX, bottom right). Data in gray from phosphate buffered saline (PBS)-treated cells is shown in each graph as a control.

FIG. 3 is a graph showing the platinum (Pt) data from pharmacokinetics and biodistribution of a NCP particle 45 comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX) after intravenous (i.v.) injection in CT26 murine colorectal adenocarcinoma tumor bearing mice at a dose of 1 milligram per kilogram (mg/kg) expressed as 50 percentage initial dose (% ID) of Pt per gram (g) of tissue. Pt concentration was analyzed via inductively coupled plasma-mass spectrometry (ICP-MS) at 5 minutes, and at 1, 3, 5, 8, 24, and 48 hours, and is expressed in micrograms  $(\mu g)$ 

FIG. 4 is a graph showing the pharmacokinetics of free oxaliplatin (observed data, open circles; predicted, dark grey line) and of a NCP particle comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX) (observed data, 60 black squares; predicted, light grey line) after intravenous (i.v.) injection in Beagle dogs at a dose of 1 milligram per kilogram (mg/kg). The platinum (Pt) concentrations were analyzed via inductively coupled plasma-mass spectrometry (ICP-MS) for up to 336 hours after injection. 65

FIG. 5 is a graph showing the in vivo anticancer activity of a NCP particle comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX) (black squares) and of a combination of NCP-2/PTX and an anti-programmed deathligand 1 (PD-L1) antibody (Pd-L1 Ab) (open circles) injected intraperitoneally into CT26 murine colorectal adenocarcinoma tumor bearing mice at an oxaliplatin analogue dose of 1 milligram per kilogram (mg/kg) and a paclitaxel dose of 2.24 mg/kg on the days indicated by the arrows (i.e., days 7, 10, 15, 20, 25, and 30). Tumor size (in cubic millimeters (mm<sup>3</sup>)) at different days following tumor inoculation is shown. For comparison, data from mice injected with phosphate buffered saline (PBS, x-marked triangles) is shown.

FIG. 6 is a graph showing the in vivo anticancer activity of a NCP particle comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX) (black squares) and of a combination of NCP-2/PTX and an anti-programmed deathligand 1 (PD-L1) antibody (Pd-L1 Ab) (open circles) 20 injected intraperitoneally into MC38 murine colorectal carcinoma tumor bearing mice at an oxaliplatin analogue does of 1 milligram per kilogram (mg/kg) and a paclitaxel dose of 2.24 mg/kg on the days indicated by the arrows (i.e., days 7, 11, 15, 19, 23, 31, and 39). Tumor size (in cubic millimeters (mm<sup>3</sup>)) at different days following first treatment is shown. For comparison, data from mice injected with phosphate buffered saline (PBS, x-marked triangles) is shown.

FIG. 7 is a graph showing the in vivo anticancer activity of a NCP particle comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX) in CT26 murine colorectal adenocarcinoma tumor bearing mice of different immunocompetencies: athymic nude mice (black squares); BALB/c Rag-/- mice (open circles); and BALB/c mice (x-marked triangles). NCP-2/PTX was intraperitoneally injected into the mice at an oxaliplatin analogue does of 1 milligram per kilogram (mg/kg) on the days indicated by the arrows (days 7, 10, and 15).

FIG. 8 is a graph showing the in vivo anticancer activity core and a lipid coating layer (NCP-2) in MC38 murine colorectal carcinoma tumor bearing mice. Mice were injected intraperitoneally every four days for a total of five doses at an oxaliplatin analogue dose of 2 milligrams per kilogram (mg/kg). Mice were injected with NCP-2 (open circles), NCP-2 particles that included a cholesterol-modified paclitaxel (PTX) in a lipid coating layer with a molar ratio of oxaliplatin analogue to PTX of 2:1 (NCP-2/PTX 2:1; left-pointing triangles); NCP-2 particles that included a cholesterol-modified paclitaxel (PTX) in the lipid layer with a molar ratio of oxaliplatin analogue to PTX of 1:1 (NCP-2/PTX 1:1; right-pointing triangles); NCP-2/PTX 2:1 and 75 micrograms (µg) of an anti-programmed death-ligand 1 (PD-L1) antibody (NCP-2/PTX 2:1+75 µg PD-L1 Ab; 55 upward-pointing triangles), or NCP-2/PTX 1:1 and 75 μg PD-L1 antibody (NCP-2/PTX1:1+75 µg PD-L1 Ab; downward-pointing triangles). For comparison, data from mice injected with phosphate-buffered saline is shown (black squares).

FIG. 9A is a graph showing the probability of tumor-free mice after re-challenge with live tumor cells in mice previously vaccinated with nanoparticle-treated cancer cells. BALB/c mice were inoculated subcutaneously with CT26 murine colorectal adenocarcinoma cells that had been treated with light and a NCP particle comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX, dotted

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line). Seven days after inoculation, the mice were re-challenged with live CT26 cells. Probability of tumor-free mice after re-challenge is also shown for mice originally inoculated with phosphate buffered saline (PBS)-treated cancer cells (solid line).

FIG. **9**B is a graph showing tumor growth curves of re-challenged tumors in mice inoculated with phosphate buffered saline (PBS, black squares) as a control or inoculated with CT26 murine colorectal adenocarcinoma cells that had been treated with light and a NCP particle com- 10 prising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX, open circles).

FIG. **10** is a graph of in vivo anticancer activity of a NCP comprising a zinc and oxaliplatin analogue core and a lipid 15 coating layer comprising cholesterol-modified mitoxantrone (NCP-2/MTX) in CT26 murine colorectal adenocarcinoma tumor bearing mice. Tumor size data (in cubic millimeters (mm<sup>3</sup>)) is provided for mice treated with phosphate buffered saline (PBS, black squares), NCP-2/MTX at an oxaliplatin 20 analogue dose of 1 milligram per kilogram (mg/kg) and a mitoxantrone (MTX) dose of 0.58 mg/kg (open circles) or a combination of MCP-2/MTX and 75 microgram (μg) of an anti-programmed death-ligand 1 (PD-L1) antibody (MCP-2/MTX+PD-L1 Ab, x-marked triangles). Treatment was 25 performed every four days for a total of six doses beginning on the seventh day after tumor inoculation.

FIG. **11** is a graph of in vivo anticancer activity of a NCP comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising cholesterol-modified mitoxantrone 30 (NCP-2/MTX) in MC38 murine colorectal carcinoma tumor bearing mice. Tumor size data (in cubic millimeters (mm<sup>3</sup>)) is provided for mice treated with phosphate buffered saline (PBS, downward-facing triangles), NCP-2/MTX at an oxaliplatin analogue dose of 2 milligram per kilogram (mg/kg) 35 and a mitoxantrone (MTX) dose of 1.16 mg/kg (black squares) or a combination of MCP-2/MTX and 75 microgram ( $\mu$ g) of an anti-programmed death-ligand 1 (PD-L1) antibody (MCP-2/MTX+PD-L1 Ab, open circles). Treatment was performed every four days starting on the twelfth 40 day after tumor cell inoculation for a total of five doses.

FIG. 12A is a graph showing calriticulin (CRT) exposure on the cell surface of CT26 murine colorectal adenocarcinoma cells induced by dihydroartemisinin (DHA) at a concentration of 1 micromolar ( $\mu$ M) as determined by flow 45 cytometry analysis. Data in gray from phosphate buffered saline (PBS)-treated cells is shown in each graph as a control.

FIG. **12**B is a graph showing calriticulin (CRT) exposure on the cell surface of MC38 murine colorectal carcinoma <sup>50</sup> cells induced by dihydroartemisinin (DHA) at a concentration of 1 micromolar ( $\mu$ M) as determined by flow cytometry analysis. Data in gray from phosphate buffered saline (PBS)treated cells is shown in each graph as a control.

FIG. **13** is a graph showing the in vivo anticancer activity 55 of a NCP particle comprising a zinc and oxaliplatin analogue coordination polymer core and a lipid coating layer comprising cholesterol-modified dihydroartemisinin (NCP-2/DHA, triangles) in CT26 murine colorectal adenocarcinoma tumor bearing mice. For comparison, data is provided for 60 mice treated with phosphate buffered saline (PBS, black squares) and with a combination of zinc and dihydroartemisinin (Zn/DHA, open circles).

FIG. **14** is a graph showing the percentage (%) cell viability of CT26 murine colorectal adenocarcinoma cells 65 treated with free and nanoparticle drug formulations of oxaliplatin (OX) and dihydroartemisinin (DHA). Cells were

treated with one of the following: free OX (black squares); free DHA (open circles); a combination of free OX and free DHA (OX+DHA, upward-pointing triangles); a lipid-coated nanoscale polymer particles comprising a coordination polymer of zinc (Zn) and oxaliplatin prodrug (NCP-3, downward-pointing triangles); a combination of free Zn and free DHA (Zn/DHA, diamonds), or NCP-3 comprising a lipidmodified DHA in its lipid coating layer (NCP-3/DHA, left-pointing, x-marked triangles).

FIG. **15** is a graph showing the percentage (%) cell viability of MC38 murine colorectal carcinoma cells treated with free and nanoparticle drug formulations of oxaliplatin (OX) and dihydroartemisinin (DHA). Cells were treated with one of the following: free OX (black squares); free DHA (open circles); a combination of free OX and free DHA (OX+DHA, upward-pointing triangles); lipid-coated nanoscale polymer particles comprising a coordination polymer of zinc (Zn) and oxaliplatin prodrug (NCP-3, downward-pointing triangles); a combination of free Zn and free DHA (Zn/DHA, diamonds), or NCP-3 comprising a lipid-modified DHA in its lipid coating layer (NCP-3/DHA, left-pointing, x-marked triangles).

FIG. **16** is a series of plots showing the percentage (%) of apoptotic and necrotic cells after different treatments quantified by cell cytometry. The treatments included: phosphate buffered saline (PBS, top left); free oxaliplatin (top second from left); free dihydroartemisinin (DHA, top second from right); a combination of free oxaliplatin and free DHA (oxaliplatin+DHA, top right); lipid-coated nanoscale polymer particles comprising a coordination polymer of zinc (Zn) and oxaliplatin prodrug (NCP-3, bottom left); a combination of free Zn and free DHA (Zn/DHA, bottom middle); and NCP-3 comprising a lipid-modified DHA in its lipid coating layer (NCP-3/DHA, bottom right).

FIG. 17 is a graph of high mobility group box-1 (HMBG-1) protein release/expression (in nanograms per milliliter (ng/mL)) in the medium of CT26 murine colorectal adenocarcinoma cells receiving oxaliplatin and/or dihydroartemisinin (DHA) treatment. Treatments included free oxaliplatin, free DHA, cholesterol-modified DHA (chol-DHA), lipid-coated nanoscale polymer particles comprising a coordination polymer of zinc (Zn) and oxaliplatin prodrug (NCP-3), a combination of free Zn and DHA (Zn/DHA), a combination of free oxaliplatin and free DHA (oxaliplatin+ DHA), NCP-3 including cholesterol-modified DHA in its lipid coating layer (NCP-3/DHA), and NCP-3 including oleic acid-modified DHA in its lipid coating layer (NCP-3/ OA-DHA). For comparison, release data is provided for cells treated with phosphate buffered saline (PBS) as a control.

FIG. **18**A is a graph showing the pharmacokinetics of platinum measured by inductively coupled plasma-mass spectrometry (ICP-MS) in the plasma of SD/CD rats after intravenous injection of a NCP particle comprising zinc (Zn) and an oxaliplatin prodrug and including dihydroarteminisin incorporated in its lipid coating layer (NCP-3/DHA).

FIG. **18**B is a graph showing the pharmacokinetics of cholesterol-modified dihydroarteminisin (Chol-DHA) measured by liquid chromatography mass spectrometry (LC-MS) in the plasma of SD/CD rats after intravenous injection of a NCP particle comprising zinc (Zn) and an oxaliplatin prodrug and including dihydroarteminisin incorporated in its lipid coating layer (NCP-3/DHA).

FIG. **19** is a graph of the biodistribution of NCP particles comprising zinc (Zn) and an oxaliplatin prodrug and including dihydroarteminisin incorporated in its lipid coating layer (NCP-3/DHA) after intraperitoneal injection into CT26 murine colorectal adenocarcinoma tumor bearing mice. Platinum (Pt) from the oxaliplatin prodrug was analyzed by inductively coupled plasma-mass spectrometry (ICP-MS).

FIG. 20A is a graph showing the in vivo anticancer activity of NCP particles comprising zinc (Zn) and an oxaliplatin (OX) prodrug and including dihydroarteminisin (DHA) incorporated in its lipid coating layer (NCP-3/DHA) with or without checkpoint blockade immunotherapy against CT26 murine colorectal adenocarcinoma tumor 10 bearing mice. Data is provided for mice treated with NCP-3/DHA (left-pointing, x-marked triangles); the same particles without DHA in the lipid coating layer (NCP-3, downward-pointing triangles); a combination of free OX, free DHA, and an anti-programmed death-ligand 1 (PD-L1) antibody (Free OX+DHA+PD-L1, half-filled hexagons); a combination of NCP-3 and PD-L1 antibody (NCP-3+PD-L1, diamonds); a combination of NCP-3/DHA and PD-L1 antibody (NCP-3/DHA+PD-L1, stars), and a higher dosage (32 milligrams per kilogram (mg/kg)) of NCP-3/DHA (half-20 filled pentagons). As a control, data is shown for mice treated with phosphate buffered saline (PBS, black squares).

FIG. 20B is a graph showing the in vivo anticancer activity of NCP particles comprising zinc (Zn) and an oxaliplatin (OX) prodrug and including dihydroarteminisin 25 (DHA) incorporated in its lipid coating layer (NCP-3/DHA) with or without checkpoint blockade immunotherapy against MC38 murine colorectal carcinoma tumor bearing mice. Data is provided for mice treated with NCP-3/DHA (left-pointing, x-marked triangles); the same particles with- 30 out DHA in the lipid coating layer (NCP-3, downwardpointing triangles); a combination of free OX, free DHA, and an anti-programmed death-ligand 1 (PD-L1) antibody (Free OX+DHA+PD-L1, half-filled pentagons); a combination of NCP-3 and PD-L1 antibody (NCP-3+PD-L1, dia- 35 monds); a combination of NCP-3/DHA and PD-L1 antibody (NCP-3/DHA+PD-L1, hexagons), and a higher dosage (16 milligrams per kilogram (mg/kg)) of NCP-3/DHA and the PD-L1 antibody (circles). As a control, data is shown for mice treated with phosphate buffered saline (PBS, black 40 murine colorectal adenocarcinoma cells of, from left to squares).

FIG. 21 is a graph of gamma-interferon (IFN- $\gamma$ ) producing T cells detected in spenocytes harvested after treatment with an oxaliplatin (OX) and/or dihydroarteminisin (DHA)-containing or non-containing composition and stimulated with a 45 MC38 tumor-specific lysine-serine-proline repeats (KSP) peptide. Treatments included, from left to right: phosphate buffered saline (PBS), an anti-programmed death-ligand 1 antibody (PD-L1), a combination of free OX, free DHA and PD-L1 antibody (Free OX+DHA+PD-L1), a combination of 50 zinc (Zn) and DHA (Zn/DHA), a lipid-coated NCP particle comprising a core comprising a polymer of Zn and an OX prodrug (NCP-3), the same particle including lipid-modified DHA in the lipid-coating layer (NCP-3/DHA), or a combination of NCP-3/DHA and PD-L1 antibody (NCP-3/DHA+ 55 PD-L1).

FIG. 22A is a graph showing the in vivo anticancer activity of a lipid-coated NCP particle comprising a core comprising a coordination polymer of zinc and an oxaliplatin prodrug and including a lipid-modified dihydroartemi- 60 nisin (DHA) in the lipid-coating layer (NCP-3/DHA) against 4T1 triple negative breast cancer tumor bearing mice. Mice were treated with PD-L1 antibody (upward-pointing triangles), NCP-3/DHA (circles), or a combination thereof (downward-pointing triangles). For comparison, data is also 65 provided for mice treated with phosphate buffered saline (PBS, black squares) as a control. Mice were injected

intraperitoneally with an oxaliplatin-equivalent dose of 8 milligrams per kilogram once every 3 days starting on day 10.

FIG. 22B is a graph showing the in vivo anticancer activity of a lipid-coated NCP particle comprising a core comprising a coordination polymer of zinc and an oxaliplatin prodrug and including a lipid-modified dihydroarteminisin (DHA) in the lipid-coating layer (NCP-3/DHA) against LL/2 non-small cell lung cancer tumor bearing mice. Mice were treated with PD-L1 antibody (circles), or a combination of antibody and NCP-3/DHA (triangles). For comparison, data is also provided for mice treated with phosphate buffered saline (PBS, black squares) as a control. Mice were injected intraperitoneally with an oxaliplatin dose of 8 milligrams per kilogram once every 3 days starting on day 12.

FIG. 23A is a graph showing cytotoxicity in non-small cell lung cancer (NSCLC) A549 cells incubated for 72 hours with compositions including: cisplatin (squares), etoposide (ET, circles), paclitaxel (PTX, upward-pointing triangles), camptothecin (CPT, downward-pointing triangles), cholesterol modified ET (Chol-ET, diamonds), cholesterol-modified PTX (Chol-PTX, leftward-pointing triangles), or oleic acid-modified CPT (OA-CPT, rightward-pointing triangles).

FIG. 23B is a graph showing cytotoxicity in non-small cell lung cancer (NSCLC) A549 cells incubated for 72 hours with compositions including: a NCP particle comprising a cisplatin analogue (NCP-1, squares), NCP-1 with a lipid coating layer comprising oleic acid-modified comptothecin (OA-CPT) and a cholesterol-modified etoposide (Chol-ET) (NCP-1/OA-CPT/Chol-Et, circles), NCP-1 with a lipid coating layer comprising OA-CPT and a cholesterol-modified paclitaxel (Chol-PTX) (NCP-1/OA-CPT/Chol-PTX, upward-pointing triangles), or NCP-1 with a lipid coating layer comprising OA-CPT, Chol-ET and Chol-PTX (NCP-1/OA-CPT/Chol-ET/Chol-PTX, downward-pointing triangles).

FIG. 24A is a graph showing cellular uptake into CT26 right, oxaliplatin, a NCP particle comprising zinc and an oxaliplatin prodrug (NCP-2), and NCP-2 comprising pyrolipid in a lipid coating layer (NCP-2@pyrolipid). Platinum (Pt) concentration (in picomoles (pmol) per  $10^5$  cells) was determined by inductively-coupled plasma-mass spectrometry (ICP-MS) after 1, 2, 4, and 24 hours.

FIG. 24B is a graph showing cellular uptake into CT26 murine colorectal adenocarcinoma cells of, from left to right, a NCP particle comprising a zinc and oxaliplatin prodrug core and pyrolipid in a lipid coating layer (NCP-2@pyrolipid), and of porphysome. Pyrolipid concentration (in picomoles (pmol) per  $10^5$  cells) was determined by ultraviolet-visible spectrometry (UV-Vis) after 1, 2, 4, and 24 hours

FIG. 25A is a graph showing the percentage (%) of platinum remaining from the initial dose (ID) versus time from the pharmacokinetics and biodistribution of NCP particles comprising a zinc and oxaliplatin prodrug core and pyrolipid in a lipid coating layer (NCP-2@pyrolipid) after intravenous injection into CT26 murine colorectal adenocarcinoma tumor bearing mice. Platinum (Pt) was analyzed by inductively-coupled plasma mass spectrometry (ICP-MS).

FIG. 25B is a graph showing the percentage (%) of pyrolipid remaining from the initial dose (ID) versus time from the study described for FIG. 25A. Pyrolipid was analyzed via ultraviolet-visible spectrometry (UV-Vis).

FIG. 26 is a graph showing the in vivo anticancer activity of a lipid-coated NCP particle comprising a core that comprises a polymer of zinc and an oxaliplatin analogue and a lipid-coating layer comprising pyrolipid (NCP-2@pyrolipid) in CT26 murine colorectal adenocarcinoma tumor 5 bearing mice. Mice were intravenously injected with NCP-2@pyrolipid (downward-pointing triangles) or the same NCP particle without pyrolipid (NCP-2, circles) at an oxaliplatin analogue dose of 2 milligrams per kilogram (mg/kg) followed by irradiation (+) at 670 nanometers (nm), 100 10 milliWatts per square centimeter  $(mW/cm^2)$  for 30 minutes 24 hours post injection every four days for a total of two treatments. As a control, data is also shown for mice treated with phosphate buffered saline (PBS, squares) or NCP-2@pyrolipid without irradiation (-) (upward-pointing tri- 15 angles).

FIG. **27** is a graph showing the in vivo anticancer activity of a lipid-coated NCP particle comprising a core that comprises a polymer of zinc and an oxaliplatin analogue and a lipid-coating layer comprising pyrolipid (NCP-2@pyro- 20 lipid) in HT29 human colorectal tumor bearing mice. Mice were intravenously injected with NCP-2@pyrolipid (downward-pointing triangles) or the same NCP particle without pyrolipid (NCP-2) (circles) at an oxaliplatin analogue dose of 2 milligrams per kilogram (mg/kg) followed by irradia-25 tion (+) at 670 nanometers (nm), 100 milliWatts per square centimeter (mW/cm<sup>2</sup>) for 30 minutes 24 hours post injection every four days for a total of four treatments. As a control, data is also shown for mice treated with phosphate buffered saline (PBS, squares) or NCP-2@pyrolipid without irradia- 30 tion (–) (upward-pointing triangles).

FIG. **28**A is a graph of serum gamma interferon (IFN- $\gamma$ ) concentration (in nanograms per liter (ng/L)) in CT26 murine colorectal adenocarcinoma tumor bearing mice on days 7, 8, 9, and 10 post tumor inoculation (days 0, 1, 2, and 35 3 post first treatment) treated as described in FIG. **26**.

FIG. **28**B is a graph of serum interleukin 6 (IL-6) concentration (in nanograms per liter (ng/L)) in CT26 murine colorectal adenocarcinoma tumor bearing mice on days 7, 8, 9, and 10 post tumor inoculation (days 0, 1, 2, and 3 post first 40 treatment) treated as described in FIG. **26**.

FIG. **28**C is a graph of serum tumor necrosis factor alpha (TNF- $\alpha$ ) concentration (in nanograms per liter (ng/L)) in CT26 murine colorectal adenocarcinoma tumor bearing mice on days 7, 8, 9, and 10 post tumor inoculation (days 0, 45 1, 2, and 3 post first treatment) treated as described in FIG. **26**.

FIG. **29**A is a graph showing the probability of tumor-free mice after re-challenge with live tumor cells in mice previously vaccinated with nanoparticle-treated cancer cells. 50 BALB/c mice were inoculated subcutaneously with CT26 murine colorectal adenocarcinoma cells that had been treated with light and a NCP particle comprising a zinc and oxaliplatin analogue core and a lipid coating layer comprising pyrolipid (NCP-2@pyrolipid, dotted line). Seven days 55 after inoculation, the mice were re-challenged with live CT26 cells. For comparison, the probability of tumor-free mice after re-challenge in mice originally vaccinated with phosphate buffered saline (PBS)-treated cancer cells is also shown (solid line). 60

FIG. **29**B is a graph showing tumor growth curves of re-challenged tumors in mice inoculated with phosphate buffered saline (PBS, squares) as a control or inoculated with CT26 murine colorectal adenocarcinoma cells that had been treated with light and a NCP particle comprising a zinc 65 and oxaliplatin analogue core and a lipid coating layer comprising pyrolipid (NCP-2@pyrolipid, circles).

FIG. **29**C is a graph showing the serum concentrations (in nanograms per liter (ng/L)) of, from left to right, interferon gamma (IFN- $\gamma$ ), interleukin 6 (IL-6), and tumor necrosis factor alpha (TNF- $\alpha$ ) (reported in nanograms per liter (ng/L) in the mice described for FIG. **30**A one day after the first tumor inoculation. Data for mice inoculated with PBS-treated tumor cells is shown in open bars, while data for mice inoculated with nanoparticle/light-treated tumor cells in shown in striped bars.

FIG. **30**A is a graph showing the abscopal effect of a NCP particle comprising an oxaliplatin analogue and coated with a lipid coating layer comprising pyrolipid (NCP-2@pyrolipid). The graph shows the tumor growth curves of tumors in the left flank of mice inoculated in both the left and right flanks with CT26 murine colorectal adenocarcinoma cells and then treated in the right flank with NCP-2@pyrolipid and light (NCP-2@pyrolipid (+), circles), NCP-2@pyrolipid without light (NCP-2@pyrolipid (-), downward-pointing triangles), a cisplatin bisphosphonate-containing NCP particle with a pyrolipid-containing lipid coating layer and light (NCP-1@pyrolipid (+), upward-pointing triangles), or phosphate buffered saline and light (PBS (+), squares).

FIG. **30**B is a graph showing the antitumor effect of a NCP particle comprising an oxaliplatin analogue and coated with a lipid coating layer comprising pyrolipid (NCP-2@pyrolipid). The graph shows the tumor growth curves of tumors in the right flank of mice inoculated in both the left and right flanks with CT26 murine colorectal adenocarcinoma cells and then treated in the right flank with NCP-2@pyrolipid and light (NCP-2@pyrolipid (+), circles), NCP-2@pyrolipid without light (NCP-2@pyrolipid (-), downward-pointing triangles), a cisplatin bisphosphonate-containing NCP particle with a pyrolipid-containing lipid coating layer and light (NCP-1@pyrolipid (+), upward-pointing triangles), or phosphate buffered saline and light (PBS (+), squares).

FIG. **31**A is a graph of the tumor growth curves of tumors in the right flanks of mice inoculated in both the left and right flanks with MC38 murine colorectal carcinoma cells and then treated in the right flank with a NCP particle comprising an oxaliplatin analogue and coated with a lipid coating layer including pyrolipid (NCP-2@pyrolipid) and light (NCP-2@pyrolipid (+), upward-pointing triangles), NCP-2@pyrolipid without light (NCP-2@pyrolipid (-), circles), NCP-2@pyrolipid, light, and an anti-programmed death-ligand 1 (PD-L1) antibody (NCP-2@pyrolipid (+)+ anti-PD-L1, diamonds), NCP-2@pyrolipid and anti-PD-L1 antibody without light (NCP-2@pyrolipid (-)+anti-PD-L1, downward-pointing triangles) or phosphate buffered saline and light (PBS (+), squares).

FIG. **31**B is a graph of the tumor growth curves of tumors in the left flanks of mice inoculated in both the left and right flanks with MC38 murine colorectal carcinoma cells and then treated in the right flank with a NCP particle comprising an oxaliplatin analogue and coated with a lipid coating layer including pyrolipid (NCP-2@pyrolipid) and light (NCP-2@pyrolipid (+), upward-pointing triangles), NCP-2@pyrolipid without light (NCP-2@pyrolipid (-), circles), NCP-2@pyrolipid, light, and an anti-programmed death-ligand 1 (PD-L1) antibody (NCP-2@pyrolipid (+)+anti-PD-L1, diamonds), NCP-2@pyrolipid and anti-PD-L1 antibody without light (NCP-2@pyrolipid (-)+anti-PD-L1, downwardpointing triangles) or phosphate buffered saline and light (PBS (+), squares).

FIG. **32**A is a graph of the tumor growth curves of tumors in the right flanks of mice inoculated in both the left and right flanks with CT26 murine colorectal adenocarcinoma cells and then treated in the right flank with a NCP particle comprising an oxaliplatin analogue and coated with a lipid coating layer including pyrolipid (NCP-2@pyrolipid) and light and an anti-programmed death-ligand 1 (PD-L1) antibody (NCP-2@pyrolipid (+)+anti-PD-L1, circles) or phosphate buffered saline and light (PBS (+), squares).

FIG. **32**B is a graph of the tumor growth curves of tumors in the left flanks of mice inoculated in both the left and right flanks with CT26 murine colorectal adenocarcinoma cells and then treated in the right flank with a NCP particle 10 comprising an oxaliplatin analogue and coated with a lipid coating layer including pyrolipid (NCP-2@pyrolipid) and light and an anti-programmed death-ligand 1 (PD-L1) antibody (NCP-2@pyrolipid (+)+anti-PD-L1, circles) or phosphate buffered saline and light (PBS (+), squares). 15

FIG. **33**A is a graph of tumor growth curves of 4T1 triple negative breast cancer xenografts treated with a NCP particle comprising a zinc-pyrophosphate core and a lipid coating layer comprising pyrolipid (Zn@pyrolipid) and light (+) (circles), with Zn@pyrolipid, light and an anti-pro- 20 grammed death-ligand 1 (PD-L1) antibody (downward-pointing triangles), Zn@pyrolipid and an anti-PD-L1 antibody (upward-pointing triangles) or phosphate buffered saline (PBS) and light (squares).

FIG. **33**B is a graph of the tumor weights (in grams (g))  $_{25}$  at the end of the treatments described in FIG. **33**A.

FIG. **34**A is a graph of the tumor growth curves of tumors in the right flanks of mice inoculated in both the left and right flanks with 4T1 triple negative breast cancer cells and then treated in the right flank with a NCP particle comprising a zinc-pyrophosphate core and a lipid coating layer comprising pyrolipid (Zn@pyrolipid) and light (+) (circles), with Zn@pyrolipid, light and an anti-programmed death-ligand 1 (PD-L1) antibody (downward-pointing triangles), Zn@pyrolipid and an anti-PD-L1 antibody (upward-pointing triangles), or phosphate buffered saline (PBS) and light (PBS (+), squares).

FIG. **34**B is a graph of the tumor growth curves of tumors in the left flanks of mice inoculated in both the left and right flanks with 4T1 triple negative breast cancer cells and then 40 treated in the right flank with a NCP particle comprising a zinc-pyrophosphate core and a lipid coating layer comprising pyrolipid (Zn@pyrolipid) and light (+) (circles), with Zn@pyrolipid, light and an anti-programmed death-ligand 1 (PD-L1) antibody (downward-pointing triangles), 45 Zn@pyrolipid and an anti-PD-L1 antibody (upward-pointing triangles), or phosphate buffered saline (PBS) and light (PBS (+), squares).

FIG. **34**C is a graph showing the tumor weights (in grams (g)) of the tumors in left (left) and right (right) flanks of the 50 mice treated as described for FIGS. **34**A and **34**B at the end of the treatment period.

FIG. **35**A is a graph of the tumor growth curves of tumors in the right flanks of mice inoculated in both the left and right flanks with TUBO breast cancer cells and then treated 55 in the right flank with a NCP particle comprising a zincpyrophosphate core and a lipid coating layer comprising pyrolipid (Zn@pyrolipid) and light (+) (circles), with Zn@pyrolipid, light and an anti-programmed death-ligand 1 (PD-L1) antibody (downward-pointing triangles), 60 Zn@pyrolipid and an anti-PD-L1 antibody (upward-pointing triangles), or phosphate buffered saline (PBS) and light (squares).

FIG. **35**B is a graph of the tumor growth curves of tumors in the left flanks of mice inoculated in both the left and right 65 flanks with TUBO breast cancer cells and then treated in the right flank with a NCP particle comprising a zinc-pyrophos-

phate core and a lipid coating layer comprising pyrolipid (Zn@pyrolipid) and light (+) (circles), with Zn@pyrolipid, light and an anti-programmed death-ligand 1 (PD-L1) antibody (downward-pointing triangles), Zn@pyrolipid and an anti-PD-L1 antibody (upward-pointing triangles), or phosphate buffered saline (PBS) and light (squares).

FIG. **35**C is a graph showing the tumor weights (in grams (g)) of the tumors in left (left) and right (right) flanks of the mice treated as described for FIGS. **35**A and **35**B at the end of the treatment period.

FIG. **36**A is a graph of serum tumor necrosis factor alpha (TNF- $\alpha$ ) concentration (in picograms per milliliter (pg/mL)) in TUBO breast tumor bearing mice on days 10, 11, 12, and 13 post tumor inoculation (days 0, 1, 2, and 3 post first treatment) treated as described in FIGS. **35**A and **35**B.

FIG. **36**B is a graph of serum interferon gamma (IFN- $\gamma$ ) concentration (in picograms per milliliter (pg/mL)) in TUBO breast tumor bearing mice on days 10, 11, 12, and 13 post tumor inoculation (days 0, 1, 2, and 3 post first treatment) treated as described in FIGS. **35**A and **35**B.

FIG. **36**C is a graph of serum interleukin 6 (IL-6) concentration (in picograms per milliliter (pg/mL)) in TUBO breast tumor bearing mice on days 10, 11, 12, and 13 post tumor inoculation (days 0, 1, 2, and 3 post first treatment) treated as described in FIGS. **35**A and **35**B.

FIG. **37**A is a graph showing the proportion of CD45+ lymphocytes in right and left flank TUBO breast tumors models of mice treated in the right flank with phosphate buffered saline (PBS, open circles), photodynamic therapy (PDT, squares) via injection of a zinc-pyrophosphate NCP particle with a pyrolipid-containing lipid coating layer and irradiation, injection of an anti-programmed death-ligand 1 (PD-L1) antibody ( $\alpha$ -PD-L1, triangles), or PDT and antibody (black circles). Data is collected on the twelfth day after the first treatment.

FIG. **37**B is a graph showing the proportion of CD8+ T cells in right and left flank TUBO breast tumors models of mice treated in the right flank with phosphate buffered saline (PBS, open circles), photodynamic therapy (PDT, squares) via injection of a zinc-pyrophosphate NCP particle with a pyrolipid-containing lipid coating layer and irradiation, injection of an anti-programmed death-ligand 1 (PD-L1) antibody ( $\alpha$ -PD-L1, triangles), or PDT and antibody (black circles). Data is collected on the twelfth day after the first treatment.

FIG. **37**C is a graph showing the proportion of CD4+ T cells in right and left flank TUBO breast tumors models of mice treated in the right flank with phosphate buffered saline (PBS, open circles), photodynamic therapy (PDT, squares) via injection of a zinc-pyrophosphate NCP particle with a pyrolipid-containing lipid coating layer and irradiation, injection of an anti-programmed death-ligand 1 (PD-L1) antibody ( $\alpha$ -PD-L1, triangles), or PDT and antibody (black circles). Data is collected on the twelfth day after the first treatment.

FIG. **37**D is a graph showing the proportion of B cells in right and left flank TUBO breast tumors models of mice treated in the right flank with phosphate buffered saline (PBS, open circles), photodynamic therapy (PDT, squares) via injection of a zinc-pyrophosphate NCP particle with a pyrolipid-containing lipid coating layer and irradiation, injection of an anti-programmed death-ligand 1 (PD-L1) antibody ( $\alpha$ -PD-L1, triangles), or PDT and antibody (black circles). Data is collected on the twelfth day after the first treatment.

FIG. **38**A is a graph showing the proportion of CD8+ T cells in tumor-draining lymph nodes of right and left flank

TUBO breast tumors models of mice treated in the right flank with phosphate buffered saline (PBS, open circles), photodynamic therapy (PDT, squares) via injection of a zinc-pyrophosphate NCP particle with a pyrolipid-containing lipid coating layer and irradiation, injection of an anti-5 programmed death-ligand 1 (PD-L1) antibody ( $\alpha$ -PD-L1, triangles), or PDT and antibody (black circles). Data is collected on the twelfth day after the first treatment.

FIG. 38B is a graph showing the proportion of CD4+ T cells in tumor-draining lymph nodes of right and left flank TUBO breast tumors models of mice treated in the right flank with phosphate buffered saline (PBS, open circles), photodynamic therapy (PDT, squares) via injection of a zinc-pyrophosphate NCP particle with a pyrolipid-containing lipid coating layer and irradiation, injection of an antiprogrammed death-ligand 1 (PD-L1) antibody ( $\alpha$ -PD-L1, triangles), or PDT and antibody (black circles). Data is collected on the twelfth day after the first treatment.

# DETAILED DESCRIPTION

In some embodiments, the presently disclosed subject matter provides prodrugs comprising drug-lipid conjugates that include biodegradable linkages, such as disulfide bonds. 25 The prodrugs can be prepared from small molecule chemotherapeutics, including, but not limited to, those that are known to have immunological effect, such as the ability to cause immune cell death. The lipid moieties of the prodrugs can enhance incorporation of the prodrugs in lipid coating layers of inorganic and/or metal-organic matrix nanoparticles or other nanoscale drug delivery platforms.

Accordingly, in some embodiments, the presently disclosed subject matter provides nanoparticles that contain chemotherapeutics, such as those that are known to cause immunogenic cell death or to be immune-stimulatory. In some embodiments, the nanoparticle can comprise multiple chemotherapeutics to treat multiple cancer types. The nanoparticles can be combined with PDT modalities or used 40 numbers and fractions subsumed within that range (e.g. 1 to alone to cause immune-stimulation, leading to effective cancer therapy. These nanoparticles can be further combined with immunotherapy agents, e.g., immunosuppression inhibitors that, for example, target the CTLA-4, PD-1/PD-L1, IDO, LAG-3, CCR-7, or other pathways, or multiple 45 immunosuppression inhibitors targeting a combination of these pathways, to elicit systemic antitumor immunity.

The presently disclosed subject matter will now be described more fully hereinafter with reference to the accompanying Examples, in which representative embodi- 50 ments are shown. The presently disclosed subject matter can, however, be embodied in different forms and should not be construed as limited to the embodiments set forth herein. Rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey 55 the scope of the embodiments to those skilled in the art.

Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this presently described subject matter belongs. Although any 60 methods, devices, and materials similar or equivalent to those described herein can be used in the practice or testing of the presently disclosed subject matter, representative methods, devices, and materials are now described. All publications, patent applications, patents, and other refer- 65 ences mentioned herein are incorporated by reference in their entirety.

Throughout the specification and claims, a given chemical formula or name shall encompass all optical and stereoisomers, as well as racemic mixtures where such isomers and mixtures exist.

### I. Definitions

While the following terms are believed to be well understood by one of ordinary skill in the art, the following definitions are set forth to facilitate explanation of the presently disclosed subject matter.

Following long-standing patent law convention, the terms "a", "an", and "the" refer to "one or more" when used in this application, including the claims. Thus, for example, reference to "a metal ion" includes a plurality of such metal ions, and so forth.

Unless otherwise indicated, all numbers expressing quantities of size, reaction conditions, and so forth used in the specification and claims are to be understood as being 20 modified in all instances by the term "about". Accordingly, unless indicated to the contrary, the numerical parameters set forth in this specification and attached claims are approximations that can vary depending upon the desired properties sought to be obtained by the presently disclosed subject matter.

As used herein, the term "about", when referring to a value or to an amount of size (i.e., diameter), weight, concentration or percentage is meant to encompass variations of in one example  $\pm 20\%$  or  $\pm 10\%$ , in another example  $\pm 5\%$ , in another example  $\pm 1\%$ , and in still another example  $\pm 0.1\%$  from the specified amount, as such variations are appropriate to perform the disclosed methods.

As used herein, the term "and/or" when used in the context of a listing of entities, refers to the entities being present singly or in combination. Thus, for example, the phrase "A, B, C, and/or D" includes A, B, C, and D individually, but also includes any and all combinations and subcombinations of A, B, C, and D.

Numerical ranges recited herein by endpoints include all 5 includes, but is not limited to, 1, 1.5, 2, 2.75, 3, 3.90, 4, and 5).

The term "comprising", which is synonymous with "including," "containing," or "characterized by" is inclusive or open-ended and does not exclude additional, unrecited elements or method steps. "Comprising" is a term of art used in claim language which means that the named elements are present, but other elements can be added and still form a construct or method within the scope of the claim.

As used herein, the phrase "consisting of" excludes any element, step, or ingredient not specified in the claim. When the phrase "consists of" appears in a clause of the body of a claim, rather than immediately following the preamble, it limits only the element set forth in that clause; other elements are not excluded from the claim as a whole.

As used herein, the phrase "consisting essentially of" limits the scope of a claim to the specified materials or steps, plus those that do not materially affect the basic and novel characteristic(s) of the claimed subject matter.

With respect to the terms "comprising", "consisting of", and "consisting essentially of", where one of these three terms is used herein, the presently disclosed and claimed subject matter can include the use of either of the other two terms.

As used herein the term "alkyl" can refer to  $C_{1-20}$  inclusive, linear (i.e., "straight-chain"), branched, or cyclic, saturated or at least partially and in some cases fully unsaturated (i.e., alkenyl and alkynyl) hydrocarbon chains, including for example, methyl, ethyl, propyl, isopropyl, butyl, isobutyl, tert-butyl, pentyl, hexyl, octyl, ethenyl, propenyl, butenyl, pentenyl, hexenyl, octenyl, butadienyl, propynyl, butynyl, pentynyl, hexynyl, heptynyl, and allenyl groups. "Branched" refers to an alkyl group in which a lower alkyl group, such as methyl, ethyl or propyl, is attached to a linear alkyl chain. "Lower alkyl" refers to an alkyl group having 1 to about 8 carbon atoms (i.e., a  $C_{1-8}$  alkyl), e.g., 1, 2, 3, 4, 5, 6, 7, or 8 carbon atoms. "Higher alkyl" refers to an alkyl group having about 10 to about 20 carbon atoms, e.g., 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, or 20 carbon atoms. In certain embodiments, "alkyl" refers, in particular, to C1-8 straightchain alkyls. In other embodiments, "alkyl" refers, in particular, to C<sub>1-8</sub> branched-chain alkyls.

Alkyl groups can optionally be substituted (a "substituted alkyl") with one or more alkyl group substituents, which can be the same or different. The term "alkyl group substituent" includes but is not limited to alkyl, substituted alkyl, halo, 20 arylamino, acyl, hydroxyl, aryloxyl, alkoxyl, alkylthio, arylthio, aralkyloxyl, aralkylthio, carboxyl, alkoxycarbonyl, oxo, and cycloalkyl. In some embodiments, there can be optionally inserted along the alkyl chain one or more oxygen, sulfur or substituted or unsubstituted nitrogen atoms, 25 wherein the nitrogen substituent is hydrogen, lower alkyl (also referred to herein as "alkylaminoalkyl"), or aryl.

Thus, as used herein, the term "substituted alkyl" includes alkyl groups, as defined herein, in which one or more atoms or functional groups of the alkyl group are replaced with 30 another atom or functional group, including for example, alkyl, substituted alkyl, halogen, aryl, substituted aryl, alkoxyl, hydroxyl, nitro, amino, alkylamino, dialkylamino, sulfate, and mercapto.

The term "aryl" is used herein to refer to an aromatic 35 substituent that can be a single aromatic ring, or multiple aromatic rings that are fused together, linked covalently, or linked to a common group, such as, but not limited to, a methylene or ethylene moiety. The common linking group also can be a carbonyl, as in benzophenone, or oxygen, as in 40 diphenylether, or nitrogen, as in diphenylamine. The term "aryl" specifically encompasses heterocyclic aromatic compounds. The aromatic ring(s) can comprise phenyl, naphthyl, biphenyl, diphenylether, diphenylamine and benzophenone, among others. In particular embodiments, the term 45 "aryl" means a cyclic aromatic comprising about 5 to about 10 carbon atoms, e.g., 5, 6, 7, 8, 9, or 10 carbon atoms, and including 5- and 6-membered hydrocarbon and heterocyclic aromatic rings.

The aryl group can be optionally substituted (a "substi- 50 tuted aryl") with one or more aryl group substituents, which can be the same or different, wherein "aryl group substituent" includes alkyl, substituted alkyl, aryl, substituted aryl, aralkyl, hydroxyl, alkoxyl, aryloxyl, aralkyloxyl, carboxyl, acyl, halo, nitro, alkoxycarbonyl, aryloxycarbonyl, 55 refer to fluoro, chloro, bromo, and iodo groups. aralkoxycarbonyl, acyloxyl, acylamino, aroylamino, carbamoyl, alkylcarbamoyl, dialkylcarbamoyl, arylthio, alkylthio, alkylene, and -NR'R", wherein R' and R" can each be independently hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, and aralkyl.

Thus, as used herein, the term "substituted aryl" includes aryl groups, as defined herein, in which one or more atoms or functional groups of the aryl group are replaced with another atom or functional group, including for example, alkyl, substituted alkyl, halogen, aryl, substituted aryl, 65 alkoxyl, hydroxyl, nitro, amino, alkylamino, dialkylamino, sulfate, and mercapto.

Specific examples of aryl groups include, but are not limited to, cyclopentadienyl, phenyl, furan, thiophene, pyrrole, pyran, pyridine, imidazole, benzimidazole, isothiazole, isoxazole, pyrazole, pyrazine, triazine, pyrimidine, quinoline, isoquinoline, indole, carbazole, and the like.

"Heteroaryl" as used herein refers to an aryl group that contains one or more non-carbon atoms (e.g., O, N, S, Se, etc.) in the backbone of a ring structure. Nitrogen-containing heteroaryl moieties include, but are not limited to, pyridine, imidazole, benzimidazole, pyrazole, pyrazine, triazine, pyrimidine, and the like.

"Aralkyl" refers to an -alkyl-aryl group, optionally wherein the alkyl and/or aryl moiety is substituted.

"Alkylene" refers to a straight or branched bivalent aliphatic hydrocarbon group having from 1 to about 20 carbon atoms, e.g., 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, or 20 carbon atoms. The alkylene group can be straight, branched or cyclic. The alkylene group also can be optionally unsaturated and/or substituted with one or more "alkyl group substituents." There can be optionally inserted along the alkylene group one or more oxygen, sulfur or substituted or unsubstituted nitrogen atoms (also referred to herein as "alkylaminoalkyl"), wherein the nitrogen substituent is alkyl as previously described. Exemplary alkylene groups include methylene (-CH2-); ethylene (-CH2propylene  $(-(CH_2)_3-);$ cyclohexylene CH<sub>2</sub>—); (---C<sub>6</sub>H<sub>10</sub>---); —CH=CH—CH=CH—; -CH=CH- $CH_2$ ,  $(CH_2)_a$ , N(R),  $(CH_2)_r$ , where in each of q and r is independently an integer from 0 to about 20, e.g., 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, or 20, and R is hydrogen or lower alkyl; methylenedioxyl  $(-O-CH_2-O)$ ; and ethylenedioxyl  $(-O-(CH_2)_2-O)$ O—). An alkylene group can have about 2 to about 3 carbon atoms and can further have 6-20 carbons.

The term "arylene" refers to a bivalent aromatic group, e.g., a bivalent phenyl or napthyl group. The arylene group can optionally be substituted with one or more aryl group substituents and/or include one or more heteroatoms.

The term "amino" refers to the group  $-N(R)_2$  wherein each R is independently H, alkyl, substituted alkyl, aryl, substituted aryl, aralkyl, or substituted aralkyl. The terms "aminoalkyl" and "alkylamino" can refer to the group  $-N(R)_2$  wherein each R is H, alkyl or substituted alkyl, and wherein at least one R is alkyl or substituted alkyl. "Arylamine" and "aminoaryl" refer to the group  $-N(R)_2$  wherein each R is H, aryl, or substituted aryl, and wherein at least one R is any or substituted any l, e.g., and ine (i.e.,  $-NHC_6H_5$ ).

The term "thioalkyl" can refer to the group -SR, wherein R is selected from H, alkyl, substituted alkyl, aralkyl, substituted aralkyl, aryl, and substituted aryl. Similarly, the terms "thioaralkyl" and "thioaryl" refer to -SR groups wherein R is aralkyl and aryl, respectively.

The term "disulfide" can refer to the -S-S- group. The terms "halo", "halide", or "halogen" as used herein

The terms "hydroxyl" and "hydroxy" refer to the -OH group.

The terms "mercapto" or "thiol" refer to the —SH group. The terms "carboxylate" and "carboxylic acid" can refer 60 to the groups —C(=O)O— and —C(=O)OH, respectively. The term "carboxyl" can also refer to the -C(=O)OH group. In some embodiments, "carboxylate" or "carboxyl" can refer to either the -C(=O)O or -C(=O)OH group.

The term "phosphonate" refers to the -P(=O)(OR)<sub>2</sub> group, wherein each R can be independently H, alkyl, aralkyl, aryl, or a negative charge (i.e., wherein effectively there is no R group present to bond to the oxygen atom,

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resulting in the presence of an unshared pair of electrons on the oxygen atom). Thus, stated another way, each R can be present or absent, and when present is selected from H, alkyl, aralkyl, or aryl.

The term "phosphate" refers to the  $-OP(=O)(OR')_2$  <sup>5</sup> group, where R' is H or a negative charge.

Wavy lines, such as in the wavy line shown in the structure:



are used in the chemical formulas described herein to indicate the attachment site of the specified structure to another chemical group, for example, to a monovalent derivative of a drug compound.

The term "monovalent" as used herein refers to a chemical moiety that has one site available for chemical bonding to another chemical moiety. Thus, a "monovalent moiety" can be a part of whole molecule that is attached to the remainder of the whole molecule via an attachment at one 30 site on the monovalent moiety.

The term "bivalent" as used herein refers to a chemical moiety that has two sites available for chemical bonding to another chemical moiety or moieties.

The terms "conjugate" and "conjugated" as used herein 35 entirety. The terms "ligand" and "chelating group used interchangeably. The term "bridging ligand" to a group that bonds to more than one metal ion or thus providing a "bridge" between the metal ions plexes. Organic bridging ligands can have two groups with unshared electron pairs separated example, an alkylene or arylene group. Groups with unshared electron pairs, include, but are not lin — $CO_2H$ , — $NO_2$ , amino, hydroxyl, thio, that one or more bonds in the linker can be broken when the prodrug is exposed to a particular physiological environment or enzyme.

The term "prodrug" as used herein, can refer to a compound that, upon administration to a subject or sample, is capable of providing (directly or indirectly) another compound (i.e., a "parent compound") having a desired biologi-50 cal activity (e.g., anticancer activity). In some, but not all, embodiments, the prodrug compound has less of the desired biological activity than the parent compound. In some embodiments, the prodrug compound has no measurable biological activity prior to transformation to the parent 55 compound. In some embodiments, the prodrug itself has the desired activity.

Transformation of the prodrug to the parent compound can take place in the presence of particular enzymes (e.g., esterases) or under certain biological conditions (e.g., at a 60 physiologically relevant pH or in the presence of reducing agents present in a physiological environment). In some embodiments, the prodrug is initially transformed into another prodrug, which is then transformed (sometimes much more slowly) into the parent compound. Prodrugs can 65 provide increased bioavailability and/or enhanced delivery to a biological compartment (e.g., a lysosome, the brain or

lymphatic system, etc.) relative to a parent compound. In some embodiments, the prodrug can be more compatible with a particular delivery platform or formulation than the parent compound.

The terms "bonding" or "bonded" and variations thereof can refer to covalent, coordinative, or non-covalent bonding. In some cases, the term "bonding" refers to bonding via a coordinate bond. The term "conjugation" can refer to a bonding process, as well, such as the formation of a covalent linkage or a coordinate bond.

A "coordination complex" is a compound in which there is a coordinate bond between a metal ion and an electron pair donor, ligand or chelating group. Thus, ligands or chelating groups are generally electron pair donors, molecules or molecular ions having unshared electron pairs available for donation to a metal ion.

The term "coordinate bond" refers to an interaction between an electron pair donor and a coordination site on a metal ion resulting in an attractive force between the electron pair donor and the metal ion. The use of this term is not intended to be limiting, in so much as certain coordinate bonds also can be classified as having more or less covalent character (if not entirely covalent character) depending on the characteristics of the metal ion and the electron pair donor.

As used herein, the term "ligand" refers generally to a species, such as a molecule or ion, which interacts, e.g., binds, in some way with another species. More particularly, as used herein, a "ligand" can refer to a molecule or ion that binds a metal ion in solution to form a "coordination complex." See Martell, A. E., and Hancock, R. D., Metal Complexes in Aqueous Solutions, Plenum: New York (1996), which is incorporated herein by reference in its entirety. The terms "ligand" and "chelating group" can be used interchangeably. The term "bridging ligand" can refer to a group that bonds to more than one metal ion or complex, thus providing a "bridge" between the metal ions or complexes. Organic bridging ligands can have two or more groups with unshared electron pairs separated by, for example, an alkylene or arylene group. Groups with unshared electron pairs, include, but are not limited to, -CO<sub>2</sub>H, ---NO<sub>2</sub>, amino, hydroxyl, thio, thioalkyl, -B(OH)<sub>2</sub>, -SO<sub>3</sub>H, PO<sub>3</sub>H, phosphonate, and heteroatoms

The term "coordination site" when used herein with regard to a ligand, e.g., a bridging ligand, refers to a unshared electron pair, a negative charge, or atoms or functional groups cable of forming an unshared electron pair or negative charge (e.g., via deprotonation under at a particular pH).

As used herein, the term "metal-organic matrix material" refers to a solid material comprising both metal and organic components, wherein the organic components include at least one, and typically more than one carbon atom. The material can be crystalline or amorphous. In some embodiments, the matrix material is porous. In some embodiments, the metal-organic matrix material is a coordination polymer, (e.g., a nanoscale coordination polymer (NCP)) which comprises repeating units of coordination complexes comprising metal ions and bridging polydentate (e.g., bidentate) ligands (e.g., organic ligands). In some embodiments, the matrix material contains more than one type of metal ion. In some embodiments, the matrix material can contain metal clusters. In some embodiments, the matrix material is a metal-organic framework (MOF) comprising a coordination complex network that comprises bridging organic ligands.

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The term "metal-organic framework" or "MOF" can refer to a solid two- or three-dimensional network comprising both metal and organic components, wherein the organic components include at least one, and typically more than one carbon atom. In some embodiments, the material is crystal- 5 line. In some embodiments, the material is amorphous. In some embodiments, the material is porous. In some embodiments, the metal-organic matrix material is a coordination polymer, which comprises repeating units of coordination complexes comprising a metal-based secondary building 10 unit (SBU), such as a metal ion or metal complex, and a bridging polydentate (e.g., bidentate or tridentate) organic ligand. In some embodiments, the material contains more than one type of SBU or metal ion. In some embodiments, the material can contain more than one type of organic 15 bridging ligand. As used herein, an MOF typically refers to a metal-organic matrix material wherein the metal component is a metal cluster or metal oxo cluster, while NCP can refer to a metal-organic matrix material where the metal component is a metal ion. Further, while NCP as used herein 20 typically refers to a metal organic matrix material, in some embodiments an NCP can refer to a coordination polymer that does not include a carbon-containing ligand. For example, the NCP can refer to zinc pyrophosphate.

The terms "nanoscale particle," "nanomaterial," and 25 "nanoparticle" refer to a structure having at least one region with a dimension (e.g., length, width, diameter, etc.) of less than about 1,000 nm. In some embodiments, the dimension is smaller (e.g., less than about 500 nm, less than about 250 nm, less than about 200 nm, less than about 150 nm, less 30 than about 125 nm, less than about 100 nm, less than about 80 nm, less than about 70 nm, less than about 60 nm, less than about 50 nm, less than about 40 nm, less than about 30 nm or even less than about 20 nm). In some embodiments, the dimension is between about 20 nm and about 250 nm 35 (e.g., about 20, 30, 40, 50, 60, 70, 80, 90, 100, 110, 120, 130, 140, 150, 160, 170, 180, 190, 200, 210, 220, 230, 240, or 250 nm).

In some embodiments, the nanoparticle is approximately spherical. When the nanoparticle is approximately spherical, 40 the characteristic dimension can correspond to the diameter of the sphere. In addition to spherical shapes, the nanomaterial can be disc-shaped, plate-shaped (e.g., hexagonally plate-like), oblong, polyhedral, rod-shaped, cubic, or irregularly-shaped.

The nanoparticle can comprise a core region (i.e., the space between the outer dimensions of the particle) and an outer surface (i.e., the surface that defines the outer dimensions of the particle). In some embodiments, the nanoparticle can have one or more coating layers surrounding or 50 partially surrounding the nanoparticle core. Thus, for example, a spherical nanoparticle can have one or more concentric coating layers, each successive layer being dispersed over the outer surface of a smaller layer closer to the center of the particle.

"Embedded" can refer to a agent that is bound, for example covalently bound or bound via a coordinative bond, inside or on the surface of the core of the particle (e.g., to a coordination site of a bridging ligand or to a metal ion). Alternatively, agents can be "sequestered", "entrapped", or 60 "trapped" (i.e., non-covalently encapsulated) inside pores, cavities or channels in the metal-organic matrix core of a NCP or MOF particle or interact with a NCP or MOF material via hydrogen bonding, London dispersion forces, or any other non-covalent interaction.

The terms "polymer" and "polymeric" refer to chemical structures that have repeating units (i.e., multiple copies of

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a given chemical substructure). Polymers can be formed from polymerizable monomers. A polymerizable monomer is a molecule that comprises one or more moieties that can react to form bonds (e.g., covalent or coordination bonds) with moieties on other molecules of polymerizable monomer. In some embodiments, each polymerizable monomer molecule can bond to two or more other molecules/moieties. In some cases, a polymerizable monomer will bond to only one other molecule, forming a terminus of the polymeric material.

Polymers can be organic, or inorganic, or a combination thereof. As used herein, the term "inorganic" refers to a compound or composition that contains at least some atoms other than carbon, hydrogen, nitrogen, oxygen, sulfur, phosphorous, or one of the halides. Thus, for example, an inorganic compound or composition can contain one or more silicon atoms and/or one or more metal atoms.

As used herein "organic polymers" are those that do not include silica or metal atoms in their repeating units. Exemplary organic polymers include polyvinylpyrrolidone (PVO), polyesters, polyamides, polyethers, polydienes, and the like. Some organic polymers contain biodegradable linkages, such as esters or amides, such that they can degrade overtime under biological conditions.

The term "hydrophilic polymer" as used herein generally refers to hydrophilic organic polymers, such as but not limited to, polyvinylpyrrolidone (PVP), polyvinylmethylether, polymethyloxazoline, polyethyloxazoline, polyhydroxy-propyloxazoline, polyhydroxypropylmethacrylamide. polymethy-acrylamide, polydimethylacrylamide, polyhydroxylpropylmethacrylate, polyhydroxyethylacrylate, hydroxymethylcellulose, hydroxyethylcellulose, polyethylene-imine (PEI), polyethyleneglycol (i.e., PEG) or another hydrophilic poly(alkyleneoxide), polyglycerine, and polyaspartamide. The term "hydrophilic" refers to the ability of a molecule or chemical species to interact with water. Thus, hydrophilic polymers are typically polar or have groups that can hydrogen bond to water.

The term "imaging agent" refers to a chemical moiety that aids in the visualization of a sample. For example, an imaging agent can be a "contrast agent", and can refer to a moiety (a specific part of or an entire molecule, macromolecule, coordination complex, or nanoparticle) that increases the contrast of a biological tissue or structure being examined. The contrast agent can increase the contrast of a structure being examined using, for example, magnetic resonance imaging (MRI), optical imaging, positron emission tomography (PET) imaging, single photon emission computed tomography (SPECT) imaging, or a combination thereof (i.e., the contrast agent can be multimodal).

The term "MRI contrast agent" refers to a moiety that effects a change in induced relaxation rates of water protons in a sample.

The terms "optical imaging agent" or "optical contrast 55 agent" refer to a group that can be detected based upon an ability to absorb, reflect or emit light (e.g., ultraviolet, visible, or infrared light). Optical imaging agents can be detected based on a change in amount of absorbance, reflectance, or fluorescence, or a change in the number of absorbance peaks or their wavelength maxima. Thus, optical imaging agents include those which can be detected based on fluorescence or luminescence, including organic and inorganic dyes.

The terms "fluorophore" and "fluorescent moiety" refer to species that can be excited by visible light or non-visible light (e.g., UV light). Examples of fluorophores include, but are not limited to: quantum dots and doped quantum dots

(e.g., a semiconducting CdSe quantum dot or a Mn-doped CdSe quantum dot), fluorescein, fluorescein derivatives and analogues, indocyanine green, rhodamine, triphenylmethines, polymethines, cyanines, phalocyanines, naphthocyanines, merocyanines, lanthanide complexes or cryptates, 5 fullerenes, oxatellurazoles, LaJolla blue, porphyrins and porphyrin analogues and natural chromophores/fluorophores such as chlorophyll, carotenoids, flavonoids, bilins, phytochrome, phycobilins, phycoerythrin, phycocyanines, retinoic acid and analogues such as retinoins and retinates. 10

The term "photosensitizer" (PS) refers to a chemical compound or moiety that can be excited by light of a particular wavelength, typically visible or near-infrared (NIR) light, and produce a reactive oxygen species (ROS). For example, in its excited state, the photosensitizer can 13 undergo intersystem crossing and transfer energy to oxygen  $(O_2)$  (e.g., in tissues being treated by PDT) to produce ROSs, such as singlet oxygen  $({}^{1}O_{2})$ . Any known type of a photosensitizer can be used in accordance with the presently disclosed subject matter. In some embodiments, the photo- 20 sensitizer is a porphyrin, a chlorophyll, a dye, or a derivative or analog thereof. In some embodiments, phophyrins, chlorins, bacteriochlorins, or porphycenes can be used. In some embodiments, the photosensitizer can have one or more functional groups, such as carboxylic acid, amine, or isoth- 25 iocyanate, e.g., for use in attaching the photosensitizer to another molecule or moiety, such as an organic bridging ligand, and/or for providing an additional site or sites to enhance coordination or to coordinate an additional metal or metals. In some embodiments, the photosensitizer is a 30 porphyrin or a derivative or analog thereof. Exemplary porphyrins include, but are not limited to, hematoporphyrin, protoporphyrin and tetraphenylporphyrin (TPP). Exemplary porphyrin derivatives include, but are not limited to, pyropheophorbides, bacteriochlorophylls, chlorophyll a, 35 benzoporphyrin derivatives, tetrahydroxyphenyl chlorins, purpurins, benzochlorins, naphthochlorins, verdins, rhodins, oxochlorins, azachlorins, bacteriochlorins, tolyporphyrins and benzobacteriochlorins. Porphyrin analogs include, but are not limited to, expanded porphyrin family members 40 (such as texaphyrins, sapphyrins and hexaphyrins), porphyrin isomers (such as porphycenes, inverted porphyrins, phthalocyanines, and naphthalocyanines), and TPP substituted with one or more functional groups.

The term "pyrolipid" refers to a conjugate of a lipid and 45 a porphyrin, porphyrin derivative, or porphyrin analog. In some embodiments, the pyrolipid can comprise a lipid conjugate wherein a porphyrin or a derivative or analog thereof is covalently attached to a lipid side chain. Pyrolipids and pyrolipid synthesis are described, for example, in U.S. 50 Patent Application Publication No. 2014/0127763, which is incorporated herein by reference in its entirety.

The term "lyso-lipid" refers to a lipid in which one or more acyl group has been removed.

The term "cancer" as used herein refers to diseases caused 55 by uncontrolled cell division and/or the ability of cells to metastasize, or to establish new growth in additional sites. The terms "malignant", "malignancy", "neoplasm", "tumor," "cancer" and variations thereof refer to cancerous cells or groups of cancerous cells. 60

Particular types of cancer include, but are not limited to, skin cancers (e.g., melanoma), connective tissue cancers (e.g., sarcomas), adipose cancers, breast cancers, head and neck cancers, lung cancers (e.g., mesothelioma), stomach cancers, pancreatic cancers, ovarian cancers, cervical can-65 cers, uterine cancers, anogenital cancers (e.g., testicular cancer), kidney cancers, bladder cancers, colon cancers,

prostate cancers, central nervous system (CNS) cancers, retinal cancer, blood, neuroblastomas, multiple myeloma, and lymphoid cancers (e.g., Hodgkin's and non-Hodgkin's lymphomas).

The term "metastatic cancer" refers to cancer that has spread from its initial site (i.e., the primary site) in a patient's body.

The terms "anticancer drug", "chemotherapeutic", and "anti-cancer prodrug" refer to drugs (i.e., chemical compounds) or prodrugs known to, or suspected of being able to treat a cancer (i.e., to kill cancer cells, prohibit proliferation of cancer cells, or treat a symptom related to cancer). In some embodiments, the term "chemotherapeutic" as used herein refers to a non-PS molecule that is used to treat cancer and/or that has cytotoxic ability. Such more traditional or conventional chemotherapeutic agents can be described by mechanism of action or by chemical compound class, and can include, but are not limited to, alkylating agents (e.g., melphalan), anthracyclines (e.g., doxorubicin), cytoskeletal disruptors (e.g., paclitaxel), epothilones, histone deacetylase inhibitors (e.g., vorinostat), inhibitors of topoisomerase I or II (e.g., irinotecan or etoposide), kinase inhibitors (e.g., bortezomib), nucleotide analogs or precursors thereof (e.g., methotrexate), peptide antibiotics (e.g., bleomycin), platinum based agents (e.g., cisplatin or oxaliplatin), retinoids (e.g., tretinoin), and vinka alkaloids (e.g., vinblastine).

The term "scintillator" refers to a moiety or compound that exhibits luminescence (emits light, e.g., light in the visible or NIR range) when excited by ionizing radiation, such as x-rays.

# II. General Considerations

Nanoscale coordination polymers (NCPs) are an emerging class of self-assembled, hybrid nanomaterials whose properties can be tuned by varying the molecular building blocks. NCPs can provide desirable drug delivery platforms for treating disease. See, for example, PCT International Patent Application Publications Nos. WO 2013/009701 and WO 2015/069926, the disclosures of each of which are incorporated herein by reference in their entireties. For instance, NCPs can be used to enhance the delivery of small molecule drugs and biologics to tumor sites via the enhanced permeability and retention (EPR) effect by taking advantage of the leaky blood vasculatures and reduced lymphatic drainage in tumors. NCPs can provide drug delivery by incorporating drug compounds or analogues as part of the particle metal-organic matrix core material, e.g., by covalent linkage or coordination to a matrix component, or, additionally or alternatively, by embedding the drug or drug analogue in pores in the matrix material core. In some embodiments, the particles can comprise coating layers surrounding all or a part of the particle core, wherein therapeutic agents (e.g., therapeutic small molecules or biological agents, e.g., or nucleic acids, proteins or antibodies), photosensitizers, targeting agents, passivating agents, and/or detection/imaging agents can be incorporated into the coating layers.

In one aspect, the presently disclosed subject matter is based on an approach for providing a prodrug suitable for incorporation into NCP particle lipid coating layers, e.g., to provide enhanced delivery of the corresponding parent drugs and for providing NCP combination therapies including the parent drug. The prodrugs can also be useful for incorpofor ration into other nanoparticle drug delivery platforms, such as, but not limited to, polymeric micelles, liposomes, dendrimers, polymer-based nanoparticles, silica-based nanopar-
ticles, nanoscale metal-organic frameworks (MOFs), and inorganic nanoparticles (e.g., gold nanoparticles, iron oxide nanoparticles, etc.).

#### **II.A Prodrugs**

For instance, in some embodiments, a suitable prodrug can be provided by conjugating a drug compound to a lipid moiety via a linkage that will degrade in vivo. In some embodiments, the linkage is a disulfide bond. Thus, in some 10embodiments, the prodrug is a drug-lipid conjugate, wherein the drug-lipid conjugate comprises a linker moiety comprising a disulfide. In some embodiments, the drug compound is a chemotherapeutic agent or a small molecule inhibitor for immunotherapy. In some embodiments, the drug compound 15 comprises a hydroxyl or phenol group and the drug compound can be covalently attached to a linker via a bond involving the oxygen atom of the hydroxyl or phenol. Alternatively, the drug compound can comprise a thiol, primary or secondary amine, or carboxylic acid group that 20 can be used as an attachment site to a linker group as part of forming a prodrug.

In some embodiments, the prodrug comprises a drug compound selected from the group including, but not limited to, Etoposide (ET), Camptothecin (CPT), dihydroartemisi- 25 nin (DHA), Paclitaxel (PTX), OTSC41, OTS964, OTS167 (see Matsuo et al., Science Translational Medicine, 22 Oct. 2014: 259ra145), Doxorubicin, Docetaxel, Vincristine, Mitoxantrone (MTX), artesunate (ART), Capecitabine, and NLG919 (see U.S. Patent Application Publication No. 2014/  $^{-30}$ 0066625, the disclosure of which is incorporated by reference in its entirety) conjugated to a lipid, such as, but not limited to, cholesterol (Chol), oleic acid (OA), a lyso-lipid, or phosphocholine, through a linker, e.g., a disulfide-con-35 taining linker. The structure of OTSC41 is shown at the top left of Scheme 1, below, while the structures of OTS964 and NLG919 are shown in the top right and bottom of Scheme 1, respectively. The prodrugs can be readily loaded onto NCP particles during the lipid coating process driven by 40 For instance, the monovalent derivative can be a deprotohydrophobic interactions between the prodrug lipid component (e.g., the Chol or OA) and phospholipids in the lipid coating. The prodrugs retain the antitumor activity of their corresponding parent drugs due to intracellular release of cells.







Accordingly, in some embodiments, the presently disclosed subject matter provides a prodrug comprising a lipid-conjugated therapeutic agent parent drug. In some embodiments, the prodrug comprises: (a) a monovalent drug moiety, (b) a monovalent lipid moiety, and (c) a bivalent linker moiety comprising a linkage that will degrade in vivo, such as a disulfide bond, wherein the monovalent drug moiety and the monovalent lipid moiety are linked (e.g., covalently linked) through the linker. The monovalent drug moiety and the monovalent lipid moieties can be monovalent derivatives of a drug compound and a lipid, respectively. nated derivative of a drug compound or lipid that comprises a hydroxyl, thiol, amino, or carboxylic acid group.

In some embodiments, the monovalent drug moiety is a active parent drugs under the reducing environment inside 45 monovalent derivative of a chemotherapeutic agent and/or of a small molecule inhibitor for immunotherapy. In some embodiments, the drug is a chemotherapeutic compound known to cause immunogenic cell death or to be immunestimulatory. Such compounds include, but are not limited to ET, PTX, OTS964, NLG919, OTS167, OTSC41, DHA, CPT, Doxorubicin, Docetaxel, Vincristine, MTX, ART, and Capecitabine. In some embodiments, the monovalent lipid moiety is a monovalent derivative of cholesterol, oleic acid, 55 a lyso-lipid or phosphocholine. In some embodiments, the bivalent linker moiety can be a derivative of a dihydroxysubstituted disulfide, such as, but not limited to, bis(2hydroxyethyl) disulfide, dihydroxydiphenyl disulfide, bis(2hydroxypropyl) disulfide, and the like. Such linkers can be 60 activated for attachment to a drug or lipid by reaction with phosgene or triphosgene, for example, to provide chloroformate groups.

> In some embodiments, the monovalent lipid moiety is a 65 cholesterol derivative and the monovalent lipid moiety and bivalent linker moiety together have the structure:



In some embodiments, monovalent lipid moiety is an oleic acid derivative and the monovalent lipid moiety and bivalent linker moiety together have the structure: In some embodiments, the monovalent drug moiety and the monovalent lipid moiety are each bonded to a hydroxyl group of bis-(2-hydroxyethyl) disulfide linker (or another

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In some embodiments, the monovalent lipid moiety is a lyso-lipid derivative and the monovalent lipid moiety and bivalent linker moiety together have the structure:

linker comprising two hydroxyl groups) via carbonate bonds. Alternatively, one or both of the drug moiety and the lipid moiety can be bonded to the linker via carbamate, <sup>35</sup> thioester, ester, amide, ether, or amine linkages.

Scheme 2. Exemplary Prodrug Linkages



where R is a saturated or unsaturated acyl group. In some embodiments, R is a  $C_8$ - $C_{26}$  (e.g., a  $C_8$ ,  $C_{10}$ ,  $C_{12}$ ,  $C_{14}$ ,  $C_{16}$ ,  $C_{18}$ ,  $C_{20}$ ,  $C_{22}$ ,  $C_{24}$  or  $C_{26}$ ) saturated or unsaturated acyl group. In some embodiments, R is selected from oleyl,  $_{50}$  stearyl or palmitoleyl.

In some embodiments, the monovalent lipid moiety is a phosphocholine derivative and the monovalent lipid moiety and bivalent linker together have the structure:











succinimide thioethers



hydrazones







60



In addition to linkers based on dihydroxy-substituted disulfides, any other suitable linker comprising a biodegradable bond can be used in the prodrugs of the presently disclosed subject matter. Scheme 2, above, shows additional exemplary biodegradable linkers suitable for the presently disclosed prodrugs. These linkers can be based on linkers that comprise a phenol group or groups that can form a phenol ester (or carbonate or carbamate) with the lipid 30 and/or drug moiety. Suitable linkers also include linkers that can form a benzyl ester (or carbonate or carbamate) with the lipid or drug moiety. Thus, in some embodiments, suitable linkers can be prepared from phenols or benzyl alcohols. 35 Additional suitable biodegradable linkers include, but are not limited to, linkers based on hydrazones, thiazolidines, thio-substituted succinimides (e.g., prepared from Michael addition of a thiol to a maleimide), other disulfides (i.e., disulfides other than dihydroxy-substituted disulfides), 40 dicarboxylic acids, 3-(hydroxyphenyl)propionic acids, and the like.

In some embodiments, the presently disclosed subject matter provides a composition comprising a lipid conjugate prodrug as described herein for use in treating a disease in 45 a subject in need of treatment thereof. In some embodiments, the composition for use in treating disease comprises a nanoparticle comprising the prodrug (e.g., present as a component of a lipid coating layer present as one or more coating layers coating at least a portion of the surface of the 50 nanoparticle core). In some embodiments, the composition or use in treating a disease further comprises one or more additional therapeutic agents, e.g., one or more chemotherapeutic agents (or analogues or prodrugs thereof), one or more immunotherapy agents, one or more scintillator, one or more photosensitizer, or any mixture thereof.

#### II.B. Combined Photodynamic Therapy and/or Chemotherapy and/or Immunotherapy

Photodynamic therapy (PDT) is a phototherapy that combines three non-toxic components—a photosensitizer (PS), a light source, and tissue oxygen—to cause toxicity to malignant and other diseased cells. The most widely accepted mechanism of PDT involves energy transfer from the lightexcited PS to oxygen molecules in the tissue to generate reactive oxygen species (ROS), particularly singlet oxygen  $({}^{1}O_{2})$ , which induces cellular toxicity. PDT can lead to localized destruction of diseased tissues via selective uptake of the PS and/or local exposure to light, providing a minimally invasive cancer therapy.

Selective delivery of chemotherapeutics to tumors is 5 preferred for successful chemotherapy. Similarly, localization of PSs in tumors is preferred for effective PDT. However, many PSs are hydrophobic in nature, which not only leads to insufficient tumor localization, but also causes PS aggregation to diminish the PDT efficacy. Significant synthetic modifications are thus typically employed for rendering these PSs more effective PDT agents in vivo.

An alternative approach is to use nanocarriers to selectively deliver therapeutic or PDT agents to tumors via the enhanced permeation and retention effect (EPR) and some- 15 times, via active tumor targeting with small molecule or biologic ligands that bind to overexpressed receptors in cancers.

Nanoscale particles, such as those constructed from NCPs and comprising lipid or other coating layers, can be used as 20 a nanocarrier platform for therapeutic and PDT agents. Compared to other nanocarriers, NCPs can combine many beneficial features into a single delivery platform, including tunable chemical compositions and crystalline structures; high porosity; and biodegradability. 25

PDT can selectively kill tumor cells while preserving adjacent normal tissue. PDT does not incur cross-resistance with radiotherapy or chemotherapy, and therefore, is useful in the treatment of cancer patients who have not responded significantly to traditional radiotherapy and/or chemo- 30 therapy. PDT can provoke a strong acute inflammatory reaction observed as localized edema at the targeted site. The inflammation elicited by PDT is a tumor antigen nonspecific process orchestrated by the innate immune system. PDT is particularly effective in rapidly generating an abundance of 35 alarm/danger signals, such as damage-associated molecular patterns (DAMPs), at the treated site that can be detected by the innate immunity alert elements. PDT-mediated enhancement of antitumor immunity is believed due to the stimulation of dendritic cells by dead and dying tumor cells and 40 can be accompanied by the recruitment and activation of CD8+ cytotoxic T cells (CTLs) followed by the formation of immune memory cells and resistance to subsequent tumor growth.

Accordingly, in some embodiments, the presently dis- 45 closed subject matter provides a method that combines PDT (or X-ray PDT) with immunotherapy. In some embodiments, the presently disclosed subject matter provides a method of treating a disease in a patient in need of treatment thereof, the method comprising the steps of: administering to a 50 patient a nanoparticle photosensitizer or a scintillator, irradiating at least a portion of the patient with light and/or X-rays; and administering to the patient an immunotherapy agent. In some embodiments, the nanoparticle photosensitizer comprises a NCP. In some embodiments, the nanopar-55 ticle comprises a lipid-conjugate prodrug of the presently disclosed subject matter.

A number of inorganic, organic, and hybrid materials are known to strongly absorb near-infrared light to generate single oxygen. The therapeutic use of such PDT materials 60 can be combined with immune checkpoint inhibitor therapy. Exemplary photosensitizers for use in the presently disclosed methods include, but are not limited to: upconversion nanoparticles, such as NaYF<sub>4</sub> (for example, doped at a ratio of Y:Yb:Er=78%:20%:2%), combined with chlorin e6 or 65 MC540; photosensitizers embedded in silica-based nanoparticles, such as 2-devinyl-2-(1-hexyloxyethyl) pyropheophor-

bide (HPPH) loaded silica nanoparticles; polymer micelle loaded photosensitizers, such as Zn(II)phthalocyanine loaded in DSPE-PEG<sub>5k</sub> polymer micelles; liposome based photosensitizer delivery systems, such as 5,10,15,20-tetrakis (m-hydroxyphenyl)chlorin encapsulated in a liposome and 5-aminolevulinic acid (ALA) encapsulated liposome; human serum albumin (HSA)-based photosensitizer delivery systems, such as HSA-pheophorbide a conjugate particles; dendrimer based photosensitizer delivery systems, such as PEG-attached poly(propyleneimine) or poly(amido amine) loaded with rose bengal and PplX; porphyrin-, chlorin- or bacteriochlorin-conjugated phospholipid based bilayer delivery systems, such as porphyrin-lipid conjugates (pyrolipid) self-assembly nanovesicles (Porphysome); a NCP particle comprising a lipid coating layer comprising pyrolipid (NCP@Pyrolipid); and a nanoparticle comprising a zinc-pyrophosphate core and a lipid coating layer comprising pyrolipid (Zn@Pyrolipid).

In some embodiments, the disease is cancer. For instance, the cancer can be selected from a cancer such as, but not limited to, a head tumor, a neck tumor, breast cancer, a gynecological tumor, a brain tumor, colorectal cancer, lung cancer, mesothelioma, a soft tissue sarcoma, skin cancer, connective tissue cancer, adipose cancer, lung cancer, stomach cancer, anogenital cancer, kidney cancer, bladder cancer, colon cancer, prostate cancer, central nervous system cancer, retinal cancer, blood cancer, neuroblastoma, multiple myeloma, lymphoid cancer and pancreatic cancer. In some embodiments, the cancer is a metastatic cancer

Any suitable immunotherapy agent can be used. For example, the immunotherapy agent can be a known small molecule inhibitor of programmed cell death protein 1 (PD-1), programmed death-ligand 1 (PD-L1, also known as CD274), cytotoxic T lymphocyte associated protein 4 (CTLA-4), indolamine 2,3-deoxygenase (I) (IDO), or C-C chemokine receptor type 7 (CCR7). Small molecule IDO inhibitors include, for instance, INCB24360 and NLG919, among others. In some embodiments, the small molecule inhibitor can be provided as a lipid conjugate prodrug. In some embodiments, the immunotherapy agent can be an antibody or antibody fragment. Immunotherapeutic antibodies for oncology include, but are not limited to, anti-CD51 antibodies (e.g., Alemtuzumab), anti-CD20 antibodies (e.g., Ofatumumab and Rituximab), anti-CD47 antibodies, and anti-GD2 antibodies. Immunotherapy agents can also include conjugated monoclonal antibodies, including, but not limited to, radiolabeled antibodies (e.g., Ibritumomab tiuxetan (Zevalin), etc.) and chemo-labeled antibodies (also known as antibody-drug conjugates (ADCs)), e.g., Brentuximab vedotin (Adcetris), Ado-trastuzumab emtansine (Kadcyla), denileukin diftitox (Ontak), etc. Other immunotherapy agents include cytokines, such as, but not limited to, interferons (i.e., IFN- $\alpha$ , INF- $\gamma$ ), interleukins (i.e. IL-2, IL-12), and tumor necrosis factor alpha (TNF- $\alpha$ ) and the like. Further suitable immunotherapeutic agents include, for example, polysaccharide-K, neoantigens, etc.

Accordingly, in some embodiments, the immunotherapy agent is selected from the group comprising an anti-CD52 antibody, an anti-CD20 antibody, anti-CD47 antibody, an anti-GD2 antibody, polysaccharide K and a cytokine. In some embodiments, the immunotherapy agent is selected from the group comprising Alemtuzumab, Ofatumumab, Rituximab, Zevalin, Adcetris, Kadcyla and Ontak. In some embodiments, the immunotherapy agent is selected from the group comprising a PD-1 inhibitor, a PD-L1 inhibitor, a CTLA-4 inhibitor, an IDO inhibitor, and a LAG3 inhibitor. In some embodiments, the immunotherapy agent is a prodrug (e.g., a lipid conjugate prodrug) of a small molecule inhibitor immunotherapy agent. In some embodiments, the cytokine is selected from the group comprising an interferon and an interleukin. In some embodiments, the cytokine is 5 selected from the group comprising IFN- $\alpha$ , IFN- $\gamma$ , IL-2, IL-12 and TNF- $\alpha$ .

In some embodiments, the presently disclosed subject matter proves a combination of PDT based on a nanoparticle PS and immunotherapy for the treatment of multiple cancer 10 types.

In some embodiments, the method comprises administering to the patient an additional treatment (i.e., in addition to the photosensitizer or scintillator and the immunotherapy agent). For example, in some embodiments, the additional 15 treatment is a cancer treatment or a treatment for a side effect of the cancer (e.g., a pain medication). In some embodiments, the additional treatment or additional cancer treatment is selected from the group including, but not limited to surgery, radiotherapy, chemotherapy, toxin therapy, immu- 20 notherapy, cryotherapy and gene therapy. The chemotherapy can comprise administering a drug selected from the group comprising oxaliplatin, doxorubicin, daunorubicin, docetaxel, mitoxanthrone, paclitaxel, digitoxin, digoxin, dihydroartemisinin, and septacidin. In some embodiments, the 25 chemotherapy can be administered in a drug formulation such as a polymeric micelle formulation, a liposomal formulation, a dendrimer formulation, a polymer-based nanoparticle formulation, a silica-based nanoparticle formulation, a NCP formulation, and an inorganic nanoparticle 30 formulation. Thus, in some embodiments, the presently disclosed subject matter provides the combination of PDT based on nanoparticle PS, chemotherapy, and immunotherapy for the treatment of multiple cancer types.

In some embodiments, irradiating with light can comprise 35 irradiating with visible or near infrared light. In some embodiments, the light can have a wavelength between about 630 nm and about 1400 nm (e.g., about 670 nm). In some embodiments, the light can have a wavelength of from about 630 nm to about 740 nm (e.g. 630, 640, 650, 660, 670, 40 680, 690, 700, 710, 720, 730, or about 740 nm).

According to some embodiments of the presently disclosed subject matter, PDT can be combined with inhibitorbased immunotherapy and/or other immunotherapy to cause systemic rejection of established tumors using adaptive 45 immune response, e.g., cytotoxic T cells. When combined with immunotherapeutic agents, not only the effective eradication of a primary tumor, but also suppression/eradication of a distant metastatic tumor or tumors can be accomplished using nanoparticle (e.g., NCP particle)-based PDT effects. In 50 some embodiments, the antitumor efficacy can be enhanced by adding chemotherapeutics that are known to cause immunogenic cell death.

A number of inorganic materials are known to strongly absorb X-rays and convert the absorbed X-ray energy to 55 visible and near-infrared light. The emitted near-infrared light from these X-ray scintillating nanomaterials can then be absorbed by the nearby photosensitizers to enable X-ray induced PDT effects. Other types of materials can also achieve X-ray induced PDT. When this X-ray induced PDT 60 is combined with immune checkpoint inhibitors, excellent radioimmunotherapy can be obtained. Examples of X-ray scintillating nanomaterials include, but are not limited to:  $LnO_3$ :Ln' nanoparticles,  $LnO_2S$  Ln' nanoparticles or  $LnX_3$ : Ln' nanoparticles, where Ln=Y, La, Ce, Pr, Nd, Sm, Eu, Gd, 65 Tb, Dy, Ho, Er, Tm, Yb, Lu, Ln'=Ce, Pr, Eu, Tb, etc. and X=F, Cl, Br, and I; X-ray scintillator MOFs, such as

 $M_6(\mu_3-M)_4(\mu_3-M)_4L_6$ , where M=Hf, Zr, or Ce; and L=9,10-anthracenylbisbenzoic acid and other formulations of MOFs containing heavy metal secondary building units: lanthanide based MOFs, in which the SBU can be, but is not limited to,  $Ln_4(\mu_4 - OH_2)(CO_2)(CO_2)_8(SO_4)_4$ ,  $[Ln(OH_2)]$  $(CO_2)_3$ ]n (infinite 1-D chain),  $[Ln(OH_2)(CO_2)_4]_n$  (infinite 1-D chain),  $[Ln(CO_2)_3-Ln(OH_2)_2(CO_2)_3]_n$  (infinite 1-D chain), where Ln=La, Ce, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu and/or their mixture combination, and the bridging ligands can include but are not limited to [1,4benzoic dicarboxylate], [2,5-dimethoxy-1,4-benzenedicarboxylate], [1,3,5-benzoic tricarboxylate], [1,3,5-benzenetrisbenzoate], [5-(pyridin-4-yl)isophthalic acid], [4,4',4"-Striazine-2,4,6-trivl tribenzoate], [biphenyl-3,4',5tricarboxylate], [4,4'-[(2,5-Dimethoxy-1,4-phenylene)di-2, 1-ethenediyl]bis-benzoic acid], etc.; quantum dots, such as ZnS:M quantum dots (M=Cu, Co, Mn, Eu, etc.) or carbon dots; gold nanoparticles, or platinum or other third-row metal particles; and other X-ray scintillators, such as SrAl<sub>2</sub>O<sub>4</sub>:Eu<sup>2+</sup>, NaYF<sub>4</sub>:Tb<sup>3+</sup>, and Er<sup>3+</sup>.

When a nanoparticle comprising a scintillator is used, the subject can be irradiated with X-rays in any suitable manner and/or using any suitable equipment, such as that currently being used for delivering X-rays in a medical or veterinary setting. In some embodiments, the X-ray source and/or output can be refined to enhance disease treatment. For instance, the X-rays can be generated using a peak voltage, current and/or, optionally, a filter chosen to minimize DNA damage in the patient due to X-ray irradiation and maximize X-ray absorption by the scintillator.

In some embodiments, the presently disclosed subject matter provides a method that combines treating a subject with one or more immunotherapy agents, one or more chemotherapeutic agents, or with a combination of an one or more immunotherapy agents and one or more chemotherapeutic agents wherein the method comprises administering to a subject at least one of the agents in the form of a lipid-conjugate prodrug. In some embodiments, the method comprises administering a lipid-conjugate prodrug to a subject and administering an immunotherapy agent to the subject. The immunotherapy agent can by any suitable immunotherapy agent, such as one of the immunotherapy agents described above with regard to the combination therapies involving PDT. The lipid-conjugate prodrug can be administered in the form of a nanoparticle, e.g., wherein the lipid-conjugate prodrug is present in a lipid coating layer of a nanoparticle, such as, but not limited to a NCP particle.

In some embodiments the method comprises administering the prodrug (e.g., as part of a nanoparticle), an immunotherapy agent, and at least one additional treatment, such as, but not limited to a cancer treatment (e.g. surgery, radiotherapy, chemotherapy, toxin therapy, immunotherapy, cryotherapy and gene therapy. The chemotherapy can comprise administering a drug selected from the group comprising oxaliplatin, doxorubicin, daunorubicin, docetaxel, mitoxanthrone, paclitaxel, digitoxin, digoxin, dihydroartemisinin, and septacidin. The chemotherapy can comprise administering a drug formulation such as one of the chemotherapy drug formulations described herein above with regard to combination therapies involving PDT,

#### II.C. Particles and Other Compositions Comprising Lipid-Conjugate Prodrugs

The lipid-based prodrugs described herein can be combined with any suitable additional drug delivery platform. In some embodiments, the presently disclosed subject matter

provides a composition comprising zinc pyrophosphate (i.e., an inorganic compound comprising  $Zn^{2+}$  cations and pyrophosphate anions) and a lipid conjugate prodrug (e.g., a prodrug comprising a drug moiety linked to a lipid moiety via a linker comprising a disulfide bond). In some embodi- 5 ments, the zinc pyrophosphate can be provided in the form of a nanoparticle. In some embodiments, the zinc pyrophosphate nanoparticle can be coated with a lipid coating layer, wherein the lipid coating layer can comprise the lipid conjugate prodrug.

In some embodiments, the presently disclosed subject matter provides a composition comprising a NCP comprising a cisplatin and/or oxaliplatin analogue or prodrug (e.g., as a bridging ligand in the NCP) and a lipid conjugate prodrug. As with the zinc pyrophosphate, the NCP can be 15 provided in the form of a nanoparticle. In some embodiments, the NCP particle can be coated with a lipid coating layer comprising the prodrug. In some embodiments, the composition can further include a nucleic acid therapeutic agent, such as a siRNA, a miRNA or an AS ODN.

In some embodiments, the presently disclosed subject matter provides a nanoscale particle for delivery of therapeutic agents, wherein said nanoscale particle comprises: a core comprising a metal-organic matrix material and a prodrug comprising a drug-lipid conjugate. In some embodi- 25 ments, the prodrug can be present in a lipid coating layer surrounding all or a portion of the surface of the particle core. In some embodiments, the drug-lipid conjugate comprises a monovalent drug moiety, a monovalent lipid moiety and a bivalent linker moiety comprising a biodegradable 30 bond, e.g., a disulfide bond. The monovalent drug moiety can be, for example, a monovalent derivative of an anticancer drug and/or a small molecule immunotherapy agent. In some embodiments, the monovalent drug moiety can be a monovalent derivative of a drug such as, but not limited to, 35 ET, PTX, OTS964, NLG919, OTS167, OTSC41, DHA, CPT, Doxorubicin, Docetaxel, Vincristine, MTX, ART, and Capecitabine. In some embodiments, the lipid moiety is a monovalent derivative of CHOL, OA, a lyso-lipid, or phosphocholine. In some embodiments, the bivalent linker is a 40 bivalent derivative of bis(2-hydroxyethyl) disulfide or a similar compound. The nanoparticle can comprise any suitable metal-organic matrix. In some embodiments, the metalorganic matrix comprises a coordination polymer (e.g., a NCP).

Neoplastic cells grow within the context of the host environment, and can respond to numerous physical, chemical and cellular challenges. Therefore, those cells develop multiple strategies to control the tumor-host interaction. In order for a neoplasm to grow and spread, it needs to obtain 50 sufficient oxygen and nutrients to break down the extracellular matrix (ECM) in order to invade surrounding tissues and metastasize, and to evade the host immune response. RNA interference (RNAi) technology can be used to target the molecules involved in angiogenesis, invasion/metastasis, 55 and immune evasion for cancer therapy. These target genes include: (1) growth factors (e.g., VEGF, EGF, FGF, PDGF, IL-8, and IGF-1); (2) proteases and protease inhibitors (e.g., Cathepsin, MMP2, Stromelysin, and uPA); (3) oncogenes (e.g., c-myc, ras, c-src, v-raf, c-jun, and VEGFR); (4) signal 60 transduction (e.g., thymidine and phosporylase); (5) enzymes (e.g., RAS-farnesyl, transferase, Geranyl, and Transferase); (6) cytokines (e.g., IL-1, IL-6, and IL-8); and (7) endogenous stimulator (e.g., Ang-1, Angiostatin II, Endothelin, iNOS, PAF, and Cox-2). 65

The expression of antiapoptotic proteins by cancer cells is one mechanism by which cancer cells develop resistance to

chemotherapy or irradiation. Using RNAi to target antiapoptotic proteins represents a promising strategy to be used in conjunction with chemotherapy, PDT, and radiotherapy for cancer treatment. There are also several additional mechanisms that contribute to the chemoresistance or radioresistance, and molecules related to these mechanisms can provide opportunities for RNAi intervention. For example, RNAi targeting multidrug resistance (MDR) genes (e.g., ABCB1, ABCB4, and ABCB5) can be an approach for the treatment of MDR gene-mediated drug resistance. DNA repair mechanisms are important for the maintenance of genomic stability and thus are potential therapeutic targets for cancer. In the stress of chemo- or radiotherapy, cancer cells can overexpress proteins related to DNA repair in order to restore therapy-induced DNA damage. These target genes include excision repair cross-complementing 1 (ERCC1), X-ray repair cross-complementing protein 1 (XRCC1), ribonucleotide reductase, double-strand break signaling/repair proteins ATM, and DNA-dependent protein kinase catalytic 20 subunit.

MicroRNAs (miRNAs) are a class of small, non-coding RNAs that post-transcriptionally control the translation and stability of mRNAs. miRNAs are responsible for maintaining a proper balance of various biological processes, including proliferation, differentiation, and cell death. In cancer, the loss of tumor-suppressive miRNAs enhances the expression of target oncogenes, whereas increased expression of oncogenic miRNAs can repress target tumor suppressor genes. Cancer-related miRNAs have been classified as oncogenic (such as miR-155, miR-21, and miR-17-29), tumorsuppressive (such as miR-15, miR-16, LIN28, DICER), and context-dependent (such as miR-146 and miR-29) genes. Delivering tumor-suppressive miRNAs and silencing oncogenic miRNAs have been successful in various mouse models.

Owing to the ability of miRNAs to target signaling pathways that are often perturbed in cancer, miRNAs also have the potential to sensitize resistant cells. MDR usually involves the increased excretion of a drug through ATPbinding cassette (ABC) transporters. Two of these ABC transporters, ABCC3 and ABCC6, are induced directly by SOX2. miR-9 is identified as a negative regulator of SOX2. Forced expression of miR-9 in a chemotherapy-resistant glioma stem cell lines suppresses SOX2 expression, leading 45 to reduced ABC transporter expression and hence drug retention.

Oligonucleotides are unmodified or chemically modified single-stranded DNA molecules. In general, they are relatively short (13-25 nucleotides) and hybridize to a unique sequence in the total pool of targets present in cells. Antisense oligonucleotides (AS ODNs) are single-stranded DNA fragments found to be able to inhibit mRNA translation. Antitumor AS ODNs are targeted to the genes that are involved in cell division, angiogenesis, metastasis, and cell survival in the presence of apoptotic signals including Bcl-2, Survivin, MDM2, Bcl-XL, RelA, RAS, RAF, BCR-ABL, JNK1,2, TERT, c-myc, and c-myb. Since the majority of cancer cells differ in gene expression profile from normal cells, AS ODNs can be used to specifically suppress the tumor growth with minimal consequences for normal cells. For example, Genta Inc. (Berkeley Heights, N.J., United States of America) has developed an 18-mer phosphothioate AS ODN that is complementary to Bcl-2, known as Genasense<sup>™</sup>. In addition, AS ODNs targeting MDM2 have been shown to potentiate the effects of growth inhibition, p53 activation and p21 induction by several chemotherapeutic agents.

Accordingly, in some embodiments, the nanoparticle can further comprise at least one nucleic acid therapeutic agent (e.g., a nucleic acid chemotherapeutic agent). The nucleic acid chemotherapeutic agent can be a small interfering ribonucleic acid (siRNA), a miRNA, or an AS ODN. The 5 nucleic acid chemotherapeutic agent can be covalent linked to a lipid molecule and/or attached to a lipid molecule via electrostatic interactions, wherein said lipid molecule can form part of a lipid coating layer surrounding all or a portion of the surface of the nanoparticle core. Additionally or 10 alternatively, at least one nucleic acid can be attached to the metal-organic matrix material core via coordination bonds between phosphate groups on the nucleic acid and metal ions on an outer surface of the core. In some embodiments, nucleic acids such as siRNAs, miRNAs, and AS ODNs, can 15 be directly loaded to the surfaces of NCPs via coordination bonds between metal ions on the NCP outer surface and phosphate groups on nucleic acids.

The nanoparticles can comprise, for example, a single siRNA or pooled siRNAs (including several siRNAs target- 20 ing different anti-cancer pathways). These siRNAs can include, but are not limited to, the following: EGFR/ErbB1 siRNA, ErbB2/HER2/Neu siRNA, IGF-1R siRNA, K-ras siRNA, R-ras siRNA, BRAF siRNA, ABL siRNA, c-Src siRNA, Met siRNA, c-Myc siRNA, N-Myc siRNA, Cyclin- 25 D1 siRNA, PI3K siRNA, AKT siRNA, NF-κβ siRNA, EWS/FLI-1 siRNA, HIF siRNA, HPV E7 siRNA, E2F4 siRNA, HPV E6 siRNA, Hdmx siRNA, Notch-1 siRNA, Delta-like-1 siRNA, FLIP siRNA, BCL-2 siRNA, BCL-XL siRNA, Survivin siRNA, XIAP siRNA, Telomerase siRNA, 30 ID1 siRNA, Cks-1 siRNA, Skp-2 siRNA, cathepsin L siRNA, VEGF siRNA, EGF siRNA, FGF siRNA, PDGF siRNA, IL-8 siRNA, IGF-1 siRNA, Cathepsin siRNA, MMP2 siRNA, Stromelysin siRNA, uPA siRNA, c-myc siRNA, ras siRNA, c-src siRNA, v-raf siRNA, c-jun siRNA, 35 VEGFR siRNA, Thymidine siRNA, phosporylase siRNA, RAS-farnesyl siRNA, transferase siRNA, Geranyl siRNA, Transferase siRNA, IL-1 siRNA, IL-6 siRNA, IL-8 siRNA, Ang-1 siRNA, Angiostatin II siRNA, Endothelin siRNA, iNOS siRNA, PAF siRNA, Cox-2 siRNA, ABCB1 siRNA, 40 ABCB4 siRNA, ABCB5 siRNA, P-glycoprotein siRNA, ERCC1 siRNA, and ATM siRNA. The miRNAs can include, but are not limited to, the following: miR-9, miR-15, miR-16, miR-34, miR-181, miR-200, miR 200c, miR-342, miR-630, let-7, LIN28, and DICER. The particles can also 45 include one or more antisense oligonucleotides (AS ODNs). Gene targets of the AS ODNs used can include, but are not limited to, the following: Bcl-2, Survivin, MDM2, Bcl-XL, RelA, RAS, RAF, BCR-ABL, JNK1,2, TERT, c-myc, and c-myb. In some embodiments, the nucleic acid can be used 50 to suppress an immunotherapy target. Thus, in some embodiments, the nucleic acid can be, for example, a siRNA targeting PD-1/PD-L1, a siRNA targeting IDO or a siRNA targeting CCR7. In some embodiments, one nucleic acid is used. In other embodiments, a combination of 2, 3, 4, 5, 6, 55 7, 8, 9, 10 or more different nucleic acids is used. In some embodiments, the nanoparticle can comprise at least one nucleic acid agent selected from the group comprising, but not limited to, survivin siRNA, ERCC-1 siRNA, P-glycoprotein siRNA (P-gp siRNA), Bcl-2 siRNA, or a mixture 60 thereof.

In some embodiments, the nanoparticle can further comprise at least one photosensitizer (PS). In some embodiments, the PS is embedded or sequestered in the nanoparticle core (e.g., in pores or hollow interior cavities) or covalently attached to an organic moiety within the NCP matrix. In some embodiments, the PS is covalently or non-covalently

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attached to a lipid coating layer or layers (i.e., a single lipid layer, lipid bilayer, or combination thereof) surrounding a portion of the outer surface of the nanoparticle core. Any suitable PS can be used, such as but not limited to, porphyrins, chlorophylls dyes, or derivatives or analogs thereof. In some embodiments, the coating layer or layers includes a lipid single layer or lipid bilayer comprising a pyrolipid, i.e., a lipid covalently attached to a porphyrin or a derivative or analog thereof.

In some embodiments, the nanoparticle can further comprise at least one non-nucleic acid chemotherapeutic agent incorporated in the metal-organic matrix material core. For example, the at least one non-nucleic acid chemotherapeutic agent can be incorporated in the metal-organic matrix material core via a covalent or a coordination bond. Alternatively, the agent can embedded or sequestered in pores or hollow cavities within the core. Any suitable non-nucleic acid chemotherapeutic agent can be used. In some embodiments, the non-nucleic acid chemotherapeutic agent is selected from the group comprising, but not limited to cisplatin or oxaliplatin prodrugs, gemcitabine, methotrexate, leucovorin, pemetrexed disodium, doxorubicin, vinblastine, vincristine, vindesine, cytarabine, azathioprine, melphalan, imatinib, anastrozole, letrozole, carboplatin, paclitaxel, docetaxel, etoposide, and vinorelbine. The particle can comprise a single non-nucleic acid chemotherapeutic agent incorporated into the metal-organic matrix core or can comprise combinations of 2, 3, 4, 5, 6, or more non-nucleic acid chemotherapeutic agents incorporated in the metal-organic matrix material core. Thus, the presently disclosed subject matter can, in some embodiments, provide a combination of chemotherapies based on a nanoparticle for the treatment of multiple cancer types or a combination of nanoparticlebased chemotherapy and immunotherapy for the treatment of multiple cancer types.

In some embodiments, the non-nucleic acid chemotherapeutic agent is an analogue or prodrug of oxaliplatin or cisplatin. For instance, a suitable cisplatin analogue or prodrug can comprise a platinum coordination complex comprising two NH<sub>3</sub> platinum ligands and two chloro ligands (i.e., the four Pt ligands typically present in cisplatin), and at least one or two additional ligand or ligands (e.g., comprising five or six Pt ligands in total), wherein at least one additional Pt ligand or ligands comprises at least two groups that can coordinate to a metal ion. Thus, at least one metal ligand of the platinum coordination complex can coordinate both to the platinum ion of the platinum coordination complex and to a metal ion in a second coordination complex (e.g., another Pt coordination complex). Such a ligand can comprise two or more amino, hydroxyl, and/or carboxylate groups, or a combination of such groups. In some embodiments, the non-nucleic acid chemotherapeutic trans-Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OEt) agent is cis, cis. (O<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OOH).

In some embodiments, the nanoparticle core can comprise between about 10 weight % and about 50 weight % of the non-nucleic acid chemotherapeutic agent (e.g., about 10, 15, 20, 25, 30, 35, 40, 45, or about 50 weight % of the non-nucleic acid chemotherapeutic agent).

In some embodiments, the nanoscale particle has an average diameter of less than about 500 nm or less than about 250 nm. In some embodiments, the particle has an average diameter of between about 20 nm and about 200 nm. In some embodiments, the nanoscale particle has an average diameter of between about 20 nm and about 140 nm (e.g.,

about 20, 25, 30, 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, 90, 95, 100, 105, 110, 115, 120, 125, 130, 135, and about 140 nm).

In some embodiments, the nanoscale particle further comprises one or more coating agents or layers covering at 5 least a portion of the outer surface of the metal-organic matrix material core, wherein the one or more coating agents or layers are selected from a metal oxide, a polymer, a single lipid layer, a lipid bilayer, and combinations thereof. Thus, for example, a spherical nanoparticle can have one or more 10 concentric coating layers, each successive layer being dispersed over the outer surface of a smaller layer closer to the center of the particle. In some embodiments, the metalorganic matrix material core is coated with a lipid bilayer comprising a cationic lipid and/or a functionalized lipid, 15 wherein said functionalized lipid is a lipid functionalized with a group that can bond to a nucleic acid, and wherein at least one nucleic acid is covalently bonded to the functionalized lipid and/or attached to the cationic lipid via electrostatic interactions. In some embodiments, the lipid bilayer 20 comprises a mixture comprising one or more of a thiol- or dithiol-functionalized 1,2-distearoyl-sn-glycero-3-phosphoethanolamine (DSPE), 1,2-dioleoyl-3-trimethylammonium propane (DOTAP), and 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC). In some embodiments, the lipid bilayer or 25 lipid single layer can comprise or further comprise one or more of the group including but not limited to, cholesterol, 1,2-distearoyl-sn-glycero-3-phosphoethanolamine (DSPE), 1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC), 1,2dioleoyl-3-trimethylammonium propane (DOTAP), 1,2-dio- 30 leoyl-sn-glycero-3-phosphocholine (DOPC), 1,2-dioleoylsn-glycero-3-phosphate sodium salt (DOPA), and pegylated-DSPE. In some embodiments, the lipid bilayer comprises or further comprises one or more of 1,2-dioleoyl-sn-glycero-3-phosphate sodium salt (DOPA), cholesterol, and pegy- 35 lated-DSPE. In some embodiments, the one or more coating agents or layers further comprise a passivating agent, such as, but not limited to, a hydrophilic polymer (e.g., PEG); a targeting agent, such as, but not limited to a RGD peptide, an aptamer, an oligonucleotide, a polypeptide, an antibody 40 or antibody fragment, or a polysaccharide; and/or an imaging agent, such as an optical imaging agent (e.g., a fluorescent moiety).

In some embodiments, the metal-organic matrix material core comprises a metal bisphosphonate coordination poly- 45 mer comprising a multivalent metal ion and a bisphosphonate. The multivalent metal ion can be any suitable multivalent metal ion. In some embodiments, the multivalent metal ion is a divalent metal ion, such as, but not limited to,  $Ca^{2+}$ ,  $Mg^{2+}$ ,  $Mn^{2+}$ ,  $Zn^{2+}$ , and combinations thereof. In some 50 embodiments, the multivalent metal ion is  $Zn^{2+}$ .

In some embodiments, the bisphosphonate is itself a metal complex wherein two metal ligands are phosphonate-containing groups and wherein the phosphonate groups are available for coordinating to the multivalent metal ion. The 55 bisphosphonate metal complex can comprise other ligands (i.e., those that do not contain a phosphonate group) that can include mono- and bidentate metal ligands, such as, but not limited to, halo (e.g., Cl, Br, F, or I), NH<sub>3</sub>, alkylamino, hydroxyl, alkoxy, diols and diamines (e.g., diaminocyclo- 60 hexane). The bisphosphonates can be prepared by providing a suitable metal complex, such as a metal complex with two hydroxyl ligands, and contacting the metal complex with, for example, diethoxyphosphinyl isocyanate, diethoxyphosphinyl isothiocyanate, diethoxyphosphinyl-containing car- 65 boxylic anhydride, or diethoxyphosphinyl-containing acyl chloride to form metal ligands that can provide phosphonate

groups available for further coordinative bonding. In some embodiments, the bisphosphonate is a platinum metal complex (e.g., cisplatin, oxaliplatin, or a similar complex) wherein two platinum ligands have been replaced by or conjugated to phosphonate-containing groups that are not involved in coordinating to the platinum. In some embodiments, the metal bisphosphonate coordination polymer particle can comprise a lipid coating layer comprising a prodrug of DHA.

Thus, for example, in some embodiments, the bisphosphonate is a chemotherapeutic prodrug, optionally a cisplatin or oxaliplatin prodrug, such as, but not limited to bisphosphonate ester of cis, cis-trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>] (a cisplatin prodrug) or cis, trans-[Pt(dach)Cl<sub>2</sub>(OH)<sub>2</sub>]. To provide the bisphosphonate ester, the two hydroxyl ligands can be replaced by a ligand that comprises the formula  $-O(C=X)-R'-P(=O)(OR)_2$ , wherein each R is independently H, alkyl, substituted alkyl, aralkyl, substituted aral-kyl, aryl, substituted aryl, and a negative charge; wherein R' is a bivalent moiety such as -NH- or an alkylene moiety, and X is O or S. In some embodiments, the bisphosphonate can have the formula  $PtL_{x-2}[-O-C(=O)-NH-P(=O)(OR)_2]_2$ , wherein x is an integer that is 3 or greater (e.g., 3, 4, 5, or 6).

In some embodiments, metal-organic matrix material core comprises between about 40 and about 50 weight % of bisphosphonate (e.g., about 40, 41, 42, 43, 44, 45, 46, 47, 48, 49 or 50 weight % of the bisphosphonate). In some embodiments, the particle can further comprise one or more coating layers. In some embodiments, the one or more coating layers comprise a lipid single layer or lipid bilayer coating. In some embodiments, one or more nucleic acid therapeutic agents, such as one or more of survivin siRNA, P-gp siRNA, and Bcl-2 siRNA, are attached to the coating.

In some embodiments, the nanoscale particle has a diameter between about 20 nm and about 180 nm. In some embodiments, the nanoscale particle has a diameter between about 90 nm and about 180 nm (e.g., about 90, 95, 100, 105, 110, 115, 120, 125, 130, 135, 140, 145, 150, 155, 160, 165, 170, 175, or about 180 nm).

In some embodiments, the presently disclosed compositions are provided for use in treating a disease (e.g., cancer) alone or in combination with one or more additional therapeutic agents, e.g., one or more chemotherapeutic agents (or analogues or prodrugs thereof), one or more immunotherapy agents, one or more targeting agents, one or more imaging agent, one or more scintillator, one or more photosensitizer, or any mixture thereof.

# II.D. Methods of Treating Cancer with Nanoparticles

In some embodiments, the presently disclosed subject matter provides a method of treating cancer in a subject in need thereof, wherein the method comprises administering to the subject a composition comprising a nanoscale particle as described herein. In some embodiments, the method of treating cancer comprises administering to the subject a composition comprising a nanoscale particle comprising: a core comprising a metal-organic matrix material, and a prodrug comprising a drug-lipid conjugate.

The cancer can be any cancer in need of treatment, such as, but not limited to a skin cancer (e.g., a melanoma), a connective tissue cancer (e.g., a sarcoma), an adipose cancer, a breast cancer, a head and neck cancer, a lung cancer (e.g., mesothelioma), a stomach cancer, a pancreatic cancer, an ovarian cancer, a cervical cancer, an uterine cancer, an anogenital cancer (e.g., testicular cancer), a kidney cancer, a bladder cancer, a colon cancer, a prostate cancer, a central nervous system (CNS) cancer, a retinal cancer, a blood cancer, a neuroblastoma, multiple myeloma, or a lymphoid cancer (e.g., Hodgkin's or non-Hodgkin's lymphomas). In 5 some embodiments, the cancer is lung cancer, pancreatic cancer, ovarian cancer, breast cancer or colon cancer. In some embodiments, the cancer is a metastatic cancer and/or a chemo and/or radio-resistant cancer. In some embodiments, the cancer is ovarian cancer. In some embodiments, the cancer is a cisplatin resistant cancer.

In some embodiments, the method further comprises administering to the subject an immunotherapy agent. In some embodiments, the immunotherapy agent is selected from an antibody, a small molecule inhibitor, a small molecule inhibitor prodrug, a cytokine, and polysaccharide K. For instance, in some embodiments, the immunotherapy agent is selected from the group including, but not limited to, an anti-CD52 antibody, an anti-CD20 antibody, an anti-CD47 antibody an anti-GD2 antibody, a cytokine, and polysaccharide K. In some embodiments, the immunotherapy agent is selected from the group including, but not limited to, Alemtuzumab, Ofatumumab, Rituximab, Zevalin, Adcetris, Kadcyla and Ontak. In some embodiments, the 25 immunotherapy agent is selected from the group comprising a PD-1 inhibitor, a PD-L1 inhibitor, a CTLA-4 inhibitor, an IDO inhibitor, a CCR7 inhibitor, an OX40 inhibitor, a TIM3 inhibitor, and a LAG3 inhibitor. In some embodiments, more 30 than one (e.g., 2, 3, 4, 5, 6, or more) immunotherapy agent can be administered

In some embodiments, the nanoscale particle further comprises a photosensitizer (PS). The nanoscale particle can comprise any suitable PS. In some embodiments, the PS is <sup>35</sup> a pyrolipid, wherein said pyrolipid is a lipid covalently attached to a porphyrin or a derivative or analog thereof.

When the nanoparticle comprises a PS, the method of treating cancer can further comprise irradiating the subject <sup>40</sup> or a treatment area of the subject with radiation (e.g., visible or near infrared light) having a wavelength suitable to activate the photosensitizer. For example, when the nanoparticle comprises a pyrolipid, the method can comprise irradiating the subject with light at a wavelength between about 45 630 nm and about 740 nm (e.g., 630, 640, 650, 660, 670, 680, 690, 700, 710, 720, 730, or about 740 nm).

The PS-containing nanoparticle can be used to treat any cancer, such as one of the cancers described hereinabove. In some embodiments, the cancer is a head and neck cancer, optionally a cisplatin resistant head and neck cancer.

In some embodiments, the chemotherapy and PDT can be further combined with immunotherapy and an immunotherapy agent can be administered to the subject in addition 55 to or as part of a PS-containing nanoparticle. In some embodiments, the immunotherapy agent is selected from the group including, but not limited to, an anti-CD52 antibody, an anti-CD20 antibody, an anti-CD20 antibody, anti-CD47 antibody, an anti-GD2 antibody, polysaccharide K and a cytokine. In some embodiments, the immunotherapy agent is selected from a radiolabeled antibody, an antibody-drug conjugate, and a neoantigen. In some embodiments, the immunotherapy agent is selected from the group comprising Alemtuzumab, Ofatumumab, Rituximab, Zevalin, Adcetris, Kadcyla and Ontak. In some embodiments, the immuno-

therapy agent is a small molecule inhibitor, such as, but not limited to, a PD-1 inhibitor, a PD-L1 inhibitor, a CTLA-4 inhibitor, an IDO inhibitor, a CCR7 inhibitor, a OX40 inhibitor, a TIM3 inhibitor, and a LAG3 inhibitor.

In some embodiments, the presently disclosed subject matter provides a pharmaceutical formulation comprising a nanoscale particle as described herein (e.g., a nanoscale particle comprising a core comprising a metal-organic matrix material comprising a coordination polymer and a prodrug comprising a lipid-drug conjugate, optionally further comprising a PS and/or an immunotherapy agent) together with a pharmaceutically acceptable carrier. The pharmaceutically acceptable carrier can be pharmaceutically acceptable in humans.

Thus, the presently disclosed subject matter provides nanoparticles that can comprise multiple chemotherapeutic agents, alone or in combination with one or more immunotherapy agents. The presently disclosed subject matter also provides nanoparticles that contain a PS for use in PDT, alone or in combination with one or more immunotherapy agents and/or one or more chemotherapy agents. These nanoparticle-based therapies can be used to treat multiple cancer types and to treat cancer more efficiently by targeting multiple pathways.

#### III. Formulations

Thus, the compositions of the presently disclosed subject matter comprise, in some embodiments, a composition that includes a pharmaceutically acceptable carrier. Any suitable pharmaceutical formulation can be used to prepare the compositions for administration to a subject. In some embodiments, the composition and/or carriers can be pharmaceutically acceptable in humans.

For example, suitable formulations can include aqueous and non-aqueous sterile injection solutions that can contain anti-oxidants, buffers, bacteriostatics, bactericidal antibiotics, and solutes that render the formulation isotonic with the bodily fluids of the subject; and aqueous and non-aqueous sterile suspensions that can include suspending agents and thickening agents. The formulations can be presented in unit-dose or multi-dose containers, for example sealed ampoules and vials, and can be stored in a frozen or freeze-dried (lyophilized) condition requiring only the addition of sterile liquid carrier, for example water for injections, immediately prior to use. Some exemplary ingredients are sodium dodecyl sulfate (SDS), in one example in the range of 0.1 to 10 mg/ml, in another example about 2.0 mg/ml; and/or mannitol or another sugar, for example in the range of 10 to 100 mg/ml, in another example about 30 mg/ml; and/or phosphate-buffered saline (PBS).

It should be understood that in addition to the ingredients particularly mentioned above, the formulations of this presently disclosed subject matter can include other agents conventional in the art having regard to the type of formulation in question. For example, sterile pyrogen-free aqueous and non-aqueous solutions can be used.

#### IV. Subjects

The methods and compositions disclosed herein can be used on a sample either in vitro (for example, on isolated

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cells or tissues) or in vivo in a subject (i.e., living organism, such as a patient). In some embodiments, the subject or patient is a human subject, although it is to be understood that the principles of the presently disclosed subject matter indicate that the presently disclosed subject matter is effective with respect to all vertebrate species, including mammals, which are intended to be included in the terms "subject" and "patient". Moreover, a mammal is understood to include any mammalian species for which employing the 10 compositions and methods disclosed herein is desirable, particularly agricultural and domestic mammalian species.

As such, the methods of the presently disclosed subject matter are particularly useful in warm-blooded vertebrates. 15 Thus, the presently disclosed subject matter concerns mammals and birds. More particularly provided are methods and compositions for mammals such as humans, as well as those mammals of importance due to being endangered (such as Siberian tigers), of economic importance (animals raised on 20 farms for consumption by humans), and/or of social importance (animals kept as pets or in zoos) to humans, for instance, carnivores other than humans (such as cats and dogs), swine (pigs, hogs, and wild boars), ruminants (such as 25 cattle, oxen, sheep, giraffes, deer, goats, bison, and camels), and horses. Also provided is the treatment of birds, including the treatment of those kinds of birds that are endangered, kept in zoos or as pets (e.g., parrots), as well as fowl, and more particularly domesticated fowl, for example, poultry, 30 such as turkeys, chickens, ducks, geese, guinea fowl, and the like, as they are also of economic importance to humans. Thus, also provided is the treatment of livestock including, but not limited to domesticated swine (pigs and hogs), 35 ruminants, horses, poultry, and the like.

#### V. Administration

Suitable methods for administration of a composition of the presently disclosed subject matter include, but are not limited to intravenous and intratumoral injection, oral administration, subcutaneous administration, intraperitoneal injection, intracranial injection, and rectal administration. Alternatively, a composition can be deposited at a site in 45 need of treatment in any other manner, for example by spraying a composition within the pulmonary pathways. The particular mode of administering a composition of the presently disclosed subject matter depends on various factors, including the distribution and abundance of cells to be treated and mechanisms for metabolism or removal of the composition from its site of administration. For example, relatively superficial tumors can be injected intratumorally. By contrast, internal tumors can be treated following intra- 55 venous injection.

In one embodiment, the method of administration encompasses features for regionalized delivery or accumulation at the site to be treated. In some embodiments, a composition is delivered intratumorally. In some embodiments, selective delivery of a composition to a target is accomplished by intravenous injection of the composition followed by photodynamic treatment (light irradiation) of the target.

For delivery of compositions to pulmonary pathways, 65 compositions of the presently disclosed subject matter can be formulated as an aerosol or coarse spray. Methods for

preparation and administration of aerosol or spray formulations can be found, for example, in U.S. Pat. Nos. 5,858,784; 6,013,638; 6,022,737; and 6,136,295.

#### VI. Doses

An effective dose of a composition of the presently disclosed subject matter is administered to a subject. An "effective amount" is an amount of the composition sufficient to produce detectable treatment. Actual dosage levels of constituents of the compositions of the presently disclosed subject matter can be varied so as to administer an amount of the composition that is effective to achieve the desired effect for a particular subject and/or target. The selected dosage level can depend upon the activity (e.g., cytotoxic or PDT activity or chemotherapeutic loading) of the composition and the route of administration.

After review of the disclosure herein of the presently disclosed subject matter, one of ordinary skill in the art can tailor the dosages to an individual subject, taking into account the particular formulation, method of administration to be used with the composition, and nature of the target to be treated. Such adjustments or variations, as well as evaluation of when and how to make such adjustments or variations, are well known to those of ordinary skill in the art.

#### EXAMPLES

The following Examples have been included to provide guidance to one of ordinary skill in the art for practicing representative embodiments of the presently disclosed subject matter. In light of the present disclosure and the general level of skill in the art, those of skill can appreciate that the following Examples are intended to be exemplary only and that numerous changes, modifications, and alterations can be employed without departing from the scope of the presently disclosed subject matter.

#### Example 1

#### Prodrug Synthesis

Several anticancer drugs such as Etoposide (ET), Paclitaxel (PTX), dihydroartemisinin (DHA), and NLG919 were conjugated to cholesterol whereas Camptothecin (CPT) was conjugated to oleic acid (OA) through a disulfide linker. The synthesis of the prodrugs can involve the conversion of the hydroxyl group in either the lipid (Chol) or the anticancer drug (CPT) into an acyl chloride, followed by the direct conjugation with drugs (ET, PTX, NLG919) or lipids (OA) that also have hydroxyl group(s). The disulfide bond can be introduced into the prodrugs either via first functionalizing anti-cancer drugs with bis(2-hydroxyethyl) disulfide (OH-S—S—OH), followed by lipid conjugation (Chol-ET, Chol-PTX, and Chol-NLG919), or modifying the lipid with OH-S-S-OH first, followed by the anticancer drug conjugation (OA-CPT).

## 49 50 Scheme 3. Synthesis of Chol-S—S—OH. 1) Triphosgene, DMAP, Dry DCM, 0° C. to r.t., 20 min 2) 2-hydroxyethyl disulfide, DMAP, Dry DCM, 0° C. to r.t., 12 h HO Cholesterol



Chol-S-S-OH

As shown in Scheme 3, above, a mixture of Cholesterol (1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 4 eq.) in anhydrous dichloromethane (DCM), a solution of triphosgene (0.35 eq.) in anhydrous DCM was added dropwise over 45 an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 20 minutes and then added dropwise to a solution of bis(2-hydroxyethyl) disulfide (2 eq.) in anhydrous DCM over an ice bath. The

reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on silica gel with ethyl acetate/hexane (1:2, v/v). Typical yield: 60%. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 3H), 0.83 (d, 3H), 0.84-1.15 (m, 13H), 1.22-1.66 (m, 13H), 1.75-2.02 (m, 5H), 2.37 (m, 2H), 2.85 (m, 3H), 2.92 (t, 2H), 3.83 (t, 2H), 4.34 (t, 2H), 4.44 (m, 1H), 5.37 (d, 1H).



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PC—S—S—DHA



#### Chol-ET

As shown in Scheme 4, above, to a mixture of Chol-S— S—OH (1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 4 eq.) in anhydrous dichloromethane (DCM), a solution of triphosgene (0.35 eq.) in anhydrous DCM was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a solution of ET (3 eq.) in anhydrous DCM over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours.

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After removal of solvent, the residue was purified by column chromatography on silica gel with methanol/DCM (3:97, v/v) to obtain Chol-ET in 65% yield (FIGS. **3** and **4**). <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.66 (s, 3H), 0.84-1.65 (m, 32H), 1.75-2.02 (m, 6H), 2.37 (m, 2H), 2.85 (s, 2H), 2.95 (t, 2H), 2.98 (t, 2H), 3.21 (s, 2H), 3.30 (m, 3H), 3.38 (t, 1H), 3.54 (t, 1H), 3.64 (m, 3H), 3.67 (s, 6H), 4.15 (d, 1H), 4.22 (t, 1H), 4.35 (t, 2H), 4.39 (d, 1H), 4.45 (t, 2H), 4.58 (m, 2H), 4.72 (d, 1H), 4.91 (s, 1H), 5.37 (d, 1H), 5.97 (d, 2H), 6.25 (s, 2H), 6.52 (s, 1H), 6.82 (s, 1H), 7.95 (s, 1H).









Chol-ET



Chol-PTX

To a mixture of Chol-S—S—OH (362 mg, 0.64 mmol, 1 eq.) (see structure in Scheme 5, above) and 4-N,N-dimethylaminopyridine (DMAP, 140 mg, 1.2 mmol 2 eq.) in anhydrous dichloromethane (DCM, 6 mL), a solution of triphosgene (65 mg, 0.21 mmol, 0.33 eq.) in anhydrous DCM (3 mL) was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added 25 dropwise to a solution of PTX (500 mg, 0.59 mmol, 0.9 eq.) in anhydrous DCM (15 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on silica gel with methanol/DCM (3:97, v/v) to yield 408 mg of Chol-PTX. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 3H), 0.87-2.01 (m, 53H), 2.25-2.49 (m, 11H), 2.95 (m, 4H), 3.82 (d, 1H), 4.25 (q, 1H), 4.34 (m, 3H), 4.43 (m, 3H), 4.97 (d, 1H), 5.38 (d, 35 1H), 5.42 (s, 1H), 5.70 (d, 1H), 6.01 (d, 1H), 6.32 (m, 2H), 7.04 (d, 1H), 7.43 (m, 7H), 7.54 (m, 3H), 7.63 (m, 1H), 7.77 (d, 2H), 8.16 (d, 2H). ESI-MS: m/z=1468.8 ([M+Na]<sup>+</sup>). Chol-CPT

To a mixture of Chol-S—S—OH (480 mg, 0.84 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 140 mg, 1.2 mmol 1.5 eq.) in anhydrous dichloromethane (DCM, 6 mL), a solution of triphosgene (85 mg, 0.28 mmol, 0.33 eq.) in anhydrous DCM (3 mL) was added dropwise over an ice 45 bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a suspension of CPT (200 mg, 0.57 mmol, 0.7 eq.) in anhydrous DCM (50 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on silica gel with hexane/ethyl acetate/triethyl amine (1:2:0.03, v/v) to yield 150 mg of Chol-CPT. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 55 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 16 mg, 3H), 0.85-1.21 (m, 12H), 1.25-1.65 (m, 13H), 1.85-2.05 (m, 6H), 2.13 (m, 1H), 2.32 (m, 1H), 2.36 (d, 1H), 2.95 (m, 4H), 4.29 (d, 2H), 4.36 (t, 2H), 4.42 (m, 1H), 5.28 (d, 2H), 5.38 (d, 2H), 5.70 (d, 1H), 7.34 (s, 1H), 7.67 (dd, 1H), 7.83 (dd, 1H), 7.93 (d, 1H), 8.22 (d, 1H), 8.40 (s, 1H). ESI-MS: <sup>60</sup> m/z=941.6 ([M+H]<sup>+</sup>).

#### Chol-DHA

To a mixture of Chol-S—S—OH (83.5 mg, 0.15 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 54 mg, 65 0.44 mmol 3 eq.) in anhydrous dichloromethane (DCM, 2 mL), a solution of triphosgene (15 mg, 0.05 mmol, 0.33 eq.)

in anhydrous DCM (1 mL) was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a solution of DHA (50 mg, 0.17 mmol, 1.1 eq.) in anhydrous DCM (5 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on silica gel with hexane/ethyl acetate (7:1, v/v) to yield 53 mg of Chol-CPT. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 3H), 0.88-1.20 (m, 29H), 1.30-1.75 (m, 20H), 1.80-2.08 (m, 8H), 2.37 (m, 3H), 2.61 (m, 1H), 3.00 (m, 4H), 4.41 (m, 4H), 4.52 (m, 1H), 5.42 (d, 1H), 5.47 (s, 1H), 5.60 (d, 1H). ESI-MS: m/z=899.5 ([M+Na]<sup>+</sup>).

Chol-NLG919

To a mixture of Chol-S—S—OH (109 mg, 0.19 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 45 mg, 0.38 mmol 2 eq.) in anhydrous dichloromethane (DCM, 2 ml), a solution of triphosgene (20 mg, 0.07 mmol, 0.35 eq.) in anhydrous DCM (1 mL) was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a solution of NLG919 (100 mg, 0.38 mmol, 2 eq.) in anhydrous DCM (5 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on silica gel with hexane/ethyl acetate (2:1, v/v) to yield 96 mg of Chol-NLG919. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 3H), 0.85-1.75 (m, 43H), 1.85-2.05 (m, 6H), 2.20 (m, 1H), 2.42 (m, 3H), 2.96 (m, 4H), 4.28 (m, 2H), 4.38 (t, 2H), 4.81 (m, 1H), 5.23 (m, 1H), 5.41 (d, 2H), 7.28 (t, 2H), 7.40 (t, 1H), 7.55 (dd, 2H), 7.75 (s, 1H). ESI-MS: m/z=875.6 ([M+H]<sup>+</sup>). Chol-OTS167

To a mixture of Chol-S-S-OH (35 mg, 0.06 mmol, 1 0.12 mmol 2 eq.) in anhydrous N,N-dimethylformamide (DMF, 2 mL), a solution of triphosgene (8 mg, 0.02 mmol, 0.33 eq.) in anhydrous DMF (1 mL) was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a solution of OTS167 (20 mg, 0.04 mmol, 0.67 eq.) in anhydrous DMF (5 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. The solution was then diluted with 30 ml ethyl acetate, washed by saturated ammonium chloride solution (20 mL×3). After removal of

solvent under vacuum, the residue was purified by column chromatography on silica gel with DCM/methanol (10:1, v/v) to yield 20 mg of Chol-OTS167. Confirmed by <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>, a pair of rotamers): 0.65 (s, 3H), 0.86-1.65 (m, 35H), 1.75-2.05 (m, 6H), 2.17-2.40 (m, 8H), 2.70 (d, 3H), 2.95 (m, 9H), 3.02 (d, 2H), 3.10 (d, 2H), 4.40 (m, 2H), 4.45 (m, 1H), 4.61 (m, 2H), 5.38 (m, 1H), 7.96 (m, 2H), 8.07 (m, 2H), 8.23 (d, 1H), 8.98 (s, 1H), 11.22 (s, 1H). ESI-MS: m/z=1079.6 ([M+H]<sup>+</sup>). Chol-OTSC41

To a mixture of Chol-S-S-OH (45 mg, 0.08 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 20 mg, 0.16 mmol 2 eq.) in anhydrous N,N-dimethylformamide (DMF, 2 mL), a solution of triphosgene (6 mg, 0.02 mmol, 0.33 eq.) in anhydrous DMF (1 mL) was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a solution of OTSC41 (20 mg,  $_{20}$ 0.04 mmol, 0.5 eq.) in anhydrous DMF (5 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. The solution was then diluted with 30 ml ethyl acetate, washed by saturated ammonium chloride solution (20 mL×3). After removal of <sup>25</sup> solvent under vacuum, the residue was purified by column chromatography on silica gel with DCM/methanol/triethyl amine (200:10:2, v/v) to yield 20 mg of Chol-OTSC41. Confirmed by <sup>1</sup>H-NMR (500 MHz,  $CDCl_3$ ): 0.65 (s, 3H), 30 0.88-1.62 (m, 36H), 1.78-2.05 (m, 9H), 2.40 (m, 2H), 2.89 (m, 4H), 3.13 (m, 3H), 3.27 (m, 2H), 3.44 (t, 2H), 3.67 (s, 1H), 4.05 (dd, 2H), 4.33 (t, 1H), 4.40 (t, 2H), 4.49 (m, 1H), 5.40 (d, 2H), 5.95 (s, 1H), 6.02 (s, 1H), 6.25 (s, 1H), 7.35 (t, 3H), 7.62 (d, 2H), 7.78 (s, 1H), 7.89 (d, 2H). DHA-S-S-OH

To a mixture of DHA (200 mg, 0.7 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 200 mg, 1.64 mmol, 2.3 eq.) in anhydrous tetrahydrofuran (THF, 4 ml), a solution 40 of triphosgene (70 mg, 0.24 mmol, 0.34 eq.) in anhydrous DCM (1 mL) was added dropwise over an ice bath with stirring. The resulting solution was warmed to room temperature and further stirred for 0.5 hour and then added dropwise to a solution of bis(2-hydroxyethyl) disulfide (215 45 mg, 1.4 mmol, 2 eq.) in anhydrous DCM (5 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on silica gel with hexane/ethyl acetate (2:1, v/v) to yield 80 mg of DHA-S—S—OH. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.93 (d, 3H), 0.98 (d, 3H), 1.25-1.55 (m, 6H), 1.62-1.95 (m, 6H), 2.07 (m, 1H), 2.38 (td, 1H), 2.65 (m, 1H), 2.93 (m, 4H), 3.73 (m, 1H), 3.90 (m, 2H), 4.11 (m, 1H), 4.85 (s, 1H), 5.32 (s, 55 1H), 5.51 (s, 1H). ESI-MS: m/z=465.2 ([M+H]<sup>+</sup>). Olev1-PC-S-S-DHA

To a mixture of DHA-S—S—OH (500 mg, 1.07 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 160 mg, 1.2 mmol, 1.1 eq.) in anhydrous dichloromethane (DCM, 4<sup>60</sup> ml), a solution of triphosgene (110 mg, 0.36 mmol, 0.33 eq.) in anhydrous DCM (1 mL) was added dropwise over an ice bath with stirring. The resulting solution was warmed to room temperature and further stirred for 0.5 hour and then added dropwise to a solution of Oleyl-lyso-PC (500 mg, 0.96 mmol, 0.9 eq.) in a mixture of anhydrous DCM (2 mL), 60

anhydrous DMF (3 mL) and triethylamine (0.2 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on diol silica with DCM/methanol (10:1, v/v) to yield 215 mg of Oleyl-PC-S—S-DHA. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.90 (t, 3H), 0.93 (d, 3H), 0.98 (d, 3H), 1.20-1.33 (m, 22H), 1.45-1.70 (m, 9H), 1.75-1.95 (m, 4H), 2.03 (m, 4H), 2.36 (m, 3H), 2.66 (m, 1H), 2.97 (m, 4H), 3.46 (s, 9H), 3.50 (d, 1H), 3.73 (m, 1H), 4.10 (m, 3H), 4.27 (m, 3H), 4.39 (dd, 1H), 4.44 (t, 2H), 4.65 (s, 2H), 4.85 (d, 1H), 5.11 (t, 1H), 5.37 (m, 1H), 5.46 (s, 1H). ESI-MS: m/z=1012.5 ([M+H]<sup>+</sup>). PC-S—S-DHA

To a solution of DHA-S-S-OH (200 mg, 0.43 mmol, 1 eq.) in 5 mL anhydrous toluene and 0.2 mL triethylamine, a solution of ethylene glycol chlorophosphate (80 mg, 0.56 mmol, 1.3 eq.) was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 2 hours and then dried under vacuum. The product was transferred to a pressure tube by 2 mL anhydrous THF and cooled over a dry ice-acetone bath. 0.5 mL anhydrous trimethylamine was added to the solution and the pressure tube was sealed and heated at 70° C. for 24 hours. After removal of solvent under vacuum, the product was obtained in 50% yield (137 mg) by purification of column chromatography on diol silica with DCM/methanol (5:1, v/v). <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.93 (d, 3H), 0.98 (d, 3H), 1.25-1.55 (m, 6H), 1.62-1.95 (m, 6H), 2.07 (m, 1H), 2.38 (td, 1H), 2.65 (m, 1H), 2.93 (m, 4H), 3.45 (s, 9H),  $3.73 \ (m, 1H), 3.95 \ (m, 2H), 4.15 \ (m, 1H), 4.20 \ (m, 2H), 4.45$ (m, 2H), 4.85 (s, 1H), 5.32 (s, 1H), 5.44 (s, 1H). ESI-MS: m/z=630.2 ([M+H]<sup>+</sup>).

Chol-ART

Artesunate (500 mg, 1.3 mmol, 1 eq.), cholesterol (1 g, 2.6 mmol, 2 eq.), 4-N,N-dimethylaminopyridine (DMAP, 16 mg, 0.13 mmol, 0.1 eq.) and 1-Ethyl-3-(3-dimethylaminopropyl)carbodiimide.HCl (EDCl, 350 mg, 1.8 mmol, 1.4 eq.) were dissolved in dry DCM (6 mL) and stirred for 12 hours. Then the mixture was diluted with 50 mL EtOAc and washed by 1M HCl (50 mL, twice) and brine (50 mL). The organic layer was dried over anhydrous sodium sulfide. After removal of solvent under vacuum, the product was further purified by column chromatography on silica gel with EtOAc/hexanes (1:5, v/v). Yield: 832 mg, 83%. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 3H), 0.88-1.20 (m, 29H), 1.30-1.75 (m, 20H), 1.80-2.08 (m, 8H), 2.37 (m, 3H), 2.72 (m, 5H), 4.52 (m, 1H), 5.39 (d, 1H), 5.46 (s, 1H), 5.83 (d, 1H). ESI-MS: m/z=753.5 ([M+H]<sup>+</sup>).

Boc-MTX

As shown in Scheme 6, below, Mitoxantrone (MTX, 300 mg, 0.68 mmol, 1 eq.) was dissolved in 2 mL Na<sub>2</sub>CO<sub>3</sub> solution (2 mg/mL) and mixed with a solution of di-tertbutyl dicarbonate (Boc<sub>2</sub>O, 500 mg, 2.3 mmol, 3.3 eq.) in 4 mL of 1,4-dioxane. The mixture was stirred at room temperature for 12 h and then extracted by DCM (20 mL×3). The organic phase was combined and concentrated. The residue was purified by column chromatography on silica gel with DCM/methanol (20:1, v/v) to yield 435 mg of Boc-MTX. Confirmed by <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>):

Chol-Boc-MTX

1.51 (s, 18H), 3.45 (d, 4H), 3.56 (s, 8H), 3.79 (s, 4H), 7.05 (s, 3H), 7.12 (s, 2H), 10.32 (s, 1H), 13.36 (s, 2H). ESI-MS: m/z=645.4 ([M+H]+).





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To a mixture of Chol-S-S-OH (500 mg, 0.88 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 430 mg, 3.5 mmol, 4 eq.) in anhydrous dichloromethane (DCM, 10 mL), a solution of triphosgene (90 mg, 0.3 mmol, 0.34 eq.) in anhydrous DCM (5 mL) was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a solution of Boc-MTX (435 mg, 0.68 mmol, 0.77 eq.) in anhydrous DCM (20 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent under vacuum, the residue was purified by column chromatography on silica gel with DCM/methanol/triethyl amine (200:10:2, v/v) to yield 410 mg of Chol-Boc-MTX. Confirmed by <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 3H), 0.87-1.65 (m, 53H), 1.75-2.40 (m, 10H), 2.93 (m, 6H), 3.03 (m, 4H), 3.58 (m, 4H), 3.64 (m, 4H), 4.37 (m, 4H), 4.42 (m, 1H), 5.70 (d, 1H) 7.15 (d, 2H), 7.28 (d, 2H), 10.45 (s, 2H), 13.35 (s, 2H). ESI-MS: m/z=1237.8 ([M+H]<sup>+</sup>). Chol-MTX

As shown in Scheme 7, below, Chol-Boc-MTX (410 mg, 0.33 mmol) was dissolved in 20 ml trifluoroacetic acid (TFA) and stirred at room temperature for 30 min. The excess TFA was removed by nitrogen gas stream. The 30 residue was recrystallized in tetrahydrofuran/hexane to yield 300 mg Chol-MTX TFA salt. The salt could be further converted to HCl salt by dissolving it in DCM, washing with saturated NaHCO<sub>3</sub> solution, 1M HCl and removing solvent. Confirmed by <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.65 (s, 3H), 0.87-1.65 (m, 35H), 1.75-2.40 (m, 12H), 2.93 (m, 6H), 3.03 (m, 4H), 3.45 (dd, 4H), 3.72 (m, 4H), 4.37 (m, 4H), 4.42 (m, 1H), 5.33 (d, 1H), 6.90 (m, 2H), 7.05 (m, 2H), 10.34 (d, 2H), 13.42 (s, 2H). ESI-MS: m/z=1037.6 ([M+H]<sup>+</sup>).

Scheme 7. Synthesis of Chol-MTX through deprotection of Chol-Boc-MTX in TFA.





OA-CPT

As shown in Scheme 8, below, Oleic acid (OA, 1 eq.) <sup>30</sup> reacted with OH—S—S—OH (2 eq.), N,N'-Dicyclohexylcarbodiimide (DCC, 1.2 eq.) and 4-Dimethylaminopyridine (DMAP) in DCM N,N'-Dicyclohexylcarbodiimide (DCC, 1.2 eq.) overnight to generate OA-S—S—OH, which was 35 purified by ethyl acetate/hexane (2:3, v/v). <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 500 MHz): 0.87 (t, 3H), 1.23-1.30 (m, 20H), 1.60 (t, 2H), 1.98 (q, 4H), 2.30 (t, 2H), 2.35 (t, 1H), 2.86 (t, 2H), 2.91 (t, 2H), 3.87 (t, 2H), 4.33 (t, 2H), 5.33 (m, 2H).

To a mixture of CPT (1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 4 eq.) in anhydrous dichloromethane (DCM), a solution of triphosgene (0.35 eq.) in anhydrous DCM was added dropwise over an ice bath with stirring. The resultant solution was warmed to room temperature and further stirred for 1 hour and then added dropwise to a solution of OA-S—S—OH (2 eq.) in anhydrous DCM over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on silica gel with methanol/DCM (3:97, v/v) to obtain OA-CPT in 65% yield (FIG. 7). <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 500 MHz): 0.88 (t, 3H), 1.02 (t, 3H), 1.23-1.31 (m, 20H), 1.59 (t, 2H), 2.00 (q, 4H), 2.17 (m, 1H), 2.27 (m, 3H), 2.88 (t, 2H), 2.95 (t, 2H), 4.27 (t, 2H), 4.38 (m, 2H), 5.32 (m, 2H), 5.34 (m, 2H), 5.40 (d, 1H), 5.61 (d, 1H), 7.35 (s, 1H), 7.69 (t, 1H), 7.85 (t, 1H), 7.96 (d, 1H), 8.24 (d, 1H), 8.42 (s, 1H).





#### Example 2

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Carrying Etoposide for the Treatment of Small Cell Lung Cancer

#### Synthesis of Zn-Pyrophosphate Particles

Microemulsions were first formed by the addition of 4 mL Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>.10H<sub>2</sub>O (25 mg/mL in water) and 4 mL Zn (NO<sub>3</sub>)<sub>2</sub>.6H<sub>2</sub>O (100 mg/mL in water) to two separate surfactant system mixtures (100 mL, 0.3 M TritonX-100, 1.5 M 40 hexanol in cyclohexane). The separate microemulsions were stirred vigorously for 15 min at room temperate, 400 µL of DOPA solution (200 mg/mL in CHCl<sub>3</sub>) was added to Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>.10H<sub>2</sub>O solution and the stirring was continued for 15 min until a clear solution formed. Then, Zn(NO<sub>3</sub>)<sub>2</sub>.6H<sub>2</sub>O

solution was added slowly into Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>.10H<sub>2</sub>O solution under stirring, the combined solution was allowed to react for 30 min at room temperature. Particles were precipitated after adding 400 mL ethanol and obtained by centrifugation at 12000 rpm for 30 min. The resulting pellet was further washed once with 50% EtOH/cyclohexane and twice with 35 50% EtOH/THF, and redispersed in THF. Particles were purified by filtration through a 200 nm syringe filter. Preparation and Characterization of Zn/ET Particles

Zn/ET was obtained by adding a 80 µL THF solution of DSPC, cholesterol, Chol-ET (molar ratio 1:1:0.3, 1:1:0.5 or 1:1:1), DSPE-PEG2k (20 mol %) and Zn-pyrophosphate bare particles to 500 µL 30% (v/v) EtOH/H<sub>2</sub>O at 60° C. THF and EtOH were evaporated and the solution was allowed to cool to room temperature before use. The particle size and distribution were determined by DLS. The loading of etoposide was determined by UV-Vis (see Table 1).

TABLE 1

| Characterization of Zn/ET particles.            |                  |                  |                        |                     |                                |                           |  |  |
|---|------------------|------------------|------------------------|---------------------|--------------------------------|---------------------------|--|--|
|   | Z-Ave<br>(nm)    | PDI              | Intensity-<br>Ave (nm) | Number-<br>Ave (nm) | Theoretical<br>drug<br>loading | Actual<br>drug<br>loading |  |  |
| Zn-pyrophosphate<br>bare particles <sup>#</sup> | 49.47 ± 0.12     | 0.126 ± 0.01     | 63.04 ± 0.48           | 35.14 ± 0.73        |                                |                           |  |  |
| Zn particle                                     | 97.35 ± 0.84     | $0.098 \pm 0.01$ | $108.3 \pm 0.62$       | 69.02 ± 2.66        |                                |                           |  |  |
| Zn/ET (1:1:0.3) <sup>\$</sup>                   | $102.6 \pm 1.81$ | $0.165 \pm 0.01$ | 122.3 ± 2.67           | 65.56 ± 2.71        | 12.4 wt. %                     | 9.6 wt. %                 |  |  |
| Zn/ET (1:1:0.5) <sup>\$</sup>                   | $174.2 \pm 1.50$ | $0.139 \pm 0.01$ | 203.3 ± 5.48           | $126.0 \pm 9.18$    | 18.2 wt. %                     | 15.6 wt. %                |  |  |
| Zn/ET (1:1:1) <sup>\$</sup>                     | 183.1 ± 2.97     | $0.102 \pm 0.01$ | 204.2 ± 4.80           | 147.6 ± 6.29        | 34.2 wt. %                     | 28.8 wt. %                |  |  |

<sup>#</sup>Measured in THF.

<sup>\$</sup>Measured in water.

Data are expressed as mean ± S.D.

In Vitro Cytotoxicity Against Small Cell Lung Cancer Cells

H82 cells seeded on 96-well plates at a density of 2000 cells/well were treated with Zn/ET and free etoposide at various etoposide concentrations for 96 h. The cell viability was then detected by MTS assay (Promega, Madison, Wis., 5 United States of America) and the IC<sub>50</sub> values were calculated accordingly. Etoposide showed an IC<sub>50</sub> value of 8.83±0.13  $\mu$ M against H82 cells after incubation for 96 h, and Zn/ET showed a similar IC<sub>50</sub> of 9.50±0.63  $\mu$ M. ET is likely released from Zn/ET via intracellular reduction by 10 endogenous thiol groups as shown below in Scheme 9.

 $94.15\pm0.61$  nm, number-average diameter of  $55.83\pm6.20$  nm, PDI of  $0.119\pm0.01$ , zeta-potential of  $-0.67\pm1.02$  mV. The Zn/PTX particle has a drug loading of 7.14%.

In Vitro Cytotoxicity Against Non-Small Cell Lung Cancer Cells

H460 and A549 cells seeded on 96-well plates at a density of 2000 cells/well were treated with Zn/PTX and free PTX at various etoposide concentrations for 72 h. The cell viability was then detected by MTS assay (Promega, USA) and the  $IC_{50}$  values were calculated accordingly. PTX showed  $IC_{50}$  of 10.79±0.44 µM and 10.09±0.06 µM against

#### Scheme 9. Release of ET from Chol-Et via intracellular reduction.



Etoposide

#### Example 3

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Carrying Paclitaxel for the Treatment of Non-Small Cell Lung Cancer

Preparation Characterization of Zn/PTX. 60 Zn/PTX was obtained by adding a 80  $\mu$ L THF solution of DSPC, cholesterol, Chol-PTX (molar ratio 1:1:0.3), DSPE-PEG2k (20 mol %) and Zn-pyrophosphate bare particles to 500  $\mu$ L 30% (v/v) EtOH/H<sub>2</sub>O at 60° C. THF and EtOH were evaporated and the solution was allowed to cool to room 65 temperature before use. The particle size and distribution were determined by DLS, having a Z-average diameter of

H460 and A549 cells after incubation for 72 h, respectively. <sup>55</sup> Zn/PTX showed a similar IC<sub>50</sub> of  $12.03\pm0.43 \mu$ M and  $11.07\pm0.13 \mu$ M, respectively, compared to free PTX.

#### Example 4

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Dihydroartemisinin (DHA) for the Treatment of Ovarian Cancer

Synthesis and Characterization of Zn/DHA Particles Microemulsions were first formed by the addition of 0.2 mL Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>.10H<sub>2</sub>O (25 mg/mL in water) and 0.2 mL Zn(NO<sub>3</sub>)<sub>2</sub>.6H<sub>2</sub>O (100 mg/mL in water) to two separate

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surfactant system mixtures (5 mL, 0.3 M TritonX-100, 1.5 M hexanol in cyclohexane). The separate microemulsions were stirred vigorously for 15 min at room temperate, 20 uL of DOPA solution (200 mg/mL in CHCl<sub>3</sub>) was added to Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>.10H<sub>2</sub>O solution and the stirring was continued for 5 15 min until a clear solution formed. Then, Zn(NO<sub>3</sub>)<sub>2</sub>.6H<sub>2</sub>O solution was added slowly into Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>.10H<sub>2</sub>O solution under stirring, the combined solution was allowed to react for 30 min at room temperature. Particles were precipitated after adding 20 mL ethanol and obtained by centrifugation 10 at 12000 rpm for 30 min. The resulting pellet was further washed once with 50% EtOH/cyclohexane and twice with 50% EtOH/THF, and redispersed in THF. Particles were purified by filtration through 200 nm syringe filter.

Zn/DHA was obtained by adding a 80 µL THF solution of 15 DOPC, cholesterol, Chol-DHA and 20 mol % DSPE-PEG2k and Zn-pyrophosphate bare particles to 500  $\mu L$  30% (v/v) EtOH/H<sub>2</sub>O at 50° C. THF and EtOH were evaporated and the solution was allowed to cool to room temperature before use. The particle size and distribution were determined by  $_{20}$ DLS. See Table 2.

TABLE 2

|                                 | Z-Ave<br>(nm)                      | PDI                                  | Intensity-Ave<br>(nm)      | Number-Ave<br>(nm)               |
|---------------------------------|------------------------------------|--------------------------------------|----------------------------|----------------------------------|
| Zn particle<br>Zn/DHA<br>(5.4%) | $104.6 \pm 2.1$<br>$110.2 \pm 0.3$ | $0.180 \pm 0.01$<br>$0.180 \pm 0.01$ | 121.9 ± 4.8<br>135.3 ± 1.3 | $62.9 \pm 3.6$<br>$65.3 \pm 6.3$ |
| Zn/DHA<br>(8.1%)                | $130.5 \pm 0.4$                    | $0.179 \pm 0.004$                    | $157.7 \pm 2.7$            | $78.0 \pm 2.1$                   |
| Žn/DHA<br>(10.8%)               | 149.5 ± 2.2                        | $0.274 \pm 0.01$                     | $220.6 \pm 5.4$            | 61.1 ± 1.7                       |

In Vitro Cytotoxicity of Zn/DHA in Ovarian Cancer Cells A2780, A2780/CDDP, and SKOV-3 cells were seeded into 96-well plate at 2000 cells/well for 24 h. Afterwards cells were treated with free DHA or Zn/DHA at various concentrations and incubated for another 72 h. The cell viability was then determined via MTS assay by microplate 40reader. DHA showed high cytotoxicity, especially on A2780 cells. DHA showed IC<sub>50</sub> of 0.30±0.11, 2.61±0.18, and 9.90±0.34 µM on A2780, A2780/CDDP and SKOV-3, respectively. Zn/DHA showed IC  $_{50}$  values of 0.96±0.15, 3.71±0.55, and 11.88±3.22 for A2780, A2780/CDDP and 45 SKOV-3, respectively.

#### Example 5

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Cisplatin and Etoposide for the Treatment of Small Cell Lung Cancer

Preparation and Characterization of NCP-1/ET and NCP-1/ **ET**/siRNAs

The solid core of NCP-1 was composed of 1,2-cis,cis, trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OCONHP(O)(OH)<sub>2</sub>)<sub>2</sub>] (PtBp) and Zn<sup>2+</sup> ions with the dioleoyl-sn-glycero-3-phosphate (DOPA) capping layer in reverse phase microemulsion in a mixture of 0.3 M TritonX-100 and 1.5 M hexanol in cyclohexane. DOPA provides a lipid layer on the bare NCP-1 and facilitates the incorporation of other phospholipids or lipidcontaining drugs.

NCP-1/ET was made by coating 84 µL 1,2-dioleoyl-snglycero-3-phosphatidylcholine (DOPC) (5 mg/mL), 42 µL Chol (5 mg/mL), 16 µL Chol-ET (15 mg/mL), and 150 µL 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-

[amino(polyethylene glycol)<sub>2000</sub>] (DSPE-PEG<sub>2K</sub>) mg/mL) onto 0.25 mg bare NCP particles via self-assembly to give a cisplatin to ET molar ratio of 1:1. The Z-average diameter and polydispersity (PDI) of NCP-1/ET were found to be  $106.8\pm1.05$  nm and  $0.121\pm0.01$ , respectively, by dynamic light scattering (DLS) measurements.

In order to effectively load siRNA into NCP-1/ET, thiolated siRNA was conjugated to DSPE-SPDP to generate DSPE-siRNA. The disulfide bond in thiolated siRNA was placed on the 5' end of sense strand of siRNA duplexes in order to circumvent the potential inhibition on the antisense strand. DSPE-siRNA was incorporated into the outer lipid layer via self-assembly along with DOPC, Chol, Chol-ET, and DSPE-PEG<sub>2K</sub> at a cisplatin to siRNA weight ratio of 4:1 to give NCP-1/ET/siRNAs. Bcl-2, survivin, and ERCC-1 siRNAs were selected as a model for siRNA cocktails targeting multiple drug resistance pathways. Equal amounts of Bcl-2, survivin, and ERCC-1 siRNAs are present in NCP-1/ET/siRNAs. The Z-average diameter and PDI of 30 NCP-1/ET/siRNAs were 100.2±1.10 nm and 0.159±0.01, respectively. See Table 3, below. Owing to the shielding effect of PEG, siRNA could be protected from nuclease degradation in physiological environments. Similar to Chol-ET, siRNA release can be triggered by cleaving the disulfide bond under reducing environment such as in the presence of GSH.

TABLE 3

| Particle size and PDI of NCP-1, NCP-1/ET,<br>and NCP-1/ET/siRNAs, as determined by DLS. |                  |                  |                       |                    |  |  |  |
|---|------------------|------------------|-----------------------|--------------------|--|--|--|
|   | Z-Ave<br>(nm)    | PDI              | Intensity-Ave<br>(nm) | Number-Ave<br>(nm) |  |  |  |
| NCP-1   | 102.4 ± 1.09     | $0.132 \pm 0.01$ | $120.2 \pm 2.10$      | 69.32 ± 3.87       |  |  |  |
| NCP-1/ET  | $106.8 \pm 1.05$ | $0.121 \pm 0.01$ | $122.4 \pm 0.90$      | 71.18 ± 4.17       |  |  |  |
| NCP-1/ET/<br>siRNAs   | $100.2 \pm 1.10$ | $0.159 \pm 0.01$ | 124.1 ± 4.50          | 67.19 ± 5.43       |  |  |  |

In Vitro Cytotoxicity of NCP-1/ET/siRNA in SCLC

Small cell lung cancer (SCLC) cell lines H82 and H69 cells were seeded into 96-well plate at 2000 cells/well for 24 h. Afterwards, cells were treated by cisplatin, ET, cisplatin+ ET, NCP-1, NCP-1/ET, and NCP-1/ET/siRNAs at various concentrations and incubated for another 72 h. The cell viability was then determined via MTS assay by microplate 55 reader. The IC50 of NCP-1/ET/siRNAs on SCLC cells was shown in Table 4, below.

TABLE 4

|                        | IC <sub>50</sub> (µM) of NCP-1/ET/siRNAs on SCLC cells. |                                    |                                |                                    |                            |                                |  |  |
|------------------------|---|------------------------------------|--------------------------------|------------------------------------|----------------------------|--------------------------------|--|--|
|                        | Cisplatin   | Etoposide                          | Cisplatin +<br>Etoposide       | NCP-1                              | NCP-1/ET                   | NCP-1/ET/<br>siRNAs            |  |  |
| H82 cells<br>H69 cells | $7.93 \pm 0.70$<br>13.2 ± 0.35                          | $30.9 \pm 0.37$<br>$42.2 \pm 0.61$ | $6.65 \pm 0.19$<br>9.79 ± 0.64 | $22.1 \pm 1.28$<br>$20.9 \pm 0.63$ | 20.2 ± 0.50<br>18.8 ± 0.39 | $18.5 \pm 0.14$<br>16.3 ± 0.31 |  |  |

In Vivo Antitumor Activity of NCP-1/ET/siRNA

The in vivo antitumor activity of NCP-1/ET/siRNA was evaluated on five tumor models, including two cispaltinresistant ovarian cancers (A2780/CDDP and SKOV-3), two non-small cell lung cancers (H460 and A549) and one small <sup>5</sup> cell lung cancer (H82). NCP-1/ET/siRNAs showed significantly inhibitory effect on all five tumor models, especially on SKOV-3, A549 and H82 tumor models. See FIGS. **1A-1**C. The tumors were completely inhibited at the first stage, but began to grow at the last stage after stopping the <sup>10</sup> treatment.

#### Example 6

Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Cisplatin and Paclitaxel

Characterization of NCP-1-Containing Chol-PTX (NCP-1/ Chol-PTX)

#### 20 The bare NCP-1 comprised by cisplatin-bisphosphonates, Zn<sup>2+</sup> ions, and dioleoyl-sn-glycero-3-phosphate (DOPA), was prepared in a mixture of 0.3 M TritonX-100 and 1.5 M hexanol in cyclohexane with DOPA sticking out in the medium, which provides a lipid layer on the bare NCP and 25 facilitates the incorporation of other phospholipids or lipidcontaining drugs. The bare NCP-1 particles are then mixed with twice amount of EtOH and centrifuged at 12000 rpm for 30 min to remove the solvent. The particle pellet will be further washed once with 50% EtOH/cyclohexane and twice with 50% EtOH/THF to remove excess amounts of DOPA and re-dispersed in THF. Finally, the bare NCP-1 particles will be further filtered via 200 nm syringe filter prior to any use. NCP-1/Chol-PTX particles were obtained by adding a mixture of DOPC (0.5 mg), Chol (0.25 mg) and Chol-PTX (146 $\mu$ g), DSPE-PEG<sub>2K</sub> (20 mol %) (0.9 mg) and bare

was prepared in a mixture of 0.3 M TritonX-100 and 1.5 M hexanol in cyclohexane with DOPA sticking out in the medium, which provides a lipid layer on the bare NCP and facilitates the incorporation of other phospholipids or lipid-containing drugs. NCP-1/OTS167 particles were obtained by adding a mixture of DOPC, Chol, Chol-OTS167, DSPE-PEG<sub>2K</sub> (20 mol %), and bare NCP-1 particles to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t. prior to use. Particles with cisplatin to OTS167molar ratio of 1:1, 5:1 and 10:1 were made. The z-average sizes for NCP-1/OTS167 were similar for different formulations with different molar ratios, which is 110-120 nm with PDI ranging from 0.15-0.18. See Table 5.

TABLE 5

| Particle size and PDI of NCP-1/OTS167<br>with different molar ratios. |                                      |                                      |                              |                              |  |  |  |  |
|---|--------------------------------------|--------------------------------------|------------------------------|------------------------------|--|--|--|--|
|   | Z-Ave                                | PDI                                  | Intensity                    | Number                       |  |  |  |  |
| NCP-1<br>NCP-1/<br>OTS167 (1:1)                                       | $101.8 \pm 0.90$<br>$119.7 \pm 2.54$ | $0.161 \pm 0.01$<br>$0.175 \pm 0.02$ | 125.1 ± 3.16<br>144.4 ± 2.48 | 60.72 ± 1.05<br>73.48 ± 7.47 |  |  |  |  |
| NCP-1/<br>OTS167 (5:1)  | 117.7 ± 1.71                         | $0.167 \pm 0.02$                     | 151.9 ± 2.24                 | 74.83 ± 7.58                 |  |  |  |  |
| NCP-1/<br>OTS167 (10:1)   | $109.3 \pm 1.04$                     | $0.153 \pm 0.01$                     | $129.6 \pm 1.05$             | 66.55 ± 1.23                 |  |  |  |  |

In Vitro Cytotoxicity Against Ovarian Cancer Cell Lines

The synergy between cisplatin and OTS167 was first examined against cisplatin-resistant ovarian cancer cell line A2780/CDDP by comparing free drug cytotoxicities alone or in combination. The  $IC_{50}$  of cisplatin was significantly decreased after combination with OTS167 at the molar ratio of 10:1 and 100:1. See Table 6.

TABLE 6

| The $IC_{50}$ of cisplatin and OTS167 alone or in combination against A2780/CDDP cells. |              |              |                                    |                                     |                            |  |  |
|---|--------------|--------------|------------------------------------|-------------------------------------|----------------------------|--|--|
|   | OTS167       | Cisplatin    | 10:1                               | 100:1                               | 1000:1                     |  |  |
| OTS167 (nM)<br>Cisplatin (uM)   | 12.05 ± 0.46 | 12.84 ± 0.19 | $12.16 \pm 0.46 \\ 0.12 \pm 0.005$ | $12.09 \pm 0.40$<br>$1.21 \pm 0.04$ | 8.77 ± 0.10<br>8.77 ± 0.10 |  |  |

NCP-1 particles (0.25 mg) to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t. prior to use. In this formulation, Cisplatin/PTX=2.1:1 (molar ratio), with drug loading: PTX (4.3%, 853 g/mol, 7.3% for Chol-PTX); Cisplatin:(3.13%, 300 g/mol), respectively. The z-average size for NCP-1/Chol-PTX is  $94.1\pm1.2$  nm with PDI at 0.164 and neutral charge (~1.5 mV) in PBS. The NCP-1/Chol-PTX particles have been stable for 10 days in both PBS and PBS-containing 10% FBS solutions. The superior formula-tion stability could arise from the strong coordination bonding inside the core and the hydrophobic interactions between cholesterol and phospholipids.

#### Example 7

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Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Cisplatin and OTS167

Preparation and Characterization of NCP-1/OTS167

The bare NCP-1 comprised by cisplatin-bisphosphonates,  $Zn^{2+}$  ions, and dioleoyl-sn-glycero-3-phosphate (DOPA),

The cytotoxicity of NCP-1/OTS167 with different molar ratio was then evaluated. A 100:1 ratio of free cisplatin: OTS167 showed the most promising combination index, and therefore drug ratios of 50:1 and 100:1 were selected for NCP formulation. See Table 7.

TABLE 7

|   | The IC                        | <sub>50</sub> of NCP-1/O | TS167 against | A2780/CDDP                  | cells.                      |
|---|-------------------------------|--------------------------|---------------|-----------------------------|-----------------------------|
| 0 |                               | OTS167                   | Cisplatin     | Particle<br>(100:1)         | Particle<br>(50:1)          |
| 5 | OTS167 (nM)<br>Cisplatin (uM) | 12.05 ± 0.46             | 12.84 ± 0.19  | 49.05 ± 3.98<br>4.91 ± 0.40 | 53.49 ± 5.73<br>2.67 ± 0.29 |

#### Example 8

Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Cisplatin and OTSC41

Preparation and Characterization of NCP-1/OTSC41

The bare NCP-1 comprised by cisplatin-bisphosphonates, Zn<sup>2+</sup> ions, and dioleoyl-sn-glycero-3-phosphate (DOPA), was prepared in a mixture of 0.3 M TritonX-100 and 1.5 M hexanol in cyclohexane with DOPA sticking out in the 10 medium, which provides a lipid layer on the bare NCP and facilitates the incorporation of other phospholipids or lipidcontaining drugs. NCP-1/OTSC41 particles were obtained by adding a mixture of DOPC, Chol, Chol-OTSC41, DSPE- $PEG_{2K}$  (20 mol %), and bare NCP-1 particles to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t. prior to use. Particles with cisplatin to OTSC41 molar ratio of 1:1, 5:1 and 10:1 were made. The z-average sizes for NCP-1/ OTSC41 were similar for different formulations with different molar ratios, which is around 100 nm with PDI 20 ranging from 0.14-0.16. See Table 8.

TABLE 8

|                                    | Particle size and PDI of NCP-1/OTSC41<br>with different molar ratios. |                                      |                                      |                              |    |  |  |
|------------------------------------|---|--------------------------------------|--------------------------------------|------------------------------|----|--|--|
|                                    | Z-Ave<br>(nm)   | PDI                                  | Intensity-Ave<br>(nm)                | Number-Ave<br>(nm)           |    |  |  |
| NCP-1<br>NCP-1/<br>OTSC41          | $101.8 \pm 0.90$<br>$102.2 \pm 0.66$                                  | $0.161 \pm 0.01$<br>$0.164 \pm 0.01$ | $125.1 \pm 3.16$<br>$121.4 \pm 1.40$ | 60.72 ± 1.05<br>63.97 ± 4.94 | 30 |  |  |
| (1:1)<br>NCp-1/<br>OTSC41<br>(5:1) | 106.8 ± 1.35  | $0.157 \pm 0.01$                     | 123.7 ± 3.95                         | 58.92 ± 2.54                 |    |  |  |
| NCp-1/<br>OTSC41<br>(10:1)         | 108.1 ± 0.21  | $0.137 \pm 0.01$                     | 126.3 ± 1.15                         | 72.93 ± 5.68                 | 35 |  |  |

In Vitro Cytotoxicity Against Ovarian Cancer Cell Lines

The synergy between cisplatin and OTSC41 was first <sup>40</sup> examined against two ovarian cancer lines, including cisplatin-sensitive A2780 cells and cisplatin-resistant A2780/ CDDP cells by comparing free drug cytotoxicities alone or in combination. The IC<sub>50</sub> of cisplatin OTSC41 alone or in combination was shown in Table 9.

TABLE 9 IC50 of cisplatin and OTSC41 alone or in combination against A2780 and A2780/CDDP cells C41 Cisplatin 100:1 1000:1 A2780  $6.39 \pm 0.35$ C41 (nM)  $780.4 \pm 32.9$  $67.9 \pm 3.56$ Cisplatin (uM)  $3.52 \pm 0.41$  $6.79 \pm 0.36$  $6.39 \pm 0.35$ A2780/CDDP C41 (nM)  $68.8 \pm 2.19$ 473.0 ± 11.4  $24.5 \pm 2.34$ 

 $12.8 \pm 1.93$ 

47.3 ± 1.14

 $24.5 \pm 2.34$ 

#### Example 9

Cisplatin (uM)

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Cisplatin and Dihydroartemisinin for the Treatment of Ovarian Cancer

Cumulative research suggests that dihydroartemisinin 65 (DHA) and other artemisinin-based endoperoxide compounds can be cleaved in a Fenton reaction mediated by iron

and produces free-radical reactive oxygen species (ROS) to cause damage to tumor cells. DHA has been reported to inhibit the growth of various cancer cells and xenograft tumors, and potentiate the anticancer effect of cisplatin in cisplatin-resistant ovarian cancer. Here, NCP-based coreshell nanoparticles were prepared to co-deliver cisplatin and DHA to treat cisplatin-resistant ovarian cancer.

Preparation and Characterization of NCP-1/DHA Particles

NCP-1 particles carrying cisplatin prodrug were prepared as previously reported. Briefly, a mixture of  $Zn(NO_3)_2$  and an cisplatin prodrug, 1,2-cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (OCONHP(O)(OH)<sub>2</sub>)<sub>2</sub>] (PtBp), with 1,2-dioleoyl-sn-glycero-3-phosphate sodium salt (DOPA) in the Triton X-100/ 1-hexanol/cyclohexane/water reverse microemulsion was vigorously stirred at room temperature for 30 min to afford spherical DOPA-coated NCP-1 particles. NCP-1 has a cisplatin loading of 25 wt % as determined by inductively coupled plasma-mass spectrometry (ICP-MS).

DOPA-NCP-1 particles were then coated with cholesterol, DOPC, Chol-DHA conjugates, and 20 mol % DSPE-PEG2k to afford a core-shell nanostructure with a solid core carrying cisplatin and a lipid layer containing DHA. Par-25 ticles with different molar ratios of cisplatin to DHA were formulation by changing the amount of DHA during lipid coating. See Table 10.

TABLE 10

| Molar ratio                          | Z-Ave<br>(nm)               | PDI                                  | Intensity-<br>Ave<br>(nm)    | Number-<br>Ave<br>(nm)     |
|--------------------------------------|-----------------------------|--------------------------------------|------------------------------|----------------------------|
| NCP-1 particle<br>NCP-1@DHA<br>(4:1) | 94.7 ± 0.92<br>100.8 ± 0.78 | $0.165 \pm 0.01$<br>$0.170 \pm 0.01$ | 112.8 ± 1.40<br>116.6 ± 1.33 | 59.2 ± 2.35<br>60.5 ± 7.26 |
| NCP-1@DHA<br>(2:1)                   | 110.6 ± 1.79                | $0.169 \pm 0.01$                     | 132.5 ± 3.67                 | 68.1 ± 1.39                |
| NCP-1@DHA<br>(1:1)                   | 123.5 ± 1.48                | $0.187 \pm 0.01$                     | 158.5 ± 5.33                 | 71.5 ± 1.7                 |

In Vitro Cytotoxicity Against Ovarian Cancer Cell Lines

A2780, A2780/CDDP, and SKOV-3 cells were seeded <sup>60</sup> into 96-well plate at 2000 cells/well for 24 h. Afterwards cells were treated with different formulations at various concentrations and incubated for another 72 h. The cell viability was then determined via MTS assay by microplate reader. After combination with DHA, the cytotoxicity of <sup>65</sup> cisplatin decreased significantly, as shown by significantly decrease in IC<sub>50</sub>. The particles showed similar cytotoxicity, compared to free drugs. See Table 11.

40

60

| TABLE | 1 | 1 |
|-------|---|---|
|-------|---|---|

| $IC_{50}$ (µM) of cisplatin and DHA on A2780, A2780/CDDP and SKOV-3 cells treated with various formulations. The numbers in parenthesis refer to DHA concentrations. |                 |                   |                                       |                                  |              |                   |                                       |                                    |
|--|-----------------|-------------------|---------------------------------------|----------------------------------|--------------|-------------------|---------------------------------------|------------------------------------|
|  | Cisplatin       | DHA               | Ciaplatin +<br>DHA 2:1                | Ciaplatin +<br>DHA 1:1           | NCP-1        | Zn/DHA            | NCP-1/<br>DHA 2:1                     | NCP-1/<br>DHA 1:1                  |
| A2780  | $4.05 \pm 0.74$ | $(0.30 \pm 0.11)$ | $0.46 \pm 0.14$<br>(0.24 ± 0.07)      | $0.35 \pm 0.14$<br>(0.35 ± 0.14) | 8.90 ± 1.36  | $(0.96 \pm 0.15)$ | $1.16 \pm 0.31$<br>(0.58 $\pm 0.16$ ) | $0.78 \pm 0.14$<br>(0.78 ± 0.14)   |
| A2780/<br>CDDP   | 19.75 ± 4.01    | $(2.61 \pm 0.18)$ | $6.18 \pm 1.52$<br>(3.09 $\pm 0.76$ ) | $3.74 \pm 0.15$<br>(3.74 ± 0.15) | 24.56 ± 3.45 | $(3.71 \pm 0.55)$ | $8.63 \pm 0.84$<br>(4.32 ± 0.42)      | $4.75 \pm 0.69$<br>(4.75 ± 0.69)   |
| SKOV-3   | 34.95 ± 5.43    | (9.90 ± 0.34)     | $10.95 \pm 1.77$<br>(5.48 ± 0.89)     | $9.07 \pm 0.15$<br>(9.07 ± 0.15) | 38.04 ± 2.36 | (11.88 ± 3.22)    | $14.44 \pm 3.59$<br>(7.22 ± 1.80)     | $10.58 \pm 2.31$<br>(10.58 ± 2.31) |

#### Example 10

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Oxaliplatin Analogue and Paclitaxel for the Treatment of Colorectal

Cancer NCP-based core-shell nanoparticles carrying two chemotherapeutic inducers of immunogenic cell death are shown to exhibit substantial anticancer efficacy in the treatment of colorectal cancer. Effective anti-cancer therapy of NCP-2/PTX was demonstrated against two syngeneic 25 murine colorectal cancer models, CT26 and MC38. Efficient anti-tumor immunity was evoked by NCP-2/PTX as demonstrated by early calreticulin (CRT) exposure on the cell surface, antitumor vaccination, and delayed tumor growth. Preparation and Characterization

NCP-2 particles carrying an oxaliplatin prodrug were prepared as previously reported. Briefly, a mixture of Zn(NO<sub>3</sub>)<sub>2</sub> and an oxaliplatin analogue prodrug, Pt(dach)Cl<sub>2</sub> (OH), (dach=R, R-diaminocyclohexane), with 1,2-dioleoylsn-glycero-3-phosphate sodium salt (DOPA) in the Triton 35 X-100/1-hexanol/cyclohexane/water reverse microemulsion was vigorously stirred at room temperature for 30 min to afford spherical DOPA-coated NCP-2 particles of 55.33±0.18 nm in Z-average by DLS. NCP-2 has an oxaliplatin loading of 27.6 wt % as determined by ICP-MS.

NCP-2/PTX nanoparticles were prepared by coating NCP-2 core with an asymmetric lipid bilayer containing chol-PTX and PEG. Particles containing either 1:1 or 2:1 molar ratio of Pt(dach)Cl<sub>2</sub>:paclitaxel were prepared and characterized. A THF solution of 1,2-dioleoyl-sn-glycero-3phosphocholine (DOPC), cholesterol, 1,2-distearoyl-sn-

15 suspension was completely evaporated before subsequent use in in vitro and in vivo experiments.

TEM images of both formulations of NCP-2/PTX demonstrated spherical nanoparticles. NCP-2/PTX possessed Z-average diameter, number-average diameter, and polydispersity index (PDI), of 121.7±0.95 nm, 81.98±4.45 nm, and 0.139±0.01, respectively, for 1:1 molar ratio dispersed in phosphate buffered saline (PBS) by DLS measurement. 2:1 particles showed similar characteristics: 112.0±0.51 nm, 74.26±3.60 nm, and 0.151±0.01 respectively.

In Vitro Cytotoxicity Against Colon Cancer Cell Lines

The cytotoxicity of NCP-2/PTX was evaluated against two colorectal cancer cells including murine colorectal adenocarcinoma CT26 and murine colorectal carcinoma MC38. By combining two chemotherapeutic agents with vastly different solubility requirements, NCP-2/PTX elicited substantial anticancer efficacy owing to synergy between the drugs.

The synergy between oxaliplatin and paclitaxel were first examined by comparing free drug cytotoxicities alone or in combination. The IC50 of oxaliplatin was substantially lower than that of paclitaxel by MTS assay, likely due to the hydrophobicity of paclitaxel. Paclitaxel was prepared in a stock solution of 3:1 ethanol:water ratio at 1 mg/ml before subsequent dilution to prepare free drug doses. The combination index (CI<sub>50</sub>) for the two drugs was much more favorable for the 1:1 compared to 2:1 molar ratio, with equal oxaliplatin doses. NCP formulations containing oxaliplatin analogue (NCP-2) or oxaliplatin analogue and paclitaxel at a 1:1 molar ratio showed similar cell killing effects to those of the free drugs. See Table 12.

TABLE 12

| Oxalip<br>various | platin and p<br>formulation | aclitaxel IC <sub>50</sub> valu<br>ns. The numbers i | ies (μM) in CT2<br>in parentheses re | 6 and MC38 cells<br>fer to paclitaxel co | treated with oncentrations.      |
|-------------------|-----------------------------|--|--------------------------------------|--|----------------------------------|
|                   | Drug<br>ratio               | NCP-2/PTX  | NCP-2                                | oxaliplatin                              | oxaliplatin +<br>paclitaxel      |
|                   | 2:1                         |  |                                      | $10.21 \pm 0.98$                         | $9.17 \pm 1.84$<br>(4.86 ± 0.92) |
| CT26              | 1:1                         | $4.66 \pm 1.20$<br>(4.66 ± 1.20)                     | $7.55 \pm 0.81$                      | $7.05 \pm 0.95$                          | $5.74 \pm 0.65$<br>(5.74 ± 0.65) |
| MC38              | 1:1                         | $2.15 \pm 0.28$<br>(2.15 $\pm 0.28$ )                | $3.72 \pm 0.31$                      | 3.35 ± 0.13                              | $2.15 \pm 0.28$<br>(2.15 ± 0.18) |

glycero-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-2000] (DSPE-PEG2k), and chol-PTX (DOPC:cholesterol:chol-PTX:DSPE-PEG2k=2:2:0.26:1 or 2:2:0.52:1 for 2:1 and 1:1 oxaliplatin:paclitaxel formulations, respectively), and DOPA-capped NCP-2 were added to 500 µL of 65 30% (v/v) ethanol/water and kept stirring at 1700 rpm at 50° C. for 1 min. The THF and ethanol in the nanoparticle

In Vitro Immunogenic Cell Death

The immunogenic cell death induced by NCP-2/PTX was evaluated by immunofluorescence and flow cytometry. For immunofluorescence analysis, CT26 cells were seeded at  $5 \times 10^5$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. Oxaliplatin, paclitaxel,

chol-PTX, NCP-2, and NCP-2/PTX (1:1) were added to the cells, respectively, at an equivalent oxaliplatin and paclitaxel dose of 5 µM. Cells incubated with PBS served as control. Following incubation of 4 h, the cells were washed with PBS three times, fixed with 4% paraformaldehyde, incubated with AlexaFluor 488-calreticulin (CRT) antibody for 2 h. stained with DAPI, and observed under CLSM using 405 nm and 488 nm lasers for visualizing nuclei and CRT expression on the cell membrane, respectively. For flow cytometry analysis, CT26 cells were seeded at  $1 \times 10^6$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. Oxaliplatin, paclitaxel, chol-PTX, NCP-2, and NCP-2/PTX (1:1) were added to the cells, respectively, at an 15 equivalent Pt and/or paclitaxel dose of 5 µM. Cells incubated with PBS served as control. Following incubation of 4 h, the cells were collected, incubated with AlexaFluor 488-CRT antibody for 2 h, and stained with propidium iodide (PI). The samples were analyzed by flow cytometer (LSRII Orange, 20 BD, Franklin Lakes, N.J., United States of America) to identify cell surface CRT. The fluorescence intensity of stained cells was gated on PI-negative cells. See FIG. 2. Pharmacokinetics and Biodistribution

A pharmacokinetic (pK) and biodistribution study of 25 NCP-2/PTX was carried out on CT26 tumor bearing BALB/c mice after intraperitoneal injection. The oxaliplatin distribution was quantified by ICP-MS. Additionally, the pharmacokinetics and biodistribution of a NCP particle comprising a zinc and oxaliplatin analogue core and a lipid 30 coating layer comprising cholesterol-modified paclitaxel (NCP-2/PTX) after intravenous (i.v.) injection in CT26 murine colorectal adenocarcinoma tumor bearing mice at a dose of 1 milligram per kilogram (mg/kg) showed greater presence in the blood compared to liver, lung, spleen, 35 kidney, bladder, and tumor. Platinum (Pt) concentration was analyzed via inductively coupled plasma-mass spectrometry (ICP-MS) at 5 minutes, and at 1, 3, 5, 8, 24, and 48 hours, and is expressed in micrograms (µg). Results of the pharmacokinetics and biodistribution were expressed as percent-4∩ age of the initial dose (% ID). See FIG. 3.

To better understand the relationship between nanoparticle dose and animal size, a pharmacokinetic study of NCP-2/PTX by intravenous injection was carried out on Beagle dogs. The oxaliplatin distribution was quantified by ICP-MS. See FIG. **4**.

In Vivo Efficacy

Two colorectal mouse models including BALB/c mice bearing murine colorectal adenocarcinoma CT26 and C57Bl/6 mice bearing colorectal carcinoma MC38 were employed to assess the in vivo anticancer activity of NCP-2/PTX. See FIGS. **5** and **6**. 5×10<sup>6</sup> cells were injected into the right flank and treatment began on day 7, after all tumors had reached 100-150 mm<sup>3</sup> in size. CT26 or MC38 tumor bearing mice were treated by intraperitoneal injection at equivalent oxaliplatin doses of 1 mg/kg and paclitaxel doses of 2.24 mg/kg (1) PBS, (2) NCP-2/PTX, or (3) NCP-2/PTX+PD-L1 antibody on the indicated days for a total of 6-10 doses.

Consistent, low oxaliplatin analogue doses of NCP-2/ PTX significantly delayed tumor growth in both CT26 and MC38 murine mouse models.

To investigate the role of different immune cells in the <sup>60</sup> anti-cancer efficacy of NCP-2/PTX,  $5 \times 10^6$  CT26 cells were implanted into the right flank regions of immunocompromised athymic nude mice deficient in mature T cells, or Rag-/- BALB/c mice deficient in both T and B cells. See FIG. 7. NCP-2/PTX at a dose of 1 mg oxaliplatin analogue/ <sup>65</sup> kg and 2.24 mg paclitaxel/kg was ineffective at treating CT26 on either of these immunocompromised models, com-

pared to the significantly enhanced anticancer effect on immunocompotent BALB/c mice.

To establish more representative mouse models of colorectal cancer, fewer cells  $(1 \times 10^6 \text{ MC38 cells})$  were injected into the right flank region of C57BL/6 mice and allowed to grow for longer periods of time to establish an immunosuppressive tumor environment before beginning treatment. MC38 tumor bearing mice were treated by intraperitoneal injection at equivalent oxaliplatin analogue doses of 2 mg/kg and antibody doses of 75 g for (1) PBS, (2) NCP-2, (3) NCP-2/PTX (1:1), (4) NCP-2/PTX (2:1), (5) NCP-2/PTX (1:1)+PD-L1 antibody, or (6) NCP-2/PTX (2:1)+PD-L1 antibody every four days for a total of 5 doses. See FIG. **8**. Antitumor Vaccination

Inspired by the robust immunogenic response evoked by NCP-2/PTX, the antitumor vaccination capability of NCP-2/PTX was further evaluated. A total of  $5 \times 10^5$  CT26 cells, treated with PBS or NCP-2/PTX, were inoculated subcutaneously to the right flank region of BALB/c mice. One week after, these mice were re-challenged by injecting  $1 \times 10^5$  CT26 cells on the contralateral flank. The animals were checked daily for tumor development using calipers and body weight evolution. See FIGS. 9A and 9B. All mice were sacrificed when the right tumor size of PBS group exceeded 2 cm<sup>3</sup>.

#### Example 11

Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Oxaliplatin Analogue and Mitoxantrone for the Treatment of Colorectal

Cancer NCP-based core-shell nanoparticles carrying two chemotherapeutic inducers of immunogenic cell death are shown to exhibit substantial anticancer efficacy in the treatment of colorectal cancer.

Preparation and Characterization

NCP-2 particles carrying an oxaliplatin prodrug were prepared as previously reported. NCP-2/MTX nanoparticles were prepared by coating NCP-2 core with an asymmetric lipid bilayer containing chol-MTX and PEG. Particles containing a 2:1 or 1:1 molar ratio of oxaliplatin:mitoxantrone were prepared and characterized. A THF solution of 1,2dioleoyl-sn-glycero-3-phosphocholine (DOPC), cholesterol, 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-

[methoxy(polyethylene glycol)-2000] (DSPE-PEG2k), and chol-MTX (DOPC:cholesterol:chol-MTX:DSPE-PEG2k=2: 2:0.30:1 or 2:2:0.60:1 for 2:1 and 1:1 oxaliplatin analogue: mitoxantrone molar ratios, respectively), and DOPA-capped
 NCP-2 were added to 500 μL of 30% (v/v) ethanol/water and kept stirring at 1700 rpm at 50° C. for 1 min. The THF and ethanol in the nanoparticle suspension was completely evaporated before subsequent use in in vitro and in vivo experiments. The particle size and distribution were determined by DLS. See Table 13.

TABLE 13

| Characterization data for NCP-2/MTX nanoparticles.   |                       |                         |                      |  |  |
|--|-----------------------|-------------------------|----------------------|--|--|
| Drug ratio<br>(oxaliplatin<br>analogue:mitoxantrone) | Z-ave                 | PDI                     | Number ave           |  |  |
| 1:0<br>1:1<br>2:1                                    | 97.2<br>90.1<br>100.0 | 0.164<br>0.213<br>0.195 | 62.6<br>41.0<br>57.5 |  |  |

In Vitro Cytotoxicity Against Cell Lines

The cytotoxicity of NCP-2/MTX was evaluated against two syngeneic cancer cell lines:colorectal adenocarcinoma CT26 and colorectal carcinoma MC38. By combining two chemotherapeutic agents with vastly different solubility 5 requirements, NCP-2/MTX elicited substantial anticancer efficacy owing to synergy between the drugs. The synergy between oxaliplatin and mitoxantrone were first examined by comparing free drug cytotoxicities alone or in combination. The combination index  $(CI_{50})$  for the two drugs was 10 much more favorable for the 2:1 compared to 1:1 molar ratio, with equal Pt doses. NCP formulations containing oxaliplatin analogue (NCP-2) or oxaliplatin analogue and mitoxantrone at a 1:1 molar ratio (NCP-2/MTX) showed similar cell killing effects to those of the free drugs. See 15 Table 14.

#### TABLE 14

| <br>Oxaliplatin and pacl various formulations. | itaxel IC <sub>50</sub> values<br>The numbers in | ε (μM) in CT26 an<br>parentheses refer t | d MC38 cel<br>o paclitaxel | ls treated with concentrations. |
|--|--|--|----------------------------|---------------------------------|
| Drug ratio                                     | NCP-2/MTX  | NCP-2                                    | oxaliplatin                | oxaliplatin +<br>mitoxantrone   |

#### CT26 2:1 $1.49 \pm 0.32$ $7.55 \pm 0.81$ $7.05 \pm 0.59$ $1.91 \pm 0.12$ $(0.95 \pm 0.06)$ $(0.74 \pm 0.16)$ 1.1 $0.76 \pm 0.03$ $(0.76 \pm 0.03)$ MC38 $0.21 \pm 0.036$ 1:1 $0.59 \pm 0.069$ $3.72 \pm 0.31$ $3.35 \pm 0.13$ $(0.59 \pm 0.069)$ $(0.21 \pm 0.036)$

#### In Vivo Efficacy

A colorectal mouse model of murine colorectal adenocarcinoma CT26 was employed to assess the in vivo anticancer activity of NCP-2/MTX.  $5 \times 10^6$  CT26 cells were injected  $_{35}$ into the right flank and treatment began on day 7, after all tumors had reached 100-150 mm<sup>3</sup> in size. CT26 tumor bearing mice were treated by intraperitoneal injection at equivalent oxaliplatin analogue doses of 1 mg/kg and paclitaxel doses of 0.58 mg/kg (1) PBS, (2) NCP-2/MTX (2:1), 40 or (3) NCP-2/MTX (2:1)+75 µg PD-L1 antibody every four days for a total of 6 doses. Consistent, low doses of chemotherapeutics in NCP-2/MTX significantly delayed tumor growth in CT26 murine mouse models and synergized with checkpoint blockade immunotherapy to sustain tumor <sup>45</sup> growth inhibition. See FIG. **10**.

A colorectal mouse model of murine colorectal carcinoma MC38 was employed to assess the in vivo anticancer activity of NCP-2/MTX.  $1\times10^6$  MC38 cells were injected into the right flank and treatment began on day 12, after all tumors had reached 50-70 mm<sup>3</sup> in size. MC38 tumor bearing mice were treated by intraperitoneal injection at equivalent oxaliplatin doses of 2 mg/kg and mitoxantrone doses of 1.16 mg/kg (1) PBS, (2) NCP-2/MTX (2:1), or (3) NCP-2/MTX (2:1)+75 µg PD-L1 antibody every four days for a total of 5 doses. See FIG. **11**.

#### Example 12

Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Oxaliplatin Analogue and DHA

#### Preparation and Characterization of NCP-2/DHA

The bare NCP-2 comprised by oxaliplatin-bisphosphonates,  $Zn^{2+}$  ions, and dioleoyl-sn-glycero-3-phosphate (DOPA), was prepared in a mixture of 0.3 M TritonX-100 and 1.5 M hexanol in cyclohexane with DOPA sticking out in the medium, which provides a lipid layer on the bare NCP and facilitates the incorporation of other phospholipids or lipid-containing drugs. The bare NCP-2 particles are then mixed with twice amount of EtOH and centrifuged at 12000 rpm for 30 min to remove the solvent. The particle pellet was

30

further washed once with 50% EtOH/cyclohexane and twice with 50% EtOH/THF to remove excess amounts of DOPA and re-dispersed in THF. Finally, the bare NCP-2 particles were filtered via 200 nm syringe filter prior to any use. The NCP-2 particle has a Z-average diameter and PDI of 78.56±1.03 nm and 0.147±0.01, respectively. NCP-2/DHA was formulated by adding a mixture of DOPC, Chol, Chol-DHA, DSPE-PEG<sub>2K</sub> and bare NCP-2 particles to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t. prior to use. In this formulation with Oxaliplatin/DHA=1:2 (molar ratio), the NCP-2/DHA particle has a number-averaged diameter, Z-averaged diameter, and PDI of 51.90±1.06 nm, 80.74 nm±0.79 nm, and 0.152, respectively.

#### In Vitro Cytotoxicity Against Colon Cancer Cell Lines

The cytotoxicity of NCP-2/DHA was evaluated against two colon cancer cell lines CT26 and MC38. The synergy between oxaliplatin and DHA was first examined by comparing free drug cytotoxicities alone or in combination. The combination index ( $CI_{50}$ ) for the two drugs was lower than 1 in some drug effect levels, indicating the syngestic effect between two drugs. NCP formulations containing oxaliplatin analogue (NCP-2), DHA or oxaliplatin and DHA at a 1:1 molar ratio showed similar cell killing effects to those of the free drugs. See Table 15.

TABLE 15

|      | Oxaliplatin and DHA $IC_{50}$ values ( $\mu$ M) in CT26 and MC38 cells treated with various formulations. The numbers in parentheses refer to DHA concentrations. |             |                              |              |             |                              |  |
|------|---|-------------|------------------------------|--------------|-------------|------------------------------|--|
|      | Oxaliplatin   | DHA         | Oxaliplatin +<br>DHA         | NCP-2        | Zn/DHA      | NCP-2/DHA                    |  |
| CT26 | 5.77 ± 1.06   | 7.77 ± 2.68 | 8.11 ± 1.17<br>(8.11 ± 1.17) | 11.03 ± 2.58 | 8.51 ± 1.37 | 6.74 ± 1.24<br>(6.74 ± 1.24) |  |

55

| 8 | 81   |              |
|---|------|--------------|
| Т | ABLE | 15-continued |

|      | Oxaliplatin and DHA $IC_{50}$ values ( $\mu$ M) in CT26 and MC38 cells treated with various formulations. The numbers in parentheses refer to DHA concentrations. |             |                                  |              |             |                              |  |
|------|---|-------------|----------------------------------|--------------|-------------|------------------------------|--|
|      | Oxaliplatin   | DHA         | Oxaliplatin +<br>DHA             | NCP-2        | Zn/DHA      | NCP-2/DHA                    |  |
| MC38 | 7.87 ± 1.32   | 4.83 ± 1.12 | $4.28 \pm 1.20$<br>(4.28 ± 1.20) | 11.70 ± 2.10 | 8.31 ± 1.47 | 9.18 ± 1.48<br>(9.18 ± 1.48) |  |

In Vitro Immunogenic Cell Death

The immunogenic cell death induced by DHA was evaluated by immunofluorescence and flow cytometry. For immunofluorescence analysis, CT26 and MC38 cells were seeded 15 at  $5 \times 10^5$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. DHA was added to the cells at a dose of 1 µM. Cells incubated with PBS served as control. Following incubation of 24 h. the cells were washed 20 with PBS three times, fixed with 4% paraformaldehyde, incubated with AlexaFluor 488-calreticulin (CRT) antibody for 2 h, stained with DAPI, and observed under CLSM using 405 nm and 488 nm lasers for visualizing nuclei and CRT expression on the cell membrane, respectively. For flow 25 cytometry analysis, CT26 and MC38 cells were seeded at  $1 \times 10^{6}$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. DHA was added to the cells at a dose of 1 µM. Cells incubated with PBS served as 30 control. Following incubation of 24 h, the cells were collected, incubated with AlexaFluor 488-CRT antibody for 2 h, and stained with propidium iodide (PI). The samples were analyzed by flow cytometer (LSRII Orange, BD, USA) to identify cell surface CRT. The fluorescence intensity of 35 stained cells was gated on PI-negative cells. Both flow cytometry and confocal imaging indicated that DHA caused significant immunogenic cell death. See FIGS. 12A and 12B.

#### In Vivo Efficacy

A colorectal mouse model of murine colorectal adenocarcinoma CT26 was employed to assess the in vivo anticancer activity of NCP-2/DHA. 5×10<sup>6</sup> CT26 cells were injected into the right flank and treatment began on day 7, after all tumors had reached 100-150 mm<sup>3</sup> in size. CT26 tumor <sup>45</sup> bearing mice were treated by intraperitoneal injection of NCP-2/DHA at an oxaliplatin analogue dose of 2 mg/kg. NCP-2/DHA particles inhibited the growth of CT26 tumor models. See FIG. **13**.

#### Example 13

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Oxaliplatin and DHA

Synthesis of Phosphocoline-Conjugated DHA (PC-DHA)

To a solution of DHA-S—S—OH (200 mg, 0.43 mmol, 1 eq.) in 5 mL anhydrous toluene and 0.2 mL triethylamine, a solution of ethylene glycol chlorophosphate (80 mg, 0.56 mmol, 1.3 eq.) was added dropwise over an ice bath with 60 stirring. The resultant solution was warmed to room temperature and further stirred for 2 hours and then dried under vacuum. The product was transferred to a pressure tube by 2 mL anhydrous THF and cooled over a dry ice-acetone bath. 0.5 mL anhydrous trimethylamine was added to the 65 solution and the pressure tube was sealed and heated at 70° C. for 24 hours. After removal of solvent under vacuum, the

product was obtained in 50% yield (137 mg) by purification of column chromatography on diol silica with DCM/methanol (5:1, v/v). <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.93 (d, 3H), 0.98 (d, 3H), 1.25-1.55 (m, 6H), 1.62-1.95 (m, 6H), 2.07 (m, 1H), 2.38 (td, 1H), 2.65 (m, 1H), 2.93 (m, 4H), 3.45 (s, 9H), 3.73 (m, 1H), 3.95 (m, 2H), 4.15 (m, 1H), 4.20 (m, 2H), 4.45 (m, 2H), 4.85 (s, 1H), 5.32 (s, 1H), 5.44 (s, 1H). ESI-MS: m/z=630.2 ([M+H]<sup>+</sup>).

Synthesis of Oleic Acid-Conjugated DHA (OA-DHA)

To a mixture of DHA-S-S-OH (500 mg, 1.07 mmol, 1 eq.) and 4-N,N-dimethylaminopyridine (DMAP, 160 mg, 1.2 mmol, 1.1 eq.) in anhydrous dichloromethane (DCM, 4 ml), a solution of triphosgene (110 mg, 0.36 mmol, 0.33 eq.) in anhydrous DCM (1 mL) was added dropwise over an ice bath with stirring. The resulting solution was warmed to room temperature and further stirred for 0.5 hour and then added dropwise to a solution of Oleyl-lyso-PC (500 mg, 0.96 mmol, 0.9 eq.) in a mixture of anhydrous DCM (2 mL), anhydrous DMF (3 mL) and triethylamine (0.2 mL) over an ice bath. The reaction mixture was then warmed to room temperature and stirred for 12 hours. After removal of solvent, the residue was purified by column chromatography on diol silica with DCM/methanol (10:1, v/v) to yield 215 mg of Oleyl-PC-S—S-DHA. <sup>1</sup>H-NMR (500 MHz, CDCl<sub>3</sub>): 0.90 (t, 3H), 0.93 (d, 3H), 0.98 (d, 3H), 1.20-1.33 (m, 22H), 1.45-1.70 (m, 9H), 1.75-1.95 (m, 4H), 2.03 (m, 4H), 2.36 (m, 3H), 2.66 (m, 1H), 2.97 (m, 4H), 3.46 (s, 9H), 3.50 (d, 40 1H), 3.73 (m, 1H), 4.10 (m, 3H), 4.27 (m, 3H), 4.39 (dd, 1H), 4.44 (t, 2H), 4.65 (s, 2H), 4.85 (d, 1H), 5.11 (t, 1H), 5.37 (m, 1H), 5.46 (s, 1H). ESI-MS: m/z=1012.5 ([M+H]<sup>+</sup>). Preparation and Characterization

NCP-3 particles carrying an oxaliplatin prodrug. Briefly, a mixture of  $Zn(NO_3)_2$  and an oxaliplatin prodrug, Pt(dach) (oxalate)(OH)<sub>2</sub> (dach=R, R-diaminocyclohexane), with 1,2dioleoyl-sn-glycero-3-phosphate sodium salt (DOPA) in the Triton X-100/1-hexanol/cyclohexane/water reverse microemulsion was vigorously stirred at room temperature for 30 min to afford spherical DOPA-coated NCP-3 particles of 60.53±0.69 nm in Z-average by dynamic light scattering (DLS). NCP-3 has an oxaliplatin loading of 28.2 wt % as determined by inductively coupled plasma-mass spectrometry (ICP-MS).

The bare NCP-3 particles will be further filtered via 200 nm syringe filter prior to any use. NCP-3/DHA was formulated by adding a mixture of DSPC, Chol, DHA conjugate, DSPE-PEG<sub>2K</sub> and bare NCP-3 particles to 30% (v/v) EtOH/ $H_2O$  with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t prior to use. In all formulations, Oxaliplatin/DHA=1:2 (molar ratio). Small sized particles (92.94 nm±0.30) with PDI of 0.156 were observed. All future instances of NCP-3/DHA refers to a 1:1 mixture of chol-S—S-DHA and OA-DHA conjugates. Characterization of the particles is described in Table 16.

| rafucie size and rDI of NCr-2/DHA. |                     |                   |                   |              |  |
|------------------------------------|---------------------|-------------------|-------------------|--------------|--|
|                                    | Z-Ave               | PDI               | Intensity         | Number       |  |
| NCP-3 bare                         | $60.53 \pm 0.69$    | $0.081 \pm 0.007$ | 61.17 ± 0.82      | 43.42 ± 0.55 |  |
| NCP-3                              | 89.21 ± 1.17        | $0.136 \pm 0.014$ | $102.20 \pm 0.60$ | 55.37 ± 2.28 |  |
| NCP-3/DHA                          | 80.74 ± 0.79        | $0.152 \pm 0.01$  | 92.94 ± 1.35      | 51.90 ± 1.06 |  |
| NCP-3/PC-DHA                       | $78.20 \pm 0.74$    | $0.204 \pm 0.009$ | $120.88 \pm 0.89$ | 41.96 ± 2.62 |  |
| NCP-3/OA-DHA                       | <b>89.46 ±</b> 0.77 | $0.119 \pm 0.014$ | $102.43 \pm 2.31$ | 56.16 ± 2.38 |  |
| NCP-3/DHA-                         | $91.57 \pm 0.74$    | $0.164 \pm 0.007$ | $110.33 \pm 1.86$ | 51.81 ± 2.59 |  |

In Vitro Cytotoxicity Against Colon Cancer Cell Lines

two colon cancer cell lines CT26 and MC38. DHA, chol-S-S-DHA, OA-DHA, and PC-DHA showed fairly similar IC<sub>50</sub> values. The synergy between oxaliplatin and DHA were first examined by comparing free drug cytotoxicities alone or in combination. NCP formulations containing oxaliplatin 20 (NCP-3), DHA or oxaliplatin and DHA at a 1:1 molar ratio showed similar cell killing effects to those of the free drugs. See Table 17 and FIGS. 14 and 15.

The release of high mobility group box-1 (HMGB-1) The cytotoxicity of NCP-3/DHA was evaluated against 15 protein after ICD was evaluated by ELISA. CT26 cells were seeded at  $1 \times 10^6$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. Oxaliplatin, DHA, chol-DHA, oxaliplatin+DHA, NCP-3, Zn/DHA, NCP-3/DHA, and NCP-3/OA-DHA were added to the cells, respectively, at equivalent oxaliplatin and/or DHA dose of 10 µM and 20 µM, respectively. Cells incubated with PBS served as control. Following incubation of 48 h, the super-

TABLE 17

|  | Oxaliplatin and DHA IC <sub>50</sub> values ( $\mu$ M) in CT26 and MC38 cells treated with various formulations. The numbers in parentheses refer to DHA concentrations. |             |                                  |              |              |   |  |
|--|--|-------------|----------------------------------|--------------|--------------|---|--|
| Oxaliplatin +<br>Oxaliplatin DHA DHA NCP-3 Zn/DHA NCP-3/DH |  |             |                                  |              |              |   |  |
| CT26   | $10.3 \pm 1.62$  | 6.25 ± 1.53 | $3.62 \pm 0.87$<br>(6.72 ± 1.74) | 12.98 ± 1.54 | 13.57 ± 2.15 | $10.44 \pm 1.85$<br>(20.88 $\pm 3.70$ ) |  |
| MC38   | 12.8 ± 1.32  | 9.68 ± 1.12 | $3.44 \pm 0.65$<br>(6.88 ± 1.30) | 15.77 ± 1.12 | 13.47 ± 1.58 | $8.99 \pm 0.42$<br>(17.98 $\pm 0.84$ )  |  |

Apoptosis

CT26 cells seeded in 24-well plates at  $1 \times 10^5$  cells/well were first incubated with NCP-3/DHA or appropriate controls for 24 h at an oxaliplatin concentration of 10  $\mu$ M. The  $_{40}$ floating and adherent cells were collected and stained with Alexa Fluor 488 AnnexinV/dead cell apoptosis kit (Invitrogen, USA) according to manufacturer's instructions. The apoptosis and necrosis were examined by a flow cytometry (LSRII Blue, BD, Franklin Lakes, N.J., United States of 45 America). Both oxaliplatin and DHA individually caused necrosis, but the combination therapy evoked a high level of apoptosis. See FIG. 16.

In Vitro Immunogenic Cell Death The immunogenic cell death induced by NCP-3/DHA was evaluated by flow 50 cytometry and ELISA. For flow cytometry analysis, CT26 cells were seeded at  $1 \times 10^6$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. Oxaliplatin, DHA, chol-DHA, oxaliplatin+DHA, NCP-3, Zn/DHA 55 and NCP-3/DHA were added to the cells, respectively, at equivalent oxaliplatin and/or DHA dose of 10 µM and 20 µM, respectively. Cells incubated with PBS served as control. Following incubation of 24 h, the cells were collected, incubated with AlexaFluor 488-CRT antibody for 2 h, and 60 stained with PI. The samples were analyzed by flow cytometer (LSRII Orange, BD, Franklin Lakes, N.J., United States of America) to identify cell surface CRT. The fluorescence intensity of stained cells was gated on PI-negative cells. Both oxaliplatin and DHA individually caused cell surface 65 CRT expression with slightly increased CRT exposure in cells treated with NCP-3/DHA compared to Zn/DHA.

natant was collected and analyzed by an ELISA kit (Chondrex, Inc., Redmond, Wash., United States of America) by microplate reader. Both oxaliplatin and DHA individually caused ICD leading to HMGB-1 release, with significantly release by cells treated with Zn/DHA. See FIG. 17.

Pharmacokinetics

A pK study of NCP-3/DHA was carried out on SD/CD rats. The oxaliplatin distribution was quantified by ICP-MS and the chol-DHA concentration in the blood was quantified by LC-MS after extraction by THF. Both oxaliplatin and chol-DHA concentrations in blood versus time were fitted by a one-compartment model. Blood circulation half-lives of oxaliplatin and chol-DHA were determined to be (11.8±0.6) and (13.3±1.2) h, respectively, and did not exhibit statistically significant difference. See FIGS. 18A and 18B. Onecompartment model fit of NCP-3/OA-DHA exhibited a blood circulation half-life of 18.1±3.1 h for oxaliplatin. Biodistribution

A preliminary biodistribution study of NCP-3/DHA was carried out on CT26 tumor bearing BALB/c mice. See FIG. 19. The oxaliplatin distribution was quantified by ICP-MS. NCP-3/DHA exhibited low uptake by the mononuclear phagocyte system (MPS) as evidenced by the low % ID/g (percent injected dose per gram tissue) in liver (<2.7±0.3%), spleen (<7.6±2.2%), and kidney (<3.0±1.0%). Toxicity

Toxicity studies of NCP-3/DHA by i.p. injection were performed on BALB/c and C57BL/6 mice. Single dose injections of NCP-3/DHA at 50 and 60 mg oxaliplatin/kg showed minimal weight loss in BALB/c and C57BL/6 mice, respectively. A once-weekly repeated dose of NCP-3/DHA

at 60 mg oxaliplatin/kg on C57BL/6 showed mice could tolerate at least 4 repeated doses without significant toxicity. NCP-3/OA-DHA intraperitoneally injected into C57BL/6 mice at an oxaliplatin dose of 24 mg/kg once every 3 days for a total of 8 doses also showed minimal body weight loss 5 and toxicity.

In Vivo Efficacy

Two colorectal mouse model of murine colorectal adenocarcinoma CT26 and MC38 were employed to assess the in vivo anticancer activity of NCP-3/DHA. 1×10<sup>6</sup> CT26 or 10 MC38 cells were injected into the right flank and treatment began on day 12, after all tumors had reached 80-100 mm<sup>3</sup> in size. CT26 or MC38 tumor bearing mice were treated by intraperitoneal injection of NCP-3/DHA and appropriate controls. NCP-3/DHA particles inhibited the growth of both 15 CT26 and MC38 tumor models. See FIGS. 20A and 20B. In combination with checkpoint blockade immunotherapy, NCP-3/DHA could effectively eradicate all mice with CT26 tumor models at an oxaliplatin dose of 8 mg/kg. Up to 60% of MC38 tumor-bearing mice treated with NCP-3/DHA at an 20 oxaliplatin dose of 16 mg/kg exhibited tumor eradication. NCP-3/DHA at an equivalent oxaliplatin dose of 8 mg/kg was also able to cause tumor growth inhibition on mice bearing LL/2 tumors.

Immune Assay by ELISPOT

At day 12 post first treatment, the spleens were harvested and ground into single-cell suspensions. The splenocytes were treated with ACK lysis buffer and then analyzed by Enzyme-Linked ImmunoSpot (ELISPOT, eBioscience, San Diego, Calif., United States of America). Chemotherapy and 30 immunotherapy alone increased tumor-specific T cells, but NCP-3/DHA and NCP-3/DHA+PD-L1 showed the greatest increase in tumor-specific immune response. See FIG. 21. Anticancer Activity Against Other Cancers

A triple negative breast cancer 4T1 and a non-small cell 35 lung cancer LL/2 tumor model were also used to evaluate the in vivo efficacy of NCP-3/DHA in combination with immunotherapy. See FIGS. 22A and 22B. 2×10<sup>6</sup> 4T1 cells or  $1.5 \times 10^6$  LL/2 cells were injected into the right flank and treatment began on day 10 or day 12, respectively, after all 40 tumors had reached 80-100 mm<sup>3</sup> in size. Mice were treated by intraperitoneal injection at equivalent oxaliplatin doses of 8 mg/kg. Repeated doses of NCP-3/DHA significantly inhibited tumor growth in both the 4T1 and LL/2 tumor models. NCP-3/OA-DHA at equivalent oxaliplatin and DHA doses 45 was also able to cause tumor growth inhibition on mice bearing LL/2 tumors.

#### Example 14

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Oxaliplatin Analogue and IDO Inhibitor NLG919

Chemical Synthesis of Cholesterol-Linked NLG919 (Chol- 55 NLG919)

First, the hydroxyl group in cholesterol was converted to acyl chloride by mixing cholesterol (1 eq.), triphosgene (0.35 eq.), and DMAP (4 eq.) in DCM for 20 min with stirring at r.t. The resultant Chol-COCl (1 eq.) was then 60 added dropwise into OH-S-S-OH (2 eq.) in DCM and the reaction mixture was stirred overnight to produce Chol-S—S—OH, which was purified by column chromatography with ethyl acetate/hexane (1:2, v/v). Then, the hydroxyl moiety in Chol-S—S—OH (1 eq.) was further converted to 65 acyl chloride by reacting with triphosgene (0.35 eq.) and DMAP (4 eq.) in DCM for 20 min to yield Chol-S-S-

COC1. The mixture was then added dropwise into NLG919 (1.7 eq.) in DCM to generate Chol-NLG919, which was subsequently subject to column chromatography with methanol/DCM (3:97, v/v) with yield of 62.2%. The identity of Chol-NLG919 was confirmed by <sup>1</sup>H-NMR, <sup>13</sup>C-NMR, and ESI-MS. The active NLG919 will be readily released following the cleavage of the disulfide bond inside cells by GSH and/or cysteine.

Characterization of NCP-2/Chol-NLG919

The bare NCP-2 comprised by oxaliplatin-bisphosphonates, Zn<sup>2+</sup> ions, and dioleoyl-sn-glycero-3-phosphate (DOPA), was prepared in a mixture of 0.3 M TritonX-100 and 1.5 M hexanol in cyclohexane with DOPA sticking out in the medium, which provides a lipid layer on the bare NCP and facilitates the incorporation of other phospholipids or lipid-containing drugs. The bare NCP-2 particles are then mixed with twice amount of EtOH and centrifuged at 12000 rpm for 30 min to remove the solvent. The particle pellet will be further washed once with 50% EtOH/cyclohexane and twice with 50% EtOH/THF to remove excess amounts of DOPA and re-dispersed in THF. Finally, the bare NCP-2 particles will be further filtered via 200 nm syringe filter prior to any use. NCP-2/Chol-NLG919 was formulated by adding a mixture of DOPC (0.5 mg), Chol (0.25 mg) and Chol-NLG919 (62 µg), DSPE-PEG<sub>2K</sub> (20 mol %) (0.9 mg) and bare NCP-2 particles (0.25 mg) to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t prior to use. In this formulation, Oxaliplatin/NLG919=2.18:1 (molar ratio); Drug loading: NLG919 (1.02%, 282.17 g/mol, 3.16% for Chol-NLG919); Oxaliplatin:(3.13%, 397.3 g/mol). Small sized particle (85.2 nm±0.8) with PDI of 0.152 and near neutral charge (~2.1 mV) were observed. Besides, the NCP-2/Chol-NLG919 particles have been stable for 14 days in both PBS and PBS-containing 10% FBS solutions with no noticeable size, PDI, and zeta potential changes. The enhanced formulation stability is likely to stem from the strong coordination bonding inside the core and the hydrophobic interactions between cholesterol and phospholipids.

#### Example 15

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Cisplatin, Camptothecin, Etoposide, and Paclitaxel

Synthesis of Oleic Acid-Conjugated Camptothecin (OA-50 CPT)

OA-CPT was synthesized as follows. First, oleic acid (OA, 1 eq.) reacted with OH-S-S-OH (2 eq.) in DCM for overnight to generate OA-S-S-OH, which was purified by ethyl acetate/hexane (2:3, v/v). In parallel, hydroxyl group in CPT was converted to acyl chloride by mixing CPT (1 eq.), triphosgene (0.35 eq.), and DMAP (4 eq.) in DCM for 20 min with stirring at r.t. The resultant CPT-COCI (1 eq.) was then added dropwise into OA-S-S-OH (2 eq.) in DCM and the reaction mixture was stirred overnight to form OA-CPT. The structure of OA-CPT is shown below in Scheme 10. OA-CPT was purified via column chromatography with methanol/DCM (3:97, v/v) with yield of 65%. The identity of OA-CPT was confirmed by <sup>1</sup>H-NMR, <sup>13</sup>C-NMR, and ESI-MS. The active CPT will be readily released following the cleavage of the disulfide bond inside cells by GSH and/or cysteine.

Scheme 10. Structure of OA-CPT.



Characterization of NCP-1/OA-CPT/Chol-PTX, NCP-1/ OA-CPT/Chol-ET, and NCP-1/OA-CPT/Chol-ET/Chol-PTX

The bare NCP-2 comprised by oxaliplatin-bisphosphonates, Zn<sup>2+</sup> ions, and dioleoyl-sn-glycero-3-phosphate (DOPA), was prepared in a mixture of 0.3 M TritonX-100 and 1.5 M hexanol in cyclohexane with DOPA sticking out in the medium, which provides a lipid layer on the bare NCP and facilitates the incorporation of other phospholipids or 25 lipid-containing drugs. The bare NCP-2 particles are then mixed with twice amount of EtOH and centrifuged at 12000 rpm for 30 min to remove the solvent. The particle pellet will be further washed once with 50% EtOH/cyclohexane and twice with 50% EtOH/THF to remove excess amounts of 30 DOPA and re-dispersed in THF. Finally, the bare NCP-2 particles will be further filtered via 200 nm syringe filter prior to any use. NCP-1/OA-CPT/Chol-PTX was formulated by adding a mixture of DOPC (0.5 mg), Chol (0.25 mg), OA-CPT (57.2 µg), and Chol-PTX (106.2 µg), DSPE- 35  $PEG_{2K}$  (20 mol %) (0.9 mg) and bare NCP-1 particles (0.25 mg) to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t. prior to use. The particle was found to be 71.2±0.6 nm in size with PDI at 0.113 and zeta potential at 0.15 mV 40in PBS. In this formulation, Cisplatin loading is at 3.38%; CPT loading is at 1.25%, and 2.86% for OA-CPT; ET loading is at 2.14% and 5.49% for Chol-ET; PTX loading is at 3.13%, and 5.31% for Chol-PTX; Spherical and nondispersed nanoparticles were confirmed in TEM. The NCP- 45 1/OA-CPT/Chol-PTX has been stable for 10 days in both PBS and PBS-containing 10% FBS solutions with no significant size, PDI, and zeta potential changes.

NCP-1/OA-CPT/Chol-ET was prepared by adding a mixture of DOPC (0.5 mg), Chol (0.25 mg), OA-CPT (57.2 µg), 50 and Chol-ET (109.8  $\mu$ g), DSPE-PEG<sub>2K</sub> (20 mol %) (0.9 mg) and bare NCP-1 particles (0.25 mg) to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t prior to use. In this formulation, Cisplatin loading is at 3.38%; CPT loading is at 55 results in a prodrug that remains as active as parent drug. 1.25%, and 2.86% for OA-CPT; ET loading is at 2.14% and 5.49% for Chol-ET. The particle was found to be 56.4±0.7 nm in size with PDI at 0.152 and zeta potential at 0.12 mV in PBS. Spherical and non-dispersed nanoparticles were confirmed in TEM. The NCP-1/OA-CPT/Chol-ET has been 60 stable for 10 days in both PBS and PBS-containing 10% FBS solutions with no significant size, PDI, and zeta potential changes.

NCP-1/OA-CPT/Chol-ET/Chol-PTX was formulated by adding a mixture of DOPC (0.5 mg), Chol (0.25 mg), OA-CPT (57.2 µg), Chol-ET (109.8 µg), and Chol-PTX (106.2 µg), DSPE-PEG<sub>2K</sub> (20 mol %) (0.9 mg) and bare

NCP-1 particles (0.25 mg) to 30% (v/v) EtOH/H<sub>2</sub>O with vigorous stirring. THF and EtOH were evaporated and the solution was allowed to cool to r.t prior to use. In this formulation, Cisplatin loading is at 3.38%; CPT loading is at 1.25%, and 2.86% for OA-CPT; ET loading is at 2.14% and 5.49% for Chol-ET; PTX loading is at 3.13%, and 5.31% for Chol-PTX. The particle was found to be 64.2±0.9 nm in size with PDI at 0.183 and zeta potential at 1.02 mV in PBS. Spherical and non-dispersed nanoparticles were confirmed in TEM. The NCP-1/OA-CPT/Cho1-ET/Cho1-PTX particles are stable for 7 days in both PBS and PBS-containing 10% FBS solutions with no significant size, PDI, and zeta potential changes. The strong coordination bonding inside the NCP core and the interactions between Chol and/or OA and phospholipids led to the formulations stability.

Cytotoxicity of NCP-1/OA-CPT/Chol-ET/Chol-PTX in A549 NSCLC Cells

A549 cells were seeded into 96-well plate at 2000 cells/ well for 24 h. Afterwards, cells were treated by cisplatin, ET and Chol-ET, PTX and Chol-PTX, CPT and OA-CPT, NCP-1, NCP-1/OA-CPT/Chol-PTX, NCP-1/OA-CPT/ Chol-ET, and NCP-1/OA-CPT/Chol-ET/Chol-PTX at various concentrations and incubated for another 72 h. The cell viability was then determined via MTS assay by microplate reader. As shown in FIGS. 23A and 23B, Chol-ET, Chol-PTX, and OA-CPT exhibited similar cytotoxicity in A549 cells as free ET, PTX and CPT, respectively, which suggested that active ET, PTX, and CPT can be readily liberated from lipid-linked prodrugs. Combination of cisplatin, OA-CPT, and Chol-ET led to a significant synergistic effect as evidenced by CI50=0.73. Likewise, potent synergy was obtained in NCP-1/OA-CPT/Chol-ET/Chol-PTX with CI50=0.41. However, highest synergistic effect was realized by combing cisplatin, OA-CPT, Chol-ET, and Chol-PTX into single nanoparticle formulation, in which CI50=0.28 was achieved. These data clearly imply that a prodrug strategy involving conjugating anticancer drugs with a lipid (Chol or OA) using a linker including a disulfide bond

#### Example 16

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Chemotherapy and Photodynamic Therapy to Elicit Potent Anti-Tumor Immunity

Approximately 150,000 patients are diagnosed with col-65 orectal cancer in the United States every year, with one third dying from metastatic disease. The five year survival rate for localized colorectal cancer is ~89% while this number drops to only ~12% for cancers that have metastasized to the liver, lungs, or peritoneum. Effective therapy for advanced colorectal cancer requires treatment of both primary tumors and metastatic tumors that can go undetected in the early stage. Numerous studies have shown that the stimulation of the 5 host immune system can lead to the generation of anti-tumor immune response, which is capable of controlling metastatic tumor growth. Considering the highly metastatic characteristics of colorectal cancer and poor 5-year survival rate of patients with advanced colorectal cancer, there is a clear 10 clinical interest in the development of an effective therapeutic modality for colorectal cancer that both control primary tumor growth and stimulate anti-tumor immunity for controlling the metastatic disease and subsequent tumor growth.

Nanoscale coordination polymers (NCPs) can comprise 15 hybrid nanomaterials constructed from metal-connecting points and organic bridging ligands via self-assembly. NCPs possess several advantages over existing nanocarriers in biomedical applications such as the high tunability of composition and structure, versatility of combining multiple 20 therapeutic agents or modalities in one nanoplatform, and intrinsic biodegradability due to the labile metal-organic ligand bonds. Recently, NCP-based core-shell nanoparticles carrying high payloads of cisplatin and the photosensitizer pyrolipid, NCP@pyrolipid were described for combined 25 chemotherapy and photodynamic therapy (PDT). NCP@pyrolipid showed superior potency and efficacy in tumor regression in the cisplatin-resistant human head and neck cancer SQ20B xenograft mouse model. Photodynamic therapy (PDT) is an FDA-approved anticancer modality that 30 has been shown to enhance anti-tumor immunity. Kroemer and coworkers have demonstrated the immunogenic cell death induced by oxaliplatin in murine colorectal cancer models. Recent studies also suggested that immune response elicited against the residual cancer cells can contribute to the 35 complete eradication of micrometastases and cancer stem cells. Thus, along with the effective apoptosis/necrosis caused by both PDT and oxaliplatin, the combination therapy of oxaliplatin and PDT could be particularly efficient by simultaneously killing the tumor cells and stimulating an 40 immune response against tumor cells. This can cause tumor inhibition/regression not only in the primary tumor site but also in the distant metastatic tumors.

NCP-based core-shell nanoparticles carrying oxaliplatin and photosensitizer pyrolipid (NCP-2@pyrolipid) are 45 shown herein to provide enhanced anti-tumor immunity for achieving superior anticancer efficacy in colorectal cancers and can be used in the treatment of metastatic colorectal cancer. Inheriting all the merits of NCP-based nanoparticles including high drug loading, prolonged systemic circulation 50 via intravenous injection, and ideal biocompatibility, NCP-2@pyrolipid combines two therapeutic modalities, oxaliplatin and PDT, which not only kill the cancer cells but also elicit strong immunogenic response for the control and eradication of metastatic tumor nodules. Effective anticancer 55 therapy with NCP-2@pyrolipid is demonstrated against two colorectal cancer models including syngeneic CT26 murine colorectal cancer model and HT29 human colorectal cancer xenografts. Efficient anti-tumor immunity evoked by NCP-2@pyrolipid such as early calreticulin (CRT) exposure on 60 the cell surface, successful antitumor vaccination, and abscopal effect, is also demonstrated. Preparation and Characterization

 $\overline{\text{NCP-2}}$  particles carrying an oxaliplatin analogue prodrug were prepared as previously reported. Briefly, a mixture of 65 Zn(NO<sub>3</sub>)<sub>2</sub> and an oxaliplatin prodrug, Pt(dach)Cl<sub>2</sub>(OH)<sub>2</sub> (dach=R, R-diaminocyclohexane), with DOPA in the Triton

X-100/1-hexanol/cyclohexane/water reverse microemulsion was vigorously stirred at room temperature for 30 min to afford spherical DOPA-coated NCP-2 particles of  $55.33\pm0.18$  nm in Z-average by DLS.

NCP-2 has an oxaliplatin analogue loading of 27.6 wt % as determined by ICP-MS. NCP-2@pyrolipid nanoparticles were prepared by coating NCP-2 core with an asymmetric lipid bilayer containing pyrolipid and PEG. A tetrahydrofuran (THF) solution (80 µL) of pyrolipid, cholesterol, 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC), 1,2distearoyl-sn-glycero-3-phosphoethanolamine-N-[methoxy (polyethylene glycol)-2000] (DSPE-PEG2k) (DSPC/cholesterol/pyrolipid/DSPE-PEG2k=2:1:0.8:1 in molar ratios), and DOPA-capped NCP-2 were added to 500  $\mu L$  of 30% (v/v) ethanol/water and kept stirring at 1700 rpm at 60° C. for 1 min. The THF and ethanol in the nanoparticle suspension was completely evaporated before subsequent use in in vitro and in vivo experiments. NCP-2@pyrolipid is a coreshell nanostructure with DOPA-capped coordination polymer NCP-2 carrying an oxaliplatin analogue as a solid core and a self-assembled and asymmetric lipid bilayer as a shell. The NCP-2 cores were constructed from the coordination between Zn<sup>2+</sup> and phosphate groups of the oxaliplatin analogue prodrug, which were further capped with a monolayer of DOPA via Zn-phosphate interactions between NCPs and DOPA molecules and hydrophobic-hydrophobic interactions among DOPA molecules. The lipid shell contains pyrolipid as photosensitizer for PDT and 20 mol % of PEG-coating to minimize mononuclear phagocyte system (MPS) uptake and prolong blood circulation after systemic injection. This core-shell structured NCP-2@pyrolipid can take advantages of efficient cancer cell killing induced by chemotherapy and PDT as well as the anti-tumor immunity evoked by oxaliplatin analogue and PDT to enable the effective treatment of both primary and metastatic colorectal cancers.

TEM images of NCP-2@pyrolipid demonstrated the formation of uniform and spherical nanoparticles. DLS measurements gave a Z-average diameter, number-average diameter, PDI, and zeta potential of 83.00±0.98 nm, 51.19±0.11 nm, 0.143±0.011, and -3.67±0.85 mV, respectively, of NCP-2@pyrolipid dispersed in PBS. The small sizes and near neutral surface charge of NCP-2@pyrolipid suggests their potential in in vivo applications.

When dispersed in THF, the lipid bilayer of NCP-2@pyrolipid dissolved and pyrolipid shows a broad Soret band around 400 nm and a distinct Q-band at 669 nm.

Porphysome was prepared by following the procedure reported by Zheng and coworkers. As reported previously, pyrolipid can incorporate into the highly oriented and asymmetric lipid bilayer with a high loading. When the lipid bilayer is intact, the pyrolipid excited states are highly quenched, and therefore no energy transfer to triplet oxygen was observed as evidenced by the low amount of  ${}^{1}O_{2}$  generated determined by the singlet oxygen sensor green (SOSG) reagent. After addition of Triton X-100 to NCP-2@pyrolipid and porphysome to disrupt the lipid bilayer, pyrolipid regained its fluorescence and efficiently generated similar amount of  ${}^{1}O_{2}$  by SOSG.

Cellular Uptake

The time-dependent cellular uptake of NCP-2@pyrolipid was evaluated in CT26 cells with an incubation time ranging from 1 h to 24 h. Free oxaliplatin, porphysome, and the original NCP-2 carrying a cisplatin prodrug and coated with 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC), cholesterol, and DSPE-PEG2k served as comparisons. The Pt and pyrolipid concentrations in the cells after incubating with NCP particles, oxaliplatin, or porphysome were determined by ICP-MS and fluorimetry, respectively. As depicted in FIGS. 24A and 24B, the cellular uptake of NCP-2@pyrolipid in terms of both oxaliplatin analogue and pyrolipid was rapid and mostly completed within 1 h, as evidenced by the stable uptake amounts of both oxaliplatin analogue and 5 pyrolipid over time up to 24 h. Except for free oxaliplatin of which the cellular uptake amount dropped significantly with time, cellular uptake of oxaliplatin analogue and pyrolipid remain stable throughout the 24-h experiment, suggesting, without wishing to be bound by any one theory, that the 10 internalization of NCP-2@pyrolipid can lead to the reduced efflux of oxaliplatin analogue by changing the dynamics, porosity, and permeability of the cell membrane. In Vitro PDT Cytotoxicity

The cytotoxicity of NCP-2@pyrolipid was evaluated 15 against two colorectal cancer cells including murine colorectal adenocarcinoma CT26 and human colorectal adenocarcinoma HT29 cells. By combining chemotherapy of oxaliplatin and PDT modalities into one single nanoparticle, NCP-2@pyrolipid induces apoptosis/necrosis as well as 20 elicit immunogenic cell death upon LED light irradiation. As shown in Table 18, oxaliplatin  $IC_{50}$  of free oxaliplatin, NCP-2, and NCP-2@pyrolipid in dark showed no significant difference in both cell lines, suggesting, without wishing to be bound by theory, pyrolipid does not cause cyto- 25 toxicity without light activation. However, upon irradiation at 54 J/cm<sup>2</sup> light irradiation (670 nm), the oxaliplatin  $IC_{50}$  of NCP-2@pyrolipid was decreased by ~4-fold and ~5-fold in CT26 and HT29 cells, respectively. The pyrolipid  $IC_{50}$ values also significantly dropped for NCP-2@pyrolipid with 30 irradiation accordingly. No toxicity was observed for porphysome under light and dark in both cell lines within the tested pyrolipid concentration range.

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hyde, incubated with AlexaFluor 488-CRT antibody for 2 h, stained with DAPI, and observed under CLSM using 405 nm and 488 nm lasers for visualizing nuclei and CRT expression on the cell membrane, respectively. For flow cytometry analysis, CT26 cells were seeded at  $1 \times 10^6$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. Oxaliplatin, NCP-2, NCP-2@pyrolipid, and porphysome were added to the cells, respectively, at an equivalent oxaliplatin dose of 5  $\mu$ M and pyrolipid dose of 1.6  $\mu$ M. Cells incubated with PBS served as control. After 24-h incubation, the cells were irradiated with LED light (650 nm) at 100 mW/cm<sup>2</sup> for 15 min (equal to 90 J/cm<sup>2</sup>). Following further incubation of 4 h, the cells were collected, incubated with AlexaFluor 488-CRT antibody for 2 h, and stained with PI. The samples were analyzed by flow cytometer (LSRII Orange, BD, Franklin Lakes, N.J., United States of America) to identify cell surface CRT. The fluorescence intensity of stained cells was gated on PI-negative cells. CT26 murine colorectal adenocarcinoma cells were treated with phosphate buffered saline (PBS, free oxaliplatin, a NCP comprising zinc (Zn) and an oxaliplatin analogue (NCP-2, porphysome, or NCP-2 comprising pyrolipid in a lipid coating layer (NCP-2@pyrolipid with or without light irradiation. Calriticulin (CRT) exposure on the cell surface was determined by flow cytometry analysis. Cells treated with PBS and porphysome without irradiation show no surface CRT expression while significant amounts of CRT were detected on the surface of cells treated with oxaliplatin, NCP-2, and NCP-2@pyrolipid with or without irradiation and porphysome with irradiation. This result suggests that both oxaliplatin and PDT can effectively evoke ICD.

TABLE 18

| Oxa<br>varic | diplatin and pyr<br>us formulations | olipid IC <sub>50</sub> values (μΝ<br>. The numbers in pare   | A) in CT26 and<br>enthesis refer to | l HT29 cells ti<br>pyrolipid cor | reated with acentrations. |
|--------------|-------------------------------------|---|-------------------------------------|----------------------------------|---------------------------|
|              | irradiation"                        | NCP-2@pyrolipid   | NCP-2                               | oxaliplatin                      | Porphysome <sup>b</sup>   |
| CT26         | Yes                                 | $1.00 \pm 0.30$<br>(0.22 $\pm 0.09$ )                         | $5.07 \pm 1.02$                     | 4.97 ± 0.49                      | >2.21                     |
|              | No                                  | $(0.22 \pm 0.60)$<br>$(3.97 \pm 0.60)$<br>$(0.88 \pm 0.21)^c$ | 4.74 ± 0.67                         | $5.05 \pm 0.95$                  | N/A                       |
| HT29         | Yes                                 | $0.32 \pm 0.15$<br>(0.09 $\pm 0.04$ )                         | $1.96 \pm 0.47$                     | $1.87 \pm 0.31$                  | >2.83                     |
|              | No                                  | $1.27 \pm 0.44$<br>$(0.36 \pm 0.12)^c$                        | 1.42 ± 0.49                         | 1.44 ± 0.32                      | N/A                       |

<sup>a</sup>Cells were irradiated with LED light (670 nm) at 60 mW/cm<sup>2</sup> for 15 min (equals to 54 J/cm<sup>2</sup>).

<sup>b</sup>Porphysome containing no cisplatin served as controls. The amount of pyrolipid in the porphysome was the same as NCP-2@pyrolipid under the studied concentrations. <sup>c</sup>The dark cytotoxicity comes entirely from the action of oxaliplatin in these formulations.

#### In Vitro PDT Evoked Immunogenic Cell Death

Calreticulin (CRT) is a distinct biomarker exposed on the surface of cells undergoing immunogenic cell death (ICD). The immunogenic cell death induced by NCP-2@pyrolipid 55 was evaluated by immunofluorescence and flow cytometry. For immunofluorescence analysis, CT26 cells were seeded at  $5 \times 10^5$  cells per well in 6-well plates and further cultured for 24 h. The culture media were replaced by 2 mL of fresh culture media containing 10% FBS. Oxaliplatin, NCP-2, 60 NCP-2@pyrolipid, and porphysome were added to the cells, respectively, at an equivalent Pt dose of 5 µM and pyrolipid dose of 1.6 µM. Cells incubated with PBS served as control. After 24-h incubation, the cells were irradiated with LED light (670 nm) at 100 mW/cm<sup>2</sup> for 15 min (equal to 90 65 J/cm<sup>2</sup>). Following further incubation of 4 h, the cells were washed with PBS three times, fixed with 4% paraformalde-

Pharmacokinetics and Biodistribution

A pharmacokinetic (pK) and biodistribution study of NCP-2@pyrolipid was carried out on CT26 tumor bearing BALB/c mice. The Pt distribution was quantified by ICP-MS and the pyrolipid concentration in the blood was guantified by UV-vis spectroscopy after extraction by methanol as we previously reported. See FIGS. 25A and 25B. Both Pt and pyrolipid concentrations in blood versus time were fitted by a one-compartment model. Blood circulation half-lives of Pt and pyrolipid were determined to be (11.8±1.9) and (8.4±2.6) h, respectively, and did not exhibit statistically significant difference. Besides the prolonged blood circulation, NCP-2@pyrolipid exhibited low uptake by the MPS as evidenced by the low % ID/g (percent injected dose per gram tissue) in liver (<7.1±2.5%), spleen (<10.4±4.3%), and kidney (<9.1±2.5%).

In Vivo PDT Efficacy and Immunogenic Response

Two colorectal adenocarcinoma mouse models including BALB/c mice bearing murine colorectal cancer CT26 and nude mice with subcutaneous xenografts of human colorectal cancer HT29 were employed to assess the in vivo 5 anticancer activity of NCP-2@pyrolipid. All doses were based on free oxaliplatin or pyrolipid equivalents. CT26 or HT29 tumor bearing mice were treated by intravenous injection of (1) PBS, (2) NCP-2 at an oxaliplatin analogue dose of 2 mg/kg, (3) and (4) NCP-2@pyrolipid at an 10 oxaliplatin dose of 2 mg/kg every four days for a total of two treatments for CT26 model and four treatments for HT29 model. Twenty four hours post injection, mice in group (1)-(3) were anesthetized with 2% (v/v) isoflurane and tumors were irradiated with a 670 nm LED at an irradiance 15 of 100 mW/cm<sup>2</sup> for 30 min. As shown in FIGS. 26 and 27, NCP-2@pyrolipid combined with light irradiation led to efficient tumor inhibition on both CT26 and HT29 subcutaneous tumor mouse models.

For evaluating the immunogenic response in mice bearing 20 CT26 tumors receiving NCP-2@pyrolipid and PDT treatment, blood was collected on Day 7, 8, 9, and 10, and the serum TNF- $\alpha$ , IFN- $\gamma$ , and IL-6 production was determined by ELISA (R&D Systems, Minneapolis, Minn., United States of America) to evaluate the immunogenic response 25 evoked by the treatment. The significantly elevated TNF- $\alpha$ , IFN- $\gamma$ , and IL-6 levels in the serum of mice treated with NCP-2@pyrolipid and PDT confirmed the robust immunogenic response evoked by the treatment. See FIGS. 28A-**28**C. Without wishing to be bound by any one theory, the 30 slightly increased pro-inflammatory cytokine production levels in mice treated with NCP-2 (+) and NCP-2@pyrolipid (-) could be due to the immunogenic response induced by oxaliplatin analogue. 35

Antitumor Vaccination

Inspired by the robust immunogenic response evoked by NCP-2@pyrolipid and PDT in vivo, the antitumor vaccination capability of NCP-2@pyrolipid was further evaluated. A total of 5×10<sup>5</sup> CT26 cells, treated with PBS or NCP-2@pyrolipid and light irradiation, were inoculated subcuta- 40 neously to the right flank region of 6-week-old male BALB/c mice. One week after, these mice were re-challenged by injecting  $1 \times 10^5$  CT26 cells on the contralateral flank. The animals were checked daily for tumor development using calipers and body weight evolution. The blood 45 was collected one day after the first tumor injection, and the serum TNF- $\alpha$ , IFN- $\gamma$ , and IL-6 production was determined by ELISA (R&D Systems, Minneapolis, Minn., United States of America) to evaluate the immunogenic response. All mice were sacrificed when the right tumor size of PBS 50 group exceeded 2 cm<sup>3</sup>. As shown in FIGS. 29A-29C, NCP-2@pyrolipid and PDT achieved 100% success in antitumor vaccination against the re-challenge of healthy tumor cells.

### Abscopal Effect of NCP-2@Pyrolipid

The abscopal effect of NCP-2@pyrolipid upon light irradiation was evaluated against subcutaneous CT26 tumor bearing BALB/c mice. Tumor bearing mice were established by subcutaneous inoculation of CT26 cell suspension (2×10<sup>6</sup> cells per mouse) into the right flank region and CT26 cell 60 suspension ( $4 \times 10^5$  cells per mouse) into the left flank region of the same mouse. Four groups were included for comparison: (1) PBS+irradiation (2) NCP-2@pyrolipid+irradiation (3) NCP-1@pyrolipid (NCP carrying cisplatin and pyrolipid)+irradiation (4) NCP-2@pyrolipid dark control. When 65 the right tumors reached ~100 mm<sup>3</sup>, NCPs were intratumorally injected at an oxaliplatin analogue dose of 2 mg/kg.

Twelve hour post-injection, mice were anesthetized with 2% (v/v) isoflurane and tumors were irradiated with LED light at 100 mW/cm<sup>2</sup> for 30 min (180 J/cm<sup>2</sup>). The NCPs were injected every three day for a total two injections. LED light irradiation was performed daily on six consecutive days. To evaluate the therapeutic efficacy, tumor growth was monitored. The tumor size was measured with a digital caliper every day. Tumor volumes were calculated as follows:  $(width^2 \times length)/2.$ 

Local injection of NCP-2@pyrolipid plus LED light irradiation not only led to tumor regression/eradication of the treated right tumors, but also inhibited the growth of the distant left tumors, suggesting, without wishing to be bound by any one theory, that the combination therapy successfully evokes immunoresponse in immunocompotent mouse models of colorectal cancer. The treatment of NCP-1@pyrolipid successfully suppressed/eradicated the right tumors, however showed limited inhibition on the growth of the left tumors. See FIGS. 30A and 30B. This result indicates that the combined immunogenic response evoked by oxaliplatin analogue and PDT elicits an abscopal effect.

#### Example 17

#### Nanoscale Coordination Polymer Core-Shell Nanoparticles Combine Chemotherapy, Photodynamic Therapy, and PD-L1 Antibody for Anti-Tumor Immunity

In order to further enhance the immunotherapeutic effects of NCP-2/pyrolipid in the presence of light activation, we add an immune checkpoint inhibitor in the treatment regimen. The abscopal effect of NCP-2@pyrolipid plus light irradiation in combination with checkpoint blockade PD-L1 antibody was evaluated against subcutaneous MC38 tumor bearing mice. C57BL/6 mice were injected s.c. with  $5 \times 10^5$ MC38 cells into the right flank (primary tumor) and  $1 \times 10^5$ MC38 cells into the left flank (secondary tumor). When the primary tumors reached ~100 mm<sup>3</sup>, mice were randomly divided into five groups (n=6): PBS with irradiation as NCP@pyrolipid control; without irradiation: NCP@pyrolipid with irradiation; NCP@pyrolipid without irradiation plus anti-PD-L1; and NCP@pyrolipid with irradiation plus anti-PD-L1. NCP@pyrolipid was i.p. injected into animals at an oxaliplatin analogue dose of 2 mg/kg every three days for a total of three injections. Twenty-four hours after injection, mice were anesthetized with 2% (v/v) isoflurane, and primary tumors were irradiated with a 670 nm LED at a light dose of 180 J/cm<sup>2</sup> given at 100 mW/cm<sup>2</sup>. After irradiation, mice were immediately i.p. injected with PD-L1 antibody at a dose of 50 µg/mouse. Primary and secondary tumor sizes were measured with a digital caliper and calculated as follows:  $(width^2 \times length)/2$ .

Systemically injected NCP-2@pyrolipid plus LED light 55 irradiation in combination with PD-L1 antibody not only led to tumor regression/eradication of the treated right tumors but also suppressed/eradicated the growth of the distant left tumors (see FIGS. 31A and 31B), suggesting, without wishing to be bound by any one theory, the combination therapy evoked robust immunoresponse for the effective treatment of both primary and distant/metastatic colorectal cancers. The incorporation of checkpoint blockade PD-L1 antibody into the treatment significantly enhanced the abscopal effect and anticancer efficacy of NCP-2@pyrolipid PDT.

The abscopal effect provided by the combination of NCP@pyrolipid with PDT and anti-PD-L1 on another bilateral syngeneic mouse model of colorectal cancer CT26 was

also studied. When the primary tumors reached ~100 mm<sup>3</sup>, mice received i.p. injections of NCP@pyrolipid at an oxaliplatin dose of 2 mg/kg every other day for a total of two injections. Twenty-four hours after injection, the primary tumors were irradiated at a light dose of 180 J/cm2 (670 nm, 5 100 mW/cm<sup>2</sup>). After irradiation, mice were immediately i.p. injected with anti-PD-L1 at a dose of 75 µg/mouse. The combination therapy again led to the effective tumor regression of not only the primary tumors but also the distant tumors after two treatments. See FIGS. 32A and 32B.

#### Example 18

#### Zn-Pyrophosphate Core-Shell Nanoparticles Combine Photodynamic Therapy and PD-L1 Antibody for Anti-Tumor Immunity

Preparation of Pyrolipid-Loaded Zn-Pyrophosphate Particles (Zn@Pyrolipid)

Zn@pyrolipid was obtained by adding a 80 µL THF 20 solution of DSPC, cholesterol, pyrolipid (molar ratio 1:1: 0.5), DSPE-PEG2k (20 mol %) and Zn-pyrophosphate bare particles to 500 µL 30% (v/v) EtOH/H2O at 60° C. THF and EtOH were evaporated and the solution was allowed to cool to room temperature before use. The particle size and distribution were determined by DLS. See Table 19.

TABLE 19

| Characterization of Zn@pyrolipid particles. |              |                  |              |              |              |  |
|---|--------------|------------------|--------------|--------------|--------------|--|
|   | Z-Ave        | PDI              | Intensity    | Volume       | Number       |  |
| Zn-<br>pyrophosphate                        | 49.47 ± 0.12 | $0.126 \pm 0.01$ | 63.04 ± 0.48 | 44.98 ± 0.36 | 35.14 ± 0.73 |  |
| Zn@pyrolipid <sup>\$</sup>                  | 98.67 ± 0.42 | $0.125 \pm 0.01$ | 115.3 ± 1.23 | 89.36 ± 1.28 | 67.36 ± 2.78 |  |

Measured in THE

<sup>\$</sup>Measured in water. Data are expressed as mean ± S.D

#### Pyrolipid Loading Amount

The pyrolipid loading amount was quantified with a UV-Vis spectrophotomer (UV-2401PC, Shimadzu, Japan). After lipid coating, Zn@pyrolipid was centrifuged at 13000 rpm for 30 min. The precipitate of Zn@pyrolipid was redispersed in tetrahydrofuran (THF), and the pyrolipid 45 amount in the nanoparticle suspension was determined by UV-Vis absorption at 669 nm. The pyrolipid loading was determined to be 10.6±0.8 wt. %.

#### Fluorescence Quenching of Zn@Pyrolipid

The fluorescence of Zn@pyrolipid with intact or dis- 50 rupted lipid layer was measured to calculate the fluorescence quenching efficiency. Zn@pyrolipid was diluted in PBS as intact samples or PBS containing 0.5% Triton X-100 to disrupt the lipid layer. The samples were subjected to spectrofluorophotometer (RF-5301 PC, Shimadzu, Kyoto, 55 Japan) for fluorescence measurement (excitation: 427 nm, emission: 600-750 nm). The fluorescence intensity at 675 nm for Zn@pyrolipid with intact lipid layer was normalized to Zn@pyrolipid with disrupted lipid layer to calculate the quenching efficiency. The fluorescence intensity of intact 60 Zn@pyrolipid was 2.7% of that of Zn@pyrolipid with disrupted lipid layer.

#### Singlet Oxygen Generation

The singlet oxygen sensor green (SOSG) reagent (Life Technologies, USA) was employed for the detection of 65 singlet oxygen generated by Zn@pyrolipid. After lipid coating, Zn@pyrolipid was centrifuged at 13000 rpm for 30 min.

The supernatant was discarded and the pellet was re-suspended with PBS. Five microliter of freshly prepared SOSG solution in methanol (5 mM) was mixed with 2 mL of Zn@pyrolipid intact in PBS or disrupted with 0.5% Triton X-100. Free pyrolipid at the same pyrolipid concentration as Zn@pyrolipid served as a control. Samples were treated with LED with a wavelength of 660 nm and energy irradiance of 60 mW/cm<sup>2</sup> for 10 s, 20 s, 30 s, 40 s, 50 s, 75 s, 100 s, and 250 s, and SOSG fluorescence was measured by exciting at 504 nm and emission at 525 nm. There was no pyrolipid fluorescence contribution within this emission window. When the lipid bilayer is intact, Zn@pyrolipid generated very little singlet oxygen, possibly due to the pyrolipid excited states being highly quenched, and not transferring energy to triplet oxygen. After addition of Triton X-100 to disrupt the lipid bilayer, the  ${}^{1}O_{2}$  generation efficiency of Zn@pyrolipid was similar to that of free pyrolipid at the same concentration.

Cellular Uptake and Efflux of Zn@Pyrolipid

4T1 triple negative breast cancer cells and B16F10 murine melanoma cells were seeded on 24-well plates at a density of  $1 \times 10^5$  cells/well and incubated for 24 h. Zn@pyrolipid and free pyrolipid were added to the cells at a pyrolipid dose of 2 µM, respectively. After incubating for 1, 2, 4, and 24 h, cells were collected, washed with PBS

three times, and counted with a hemocytometer. The cells were centrifuged at 3,000 rpm for 5 min and the cell pellet was lysed with 0.5% (w/v) SDS (pH 8.0). The fluorescence intensity of pyrolipid was determined by fluorimetry ( $\lambda_{ex}$ =427 nm,  $\lambda_{em}$ =675 nm). Results were expressed as the amount of pyrolipid (pmol) per 10<sup>5</sup> cells. The cellular uptake of Zn@pyrolipid in both cell lines was rapid and mostly completed within 1 h, as indicated by the stable uptake amounts of pyrolipid over time up to 24 h.

The efflux of pyrolipid was quantified as follows. After incubation with Zn@pyrolipid and free pyrolipid for 4 h, the culture medium was discarded and the cells were washed with PBS three times. Five microliters of fresh culture medium were added to each well and the cells were further incubated for 1, 2, 4, and 24 h. The pyrolipid amount in the culture medium was quantified by fluorimetry after adding 0.5% Triton X-100 ( $\lambda_{ex}$ =427 nm,  $\lambda_{em}$ =675 nm). Results were expressed as the percent of the amount of pyrolipid being effluxed compared to the 4 h cellular uptake amount. Zn@pyrolipid showed negligible efflux (<2%) during 24 h incubation in both cell lines. Efflux of free pyrolipid increased a little bit over time, but was still lower than 3%. Cytotoxicity

4T1 and B16F10 cells seeded on 96-well plates at a density of 2500 cells/well were treated with Zn@pyrolipid and free pyrolipid at various pyrolipid concentrations. After 24 h incubation, the cells were irradiated with LED light (660 nm) at  $60 \text{ mW/cm}^2$  for 15 min (equal to 54 J/cm<sup>2</sup>). The

cells without irradiation treatment served as controls. After incubation for additional 48 h, the cell viability was detected by (3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenvl)-2-(4-sulfophenvl)-2H-tetrazolium) (MTS) assav (Promega, Madison, Wis., United States of America) and the  $IC_{50}$  values were calculated accordingly. Zn particles with or without irradiation did not present any toxicity on both cell lines, suggesting that Zn particles can serve as a safe and reliable nanocarrier for drug delivery. Zn@pyrolipid and 10free pyrolipid without irradiation also induced no cytotoxicity on both cells, indicating that Zn@pyrolipid is safe, and the particle can be injected without worries regarding toxicity. After irradiation, Zn@pyrolipid and free pyrolipid exhibited very high cytotoxicity, as shown by significantly 15 decrease in the  $IC_{50}$  values. See Table 20.

TABLE 20

| IC <sub>50</sub> values of Zn@pyrolipid and free pyrolipid under light and dark in 4T1 and B16F10 cells after 72 h incubation. |                                    |          |                                    |          |  |  |  |
|--|------------------------------------|----------|------------------------------------|----------|--|--|--|
| 4T1 cells B16F10 cells   |                                    |          |                                    |          |  |  |  |
|  | 60 mW/cm <sup>2</sup> 15 min       | Dark     | 60 mW/cm <sup>2</sup> 15 min       | Dark     |  |  |  |
| Pyrolipid<br>Zn@pyrolipid  | $0.22 \pm 0.01$<br>$0.42 \pm 0.02$ | >5<br>>5 | $0.32 \pm 0.01$<br>$0.41 \pm 0.01$ | >5<br>>5 |  |  |  |

Apoptosis

4T1 and B16F10 cells seeded in 24-well plates at 1×10<sup>5</sup> cells/well were first incubated with Zn@pyrolipid and free pyrolipid for 24 h at a pyrolipid concentration of 0.2 µM, and cells were then irradiated with LED light (660 nm) at 60 mW/cm<sup>2</sup> for 15 min (equal to 54 J/cm<sup>2</sup>). Following further <sup>35</sup> incubation of 48 h, the floating and adherent cells were collected and stained with Alexa Fluor 488 AnnexinV/dead cell apoptosis kit (Invitrogen, Carlsbad, Calif., United States of America) according to manufacturer's instructions. The apoptosis and necrosis were examined by flow cytometry (LSRII Blue, BD, Franklin Lakes, N.J., United States of America). Zn@pyrolipid and free pyrolipid did not induce any apoptosis without irradiation, compared to blank control, but evoked high level of apoptosis under irradiation. 45 Zn@pyrolipid and free pyrolipid after irradiation induced 71.61% and 90.18% apoptosis on 4T1 cells, and 63.72% and 85.79% apoptosis on B16F10 cells, respectively. Pharmacokinetics and Biodistribution

The 4T1 tumor model was generated by an orthotopic injection of  $5 \times 10^4$  cells into the mammary fat pad of the Balb/c female mice and tumors were allowed to grow until 100 mm<sup>3</sup> before they received intravenous administration of Zn@pyrolipid at a pyrolipid dose of 6 mg/kg. Mice were 55 sacrificed (n=3) at 5 min, 3, and 24 h after administration, and the blood, heart, liver, spleen, lung, kidney, bladder and tumor were harvested. The blood was immediately centrifuged at 5000 rpm for 10 min to harvest plasma samples. Pyrolipid were extracted from plasma by deproteinization using methanol, followed by centrifugation at 13 000 rpm for 10 min. Organs and tumor were homogenized in 1 mL methanol, followed by centrifugation at 13 000 rpm for 10 min. The content of pyrolipid in supernatants was then 65 measured by fluorescence microplate reader ( $\lambda_{ex}$ =427 nm,  $\lambda_{em}$ =675 nm). To verify the viability of this method, the

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blood, organs and tumor excised from blank mice were processed using the same extraction method as described above. The emission spectra (600-750 nm) of blank blood, organs and tumor at the same excitation wavelength were recorded on spectrofluorophotometer (RF-5301 PC, Shimadzu, Kyoto, Japan).

Pyrolipid concentrations in blood versus time were fitted best by a one-compartment model with nonlinear elimination. Blood circulation half-life of pyrolipid was determined to be 14.8±1.9 h. In addition to the prolonged blood circulation time, Zn@pyrolipid showed low distribution in liver, spleen, and kidney, suggesting Zn@pyrolipid can avoid MPS uptake. The slow blood clearance and low MPS uptake led to the high tumor accumulation, with a highest tumor uptake of 15.6%±2.5 ID/g at 24 h post i.v. administration. In Vivo Efficacy on 4T1 Orthotopic Model

Mice bearing 4T1 tumor were randomly divided into four groups (n=6): PBS with irradiation as control; Zn@pyrolipid without irradiation plus anti-PD-L1; Zn@pyrolipid with irradiation; Zn@pyrolipid with irradiation plus anti-PD-L1. Zn@pyrolipid was intravenously (i.v.) injected to animals at <sup>25</sup> a pyrolipid dose of 6 mg/kg every two days for a total three injections. Twenty four hours after injection, mice were anesthetized with 2% (v/v) isoflurane and tumors were irradiated with a 670 nm LED at a light dose of 180 J/cm<sup>2</sup> given at 100 mW/cm<sup>2</sup>. After irradiation, mice were intraperitoneally (i.p.) injected immediately with PD-L1 antibody at a dose of 50 µg/mouse. The tumor size was measured every day with a digital caliper and calculated as follows: (width<sup>2</sup>×length)/2. At the end of the experiment, mice were sacrificed, and tumors were excised, weighed (see FIG. 33B) and photographed. Lungs were also harvested, sectioned at 10 µm thickness and stained with (H&E), and observed for histological examination of metastases with light microscopy. As shown in FIG. 33A, the primary tumor disappeared at day 13 after treatment by Zn@pyrolipid (+)+PD-L1 antibody, and did not recur. The growth of tumor treated with Zn@pyrolipid plus irradiation was inhibited at the first several days, but tumors began to grow fast at the last several days. The tumor volume treated with PD-L1 antibody showed a little bit of decrease, but did not have significant difference compared to PBS control group.

The metastasis to the lungs was examined by the gross appearance of tumor nodules. Many tumor nodules in lungs treated with PBS, Zn@pyrolipid with irradiation, and Zn@pyrolipid without irradiation but plus PD-L1 antibody were observed. For Zn@pyrolipid with irradiation plus PD-L1 antibody treated group, only 1 or 2 tumor nodules were observed, indicating that the combination of PDT with PD-L1 antibody can significantly prevent the lung metastasis of breast cancer. The lungs were further sectioned and stained with H&E, and the percentage of metastasis area in total lung area was calculated. About 36.8%, 30.7% and 26.4% of lung were occupied by tumor nodules in PBS, Zn@pyrolipid with irradiation, and Zn@pyrolipid without irradiation but plus PD-L1 antibody treated group, respectively. For Zn@pyrolipid with irradiation plus PD-L1 antibody treated group, only 0.4% of the lung was occupied by tumor nodules.

Abscopal Effect on 4T1 and TUBO Models

Balb/c mice were injected s.c. with  $5 \times 10^4$  4T1 cells or  $1 \times 10^6$  TUBO cells in the right flank (primary tumor) and  $1 \times 10^4$  4T1 cells or  $2 \times 10^5$  TUBO cells in the left flank (secondary tumor). When the primary tumors reached ~100  $mm^3$ , mice were randomly divided into four groups (n=6): PBS with irradiation as control; Zn@pyrolipid without irradiation plus anti-PD-L1; Zn@pyrolipid with irradiation; Zn@pyrolipid with irradiation plus anti-PD-L1. Zn@pyrolipid was i.v. injected to animals at a pyrolipid dose of 6 mg/kg every two days for a total three injections. Twenty four hours after injection, mice were anesthetized with 2% (v/v) isoflurane and primary tumors were irradiated with a 670 nm LED at a light dose of 180 J/cm<sup>2</sup> given at 100 mW/cm<sup>2</sup>. After irradiation, mice were i.p. injected immediately with PD-L1 antibody at a dose of 50 µg/mouse. The primary and secondary tumor sizes were measured every day with a digital caliper and calculated as follows: (width<sup>2</sup>×  $_{20}$ length)/2. All mice were sacrificed when the primary tumor size of control group exceeded 2 cm<sup>3</sup>, and the excised tumors were photographed and weighed.

After treatment, the growth curves of right tumors were similar to that of the 4T1 orthotopic model. The left tumors <sup>25</sup> treated with Zn@pyrolipid with irradiation plus PD-L1 were significantly inhibited, and did not show obvious growth. Zn@pyrolipid with irradiation inhibited right tumor growth at the first several days, but tumors began to grow fast at the last several days, and Zn@pyrolipid with irradiation didn't show any inhibitory effect on the left tumors. PD-L1 antibody itself had some effect on tumor growth-both right and left tumors grew a little bit slower compared to PBS group. See FIGS. 34A-34C. The abscopal effect of Zn@pyrolipid 35 with irradiation plus PD-L1 antibody on TUBO model was similar to that of the 4T1 model (see FIGS. 35A-35C), confirming that the PD-L1 blockade improves the abscopal tumor-specific immune response caused by PDT of Zn@pyrolipid.

#### Serum Cytokines Concentration

Blood was collected daily from TUBO tumor-bearing mice from Day 10 after tumor inoculation, when the mice received the first Zn@pyrolipid injections, to Day 13. The 45 serum was separated and analyzed by ELISA to determine cytokine production of IL-6, TNF- $\alpha$ , and IFN- $\gamma$ .

Release of such cytokines indicates acute inflammation, an important mechanism in inducing antitumor immunity. Significantly high IL-6, TNF- $\alpha$ , and IFN- $\gamma$  levels were noted in mice treated by Zn@pyrolipid with irradiation plus PD-L1 antibody on Day 12, suggesting the successful activation of the innate immune response and acute inflammation. Zn@pyrolipid with irradiation, and Zn@pyrolipid 55 without irradiation plus PD-L1 also increased the cytokine levels, compared to PBS group, but the increased degree was significantly lower than that of Zn@pyrolipid with irradiation plus PD-L1 antibody. See FIGS. **36A-36**C. Immune Assay by Flow Cytometry

At day 12 post first treatment, tumor-draining lymph nodes were harvested and ground using the rubber end of a syringe. Tumors were harvested, treated with 1 mg/mL collagenase I (GIBCO<sup>TM</sup>, Thermo Fisher Scientific, <sub>65</sub> Waltham, Mass., United States of America) for 1 h, and ground using the rubber end of a syringe. Cells were filtered

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through nylon mesh filters and washed with PBS. The single-cell suspension was incubated with anti-CD16/32 (clone 93; eBiosciences, San Diego, Calif., United States of America) to reduce nonspecific binding to FcRs. Cells were further stained with the following fluorochrome-conjugated antibodies: CD45 (30-F11), CD3e (145-2C11), CD4 (GK1.5), CD8 (53-6.7), Foxp3 (FJK-16s), CD11b (M1/70), Ly6C (HK1.4), Ly6G (RB6-8C5), F4/80 (BM8), B220 (RA3-6B2) and PI staining solution (all from eBiosciences, San Diego, Calif., United States of America). LSR FORTESSA (BD Biosciences, Franklin Lakes, N.J., United States of America) was used for cell acquisition, and data analysis was carried out using FlowJo software (TreeStar, Ashland, Oreg., United States of America).

Zn@pyrolipid with irradiation in combination with anti-PD-L1 significantly increased the proportion of infiltrating CD8<sup>+</sup> T cells in the left tumor, an essential step to induce the abscopal effect. In the left tumors, the percentage of infiltrating CD45 leukocytes, CD4<sup>+</sup> T cells, and B cells were also significantly increased. See FIGS. **37**A-**37**D. Interestingly, CD8<sup>+</sup> and CD4<sup>+</sup> T cells in lymph nodes decreased at day 12 after first treatment (see FIGS. **38**A and **38**B), possibly because the CD8<sup>+</sup> and CD4<sup>+</sup> T cells migrated from lymph nodes to the tumor site to kill the tumor cells. All these results indicated that the immune system was activated by the combination treatment of PDT with PD-L1 blockade.

It will be understood that various details of the presently disclosed subject matter may be changed without departing from the scope of the presently disclosed subject matter. Furthermore, the foregoing description is for the purpose of illustration only, and not for the purpose of limitation.

What is claimed is:

A nanoscale particle for delivery of therapeutic agents,
 said nanoscale particle comprising:

- a core comprising a metal bisphosphonate coordination polymer comprising a multivalent metal ion and a bisphosphonate, wherein said bisphosphonate comprises a cisplatin and/or oxaliplatin prodrug; and
- a coating layer covering at least a portion of an outer surface of the core, wherein said coating layer comprises an asymmetric lipid bilayer wherein said asymmetric lipid bilayer comprises a prodrug comprising:
  (a) a monovalent drug moiety, wherein said monovalent drug moiety is a monovalent derivative of an anticancer drug compound selected from the group consisting of Etoposide (ET), Paclitaxel (PTX), NLG919, OTS167, OTSC41, dihydroartemisin, Camptothecin (CPT), Docetaxel, Mitoxantrone, and Artesunate, (b) a monovalent lipid moiety, and (c) a bivalent linker comprising a disulfide bond, wherein said monovalent drug moiety and said monovalent lipid moiety are linked through the bivalent linker.

2. The nanoscale particle of claim 1, wherein the monovalent lipid moiety is a monovalent derivative of cholesterol, oleic acid, a lyso-lipid, or phosphocholine.

**3**. The nanoscale particle of claim **2**, wherein the monovalent lipid moiety is a cholesterol derivative and the monovalent lipid moiety and the bivalent linker moiety together have the structure:


**4**. The nanoscale particle of claim **2**, wherein the monovalent lipid moiety is an oleic acid derivative and the monovalent lipid moiety and the bivalent linker moiety <sup>20</sup> together have the structure:

10. The nanoscale particle of claim 1, wherein the cisplatin and/or oxaliplatin prodrug is cis, cis, trans-Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OEt)(O<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>COOH), optionally wherein the core comprises between about 10 weight % and



**5**. The nanoscale particle of claim **2**, wherein the monovalent lipid moiety is a lyso-lipid derivative and the monovalent lipid moiety and the bivalent linker moiety together have the structure:



where R is selected from oleyl, stearyl, or palmitoleyl.6. The nanoscale particle of claim 1, further comprising at 50 least one nucleic acid chemotherapeutic agent.

7. The nanoscale particle of claim 6, wherein the nucleic acid chemotherapeutic agent is a siRNA, a miRNA, or an AS ODN.

**8**. The nanoscale particle of claim **6**, wherein the at least 55 one nucleic acid is selected from the group consisting of survivin siRNA, ERCC-1 siRNA, P-glycoprotein siRNA (P-gp siRNA), Bcl-2 siRNA, and a mixture thereof.

**9**. The nanoscale particle of claim **1**, further comprising at least one additional non-nucleic add chemotherapeutic agent <sup>60</sup> incorporated in the core, wherein said additional non-nucleic acid chemotherapeutic agent is selected from the group consisting of gemcitabine, methotrexate, leucovorin, pemetrexed disodium, doxorubicin, vinblastine, vincristine, vindesine, cytarabine, azathioprine, melphalan, imatinib, anastrozole, letrozole, carboplatin, paclitaxel, docetaxel, etoposide, and vinorelbine.

about 50 weight % of the cisplatin and/or oxaliplatin prodrug.

**11**. The nanoscale particle of claim **1**, wherein the nanoscale particle has an average diameter of between about 20 nm and about 140 nm.

**12**. The nanoscale particle of claim **1**, wherein the asymmetric lipid bilayer comprises a cationic lipid and/or a functionalized lipid, wherein said functionalized lipid is a lipid functionalized with a group that can bond to a nucleic acid, and wherein at least one nucleic acid is covalently bonded to the functionalized lipid and/or attached to the cationic lipid via electrostatic interactions.

**13**. The nanoscale particle of claim **1**, wherein the asymmetric lipid bilayer comprises a mixture comprising one or more of a thiol- or dithiol- functionalized 1,2-distearoyl-sn-glycero-3-phosphoethanolamine (SSPE), 1,2-dioleoyl-3-trimethylammonium propane (DOTAP), and 1,2-dioleoyl-sn- glycero-3-phosphocholine (DOPC).

14. The nanoscale particle of claim 1, wherein the coating layer further comprises at least one of a passivating agent, wherein said passivating agent is optionally a hydrophilic polymer; a targeting agent, wherein said targeting agent is optionally a RGD peptide; and an imaging agent, wherein said imaging agent is optionally a fluorescent moiety.

**15**. The nanoscale particle of claim 1, wherein the asymmetric lipid bilayer further comprises one or more of 1,2-dioleoyl-sn-glycero-3- phosphate sodium salt (DOPA), cholesterol, and pegylated-DSPE.

16. The nanoscale particle of claim 1, wherein the multivalent metal ion is selected from the group consisting of  $Ca^{2+}$ ,  $Mg^{2+}$ ,  $Mn^{2+}$ ,  $Zn^{2+}$ , and combinations thereof.

**17**. The nanoscale particle of claim **1**, wherein the monovalent drug moiety of the prodrug is a monovalent derivative of dihydroartemisin (DHA).

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18. The nanoscale particle of claim 17, wherein the bisphosphonate is a bisphosphonate ester of cis, cis-trans- $[Pt(NH_3)_2Cl_2(OH)_2]$  (a cisplatin prodrug) or cis, trans- $[Pt (dach)Cl_2(OH)_{21}$ .

**19.** The nanoscale particle of claim 1, wherein the mul- $_5$  tivalent metal ion is  $Zn^2 +$ .

**20**. The nanoscale particle of claim 1, wherein the core comprises between about 40 and about 50 weight % of bisphosphonate.

**21**. The nanoscale particle of claim **1**, wherein one or more of survivin siRNA, P-gp siRNA, and Bcl-2 siRNA are  $^{10}$  attached to the coating layer.

**22**. The nanoscale particle of claim 1, wherein the nanoscale particle has a diameter between about 20 nm and about 180 nm.

**23**. The nanoscale particle of claim **1**, wherein the nanoscale particle has a diameter between about 90 nm and about 180 nm.

24. The nanoscale particle of claim 1, wherein the monovalent drug moiety is a monovalent derivative of a drug compound selected from the group consisting of Etoposide 20 (ET), Paclitaxel (PTX), NLG919, OTS167, OTSC41, dihydroartemisin, Camptothecin (CPT), Mitoxantrone, and Artesunate.

**25**. The nanoscale particle of claim **1**, wherein the monovalent lipid moiety is a monovalent derivative of choles- <sub>25</sub> terol.

26. A pharmaceutical formulation comprising a composition comprising a nanoscale particle of claim 1 and a pharmaceutically acceptable carrier. **27**. A method of treating cancer in a subject in need thereof, the method comprising administering to the subject a composition comprising a nanoscale particle of claim **1**.

**28**. The method of claim **27**, wherein the cancer is selected from lung cancer, pancreatic cancer, ovarian cancer, breast cancer, and colon cancer.

**29**. The method of claim **27**, wherein the cancer is ovarian cancer, optionally a cisplatin resistant ovarian cancer.

**30**. The method of claim **27**, further comprising administering to the subject an immunotherapy agent.

**31**. The method of claim **30**, wherein the immunotherapy agent is selected from the group consisting of an anti-CD52 antibody, an anti-CD2O antibody, anti-CD47 antibody, an anti-GD2 antibody, a cytokine, and polysaccharide K.

**32**. The method of claim **30**, wherein the immunotherapy agent is selected from the group consisting of Alemtuzumab, Ofatumumab, Rituximab, Zevalin, Adcetris, Kadcyla, and Ontak.

**33**. The method of claim **30**, wherein the immunotherapy agent is selected from the group consisting of a PD-1 inhibitor, a PD-L1 inhibitor, a CTLA-4 inhibitor, an IDC inhibitor, a CCR7 inhibitor, a OX40 inhibitor, a TIM3 inhibitor, and a LAGS inhibitor.

**34**. The method of claim **27**, wherein the cancer is a head and neck cancer, optionally wherein the head and neck cancer is a cisplatin resistant head and neck cancer.

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