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To Buddy and Patsy

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## ABSTRACT

We consider the parameter space  $\mathcal{U}_d$  of smooth plane curves of degree  $d$ . The universal smooth plane curve of degree  $d$  is a fiber bundle  $\mathcal{E}_d \rightarrow \mathcal{U}_d$  with fiber diffeomorphic to a surface  $\Sigma_g$ . This bundle gives rise to a monodromy homomorphism  $\rho_d : \pi_1(\mathcal{U}_d) \rightarrow \text{Mod}(\Sigma_g)$ , where  $\text{Mod}(\Sigma_g) := \pi_0(\text{Diff}^+(\Sigma_g))$  is the mapping class group of  $\Sigma_g$ . The main result of this paper is that the kernel of  $\rho_4 : \pi_1(\mathcal{U}_4) \rightarrow \text{Mod}(\Sigma_3)$  is isomorphic to  $F_\infty \times \mathbb{Z}/3\mathbb{Z}$ , where  $F_\infty$  is a free group of countably infinite rank. In the process of proving this theorem, we show that the complement  $\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g$  of the hyperelliptic locus  $\mathcal{H}_g$  in Teichmüller space  $\text{Teich}(\Sigma_g)$  has the homotopy type of an infinite wedge of spheres. As a corollary, we obtain that the moduli space of plane quartic curves is aspherical. The proofs use results from the Weil-Petersson geometry of Teichmüller space together with results from algebraic geometry.

# CHAPTER 1

## INTRODUCTION

Let  $\mathbb{P}(\mathrm{Sym}^d(\mathbb{C}^3)) = \mathbb{P}^N$ , where  $N = \binom{d+2}{2} - 1$ , be the parameter space of plane curves of degree  $d > 0$ . Elements of  $\mathbb{P}^N$  are homogeneous degree  $d$  polynomials in variables  $x, y, z$ .

Let  $\mathcal{U}_d$  denote the *parameter space of smooth plane curves of degree  $d$* . More precisely,  $\mathcal{U}_d = \mathbb{P}^N \setminus \Delta_d$  is the complement of the *discriminant locus*  $\Delta_d \subset \mathbb{P}^N$  which is the set of polynomials  $f$  such that the curve  $V(f) = \{p \in \mathbb{P}^2 : f(p) = 0\}$  is singular.

The *universal smooth plane curve of degree  $d$*  is the fiber bundle  $\mathcal{E}_d \rightarrow \mathcal{U}_d$  defined by

$$\begin{aligned} \mathcal{E}_d &:= \{(f, p) \in \mathcal{U}_d \times \mathbb{P}^2 : f(p) = 0\} \rightarrow \mathcal{U}_d \\ (f, p) &\mapsto f \end{aligned}$$

There exists a monodromy homomorphism

$$\rho_d : \pi_1(\mathcal{U}_d) \rightarrow \mathrm{Mod}(\Sigma_g),$$

where  $\mathrm{Mod}(\Sigma_g) := \pi_0(\mathrm{Diff}^+(\Sigma_g))$  is the mapping class group. We omit reference to the basepoint in  $\pi_1(\mathcal{U}_d)$ , however, it can be taken to be the Fermat curve  $f_F(x, y, z) = x^d + y^d + z^d = 0$ . The homomorphism  $\rho_d$  is called the *geometric monodromy of the universal smooth plane curve of degree  $d$* . A finite presentation for  $\pi_1(\mathcal{U}_d)$  has been given by Lönne [LÖ9, Main Theorem].

Two natural questions are to determine the image  $\mathrm{Im}(\rho_d)$  and kernel  $K_d := \ker(\rho_d)$ . Dolgachev and Libgober have given a description of  $\pi_1(\mathcal{U}_3)$  as an extension

$$0 \rightarrow \mathrm{Heis}_3(\mathbb{Z}/3\mathbb{Z}) \rightarrow \pi_1(\mathcal{U}_3) \xrightarrow{\rho_3} \mathrm{Mod}(\Sigma_1) \rightarrow 0$$

[DL81, Exact Sequence 4.8] of  $\mathrm{Mod}(\Sigma_1)$  by the  $\mathbb{Z}/3\mathbb{Z}$ -points of the 3-dimensional Heisenberg

group [DL81, Page 12]

$$\text{Heis}_3(\mathbb{Z}/3\mathbb{Z}) := \left\{ \begin{pmatrix} 1 & * & * \\ 0 & 1 & * \\ 0 & 0 & 1 \end{pmatrix} : * \in \mathbb{Z}/3\mathbb{Z} \right\}$$

The action  $\text{Mod}(\Sigma_1) \curvearrowright H_1(\text{Heis}_3(\mathbb{Z}/3\mathbb{Z}); \mathbb{Z}) \cong (\mathbb{Z}/3\mathbb{Z})^2$  is the action on the Weierstraß points of the elliptic curve. This action is exactly the composition  $\text{Mod}(\Sigma_1) \xrightarrow{\Psi_1} \text{SL}_2(\mathbb{Z}) \rightarrow \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$ , where  $\Psi_1 : \text{Mod}(\Sigma_1) \cong \text{SL}_2(\mathbb{Z})$  is the action on  $H_1(\Sigma_1; \mathbb{Z})$ , see [FM12, Theorem 2.5], and  $\text{SL}_2(\mathbb{Z}) \rightarrow \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$  is the natural projection.

For higher degrees  $d \geq 4$ , there is an exact sequence

$$0 \rightarrow K_d \rightarrow \pi_1(\mathcal{U}_d) \xrightarrow{\rho_d} \text{Mod}(\Sigma_g).$$

The map  $\rho_d$  is, in general, not surjective. However, Salter [Sal19, Theorem A] has shown that  $\text{Im}(\rho_d)$  always has finite index in  $\text{Mod}(\Sigma_g)$ . For  $d = 4$ , Kuno has shown that  $\text{Im}(\rho_4) = \text{Mod}(\Sigma_3)$  and that  $K_4$  is infinite [Kun08, Proposition 6.3]. For  $d = 5$ , Salter [Sal16, Theorem A] shows that  $\text{Im}(\rho_5)$  is the stabilizer  $\text{Mod}(\Sigma_6)[\phi]$  of a certain spin structure  $\phi$  on  $\Sigma_6$ , the spin structure  $\phi = e^* \mathcal{O}(1)$  induced on  $\Sigma_6$  by its embedding  $e : \Sigma_6 \rightarrow \mathbb{P}^2$  as a plane curve. For odd  $d \geq 5$ , Salter shows that the monodromy group  $\text{Im}(\rho_d)$  is the stabilizer of a  $(d-3)$ -spin structure on  $\Sigma_g$ , for  $g = \binom{d-1}{2}$ . For even  $d \geq 6$ ,  $\text{Im}(\rho_d)$  is only known to be finite index in this stabilizer, hence in  $\text{Mod}(\Sigma_g)$  [Sal19, Theorem A].

Another result in this vein  $\pi_1(\mathcal{U}_d)$  can be found in [CT99]. Recall that  $\text{Mod}(\Sigma_g)$  acts on  $H_1(\Sigma_g; \mathbb{Z})$  preserving the intersection form. This gives rise to the *symplectic representation*  $\Psi_g : \text{Mod}(\Sigma_g) \rightarrow \text{Sp}_{2g}(\mathbb{Z})$ . Consider the composition

$$\Psi_g \circ \rho_d : \pi_1(\mathcal{U}_d) \rightarrow \text{Sp}_{2g}(\mathbb{Z}).$$

This representation is called the *algebraic monodromy of the universal smooth plane curve of degree  $d$* . Carlson and Toledo show that  $\tilde{K}_d := \ker(\Psi_g \circ \rho_d)$  is *large* [CT99, Theorem 1.2], i.e. there is a homomorphism  $\tilde{K}_d \rightarrow G$  to a noncompact semisimple real algebraic Lie group  $G$  with Zariski-dense image.

We prove the following theorem, which is a refinement of Kuno's theorem [Kun08, Proposition 6.3] that  $K_4$  is infinite. In the statement,  $\text{SMod}(\Sigma_g) < \text{Mod}(\Sigma_g)$  denotes the centralizer of a fixed hyperelliptic involution, the homotopy class of an order 2 homeomorphism  $\tau : \Sigma_g \rightarrow \Sigma_g$  which acts on  $H_1(\Sigma_g; \mathbb{Z})$  by multiplication by  $-1$ .

**Theorem 1.0.1.** *The group  $K_4$  is isomorphic to  $F_\infty \times \mathbb{Z}/3\mathbb{Z}$ , where  $F_\infty$  is an infinite rank free group. Moreover,  $F_\infty$  has a free generating set in bijection with the set of cosets of the hyperelliptic mapping class group  $\text{SMod}(\Sigma_3)$ , and*

$$H_1(K_4; \mathbb{Q}) \cong \mathbb{Q}[\text{Mod}(\Sigma_3)/\text{SMod}(\Sigma_3)]$$

as  $\text{Mod}(\Sigma_3)$ -modules.

The idea for the proof of Theorem 1.0.1 is to exhibit the cover  $\mathcal{U}_4^{\text{mark}} \rightarrow \mathcal{U}_4$  corresponding to  $K_4$  as a principal fiber bundle over the complement  $\text{Teich}(\Sigma_3) \setminus \mathcal{H}_3$  of the hyperelliptic locus  $\mathcal{H}_3$  in Teichmüller space  $\text{Teich}(\Sigma_3)$ . The following theorem determines the homotopy type of  $\text{Teich}(\Sigma_3) \setminus \mathcal{H}_3$ .

**Theorem 1.0.2.** *Let  $g \geq 3$ . The hyperelliptic complement  $\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g$  has the homotopy type of a wedge  $\bigvee_{i=1}^{\infty} S^n$  of infinitely many  $n$ -spheres, where  $n = 2g - 5$ .*

From Theorem 1.0.2, we can conclude that  $\mathcal{U}_4^{\text{mark}} \rightarrow \text{Teich}(\Sigma_3) \setminus \mathcal{H}_3$  is trivial and Theorem 1.0.1 follows.

We will also show that the structure of the group  $K_d$  is closely related to that of the hyperelliptic mapping class group. The failure of our proof method in Theorem 1.0.1 for

degrees  $d > 4$  is due to the lack of knowledge of the topology of the locus of planar curves in the moduli space of Riemann surfaces; there are many more obstructions to being planar than being hyperelliptic.

This thesis is organized as follows. Chapter 2 recalls basic facts about the Weil-Petersson metric on Teichmüller space and the hyperelliptic locus. Chapter 3 introduces the geodesic length functions. These will then be used to prove Theorem 1.0.2. The proof of Theorem 1.0.1 is carried out in chapter 4.

# CHAPTER 2

## THE HYPERELLIPTIC LOCUS AND THE WEIL-PETERSSON METRIC

For the rest of the paper, let  $g \geq 2$  unless otherwise stated. In this chapter we give the necessary background on Teichmüller space and its geometry. We review the Weil-Petersson metric on Teichmüller space and describe the geometric properties of the hyperelliptic locus in terms of this metric, see Proposition 2.3.1.

### 2.1 Teichmüller Space

We recall the basic theory of Teichmüller space and of the moduli space of Riemann surfaces of genus  $g$ . For additional background, see e.g. [FM12]. Let  $\text{Teich}(\Sigma_g)$  denote the Teichmüller space of genus  $g \geq 2$  curves. That is,  $\text{Teich}(\Sigma_g)$  is the set of equivalence classes  $[X, h]$  of pairs  $(X, h)$ , where  $X$  is a complex curve of genus  $g$  and  $h$  is a *marking*, i.e. a homeomorphism  $\Sigma_g \rightarrow X$ . Two pairs  $(X, h)$  and  $(Y, g)$  are equivalent if  $h \circ g^{-1} : Y \rightarrow X$  is isotopic to a biholomorphism. We will also denote such an equivalence class  $[X, h]$  by  $\mathcal{X}$ . The (complex) dimension of  $\text{Teich}(\Sigma_g)$  is  $3g - 3$ .

The mapping class group  $\text{Mod}(\Sigma_g)$  acts on  $\text{Teich}(\Sigma_g)$  by

$$[f] \cdot [X, h] = [X, h \circ f^{-1}]$$

where  $[f] \in \text{Mod}(\Sigma_g)$ . This action is properly discontinuous [FM12, Theorem 12.2] so that the quotient space  $\mathcal{M}_g := \text{Mod}(\Sigma_g) \backslash \text{Teich}(\Sigma_g)$ , the *moduli space of genus  $g$  Riemann surfaces*, is an orbifold. Let  $\pi : \text{Teich}(\Sigma_g) \rightarrow \mathcal{M}_g$  denote the quotient map. The space  $\mathcal{M}_g$  can also be defined as the space of all complex curves of genus  $g$ , up to biholomorphism. Note that the orbifold fundamental group  $\pi_1^{orb}(\mathcal{M}_g)$  of  $\mathcal{M}_g$  is  $\text{Mod}(\Sigma_g)$ .

## 2.2 Weil-Petersson Metric

In this section we recall the Weil-Petersson (WP) metric and some of its properties. The WP metric is a certain Kähler metric on  $\text{Teich}(\Sigma_g)$  which gives rise to a Riemannian structure on  $\text{Teich}(\Sigma_g)$ . For more on the Weil-Petersson metric, see the survey [Wol09].

The cotangent space  $T_{\mathcal{X}}^* \text{Teich}(\Sigma_g)$  at a point  $\mathcal{X} = [X, h] \in \text{Teich}(\Sigma_g)$  can be identified with the space  $Q(X)$  of holomorphic quadratic differentials on  $X$ . Define a (co)metric on  $T_{\mathcal{X}}^* \text{Teich}(\Sigma_g)$  by

$$\langle\langle \varphi, \psi \rangle\rangle := \int_X \varphi \bar{\psi} (ds^2)^{-1},$$

where  $ds^2$  is the hyperbolic metric on  $X$  and  $(ds^2)^{-1}$  is its dual. The *Weil-Petersson (WP) metric* is defined to be the dual of  $\langle\langle \cdot, \cdot \rangle\rangle$ .

The WP metric is a  $\text{Mod}(\Sigma_g)$ -invariant, incomplete [Wol75, Section 2], smooth Riemannian metric of negative sectional curvature [Tro86, Theorem 2]. Teichmüller space  $\text{Teich}(\Sigma_g)$  equipped with the WP metric is *geodesically convex* [Wol87, Subsection 5.4], meaning that any two points  $\mathcal{X}, \mathcal{Y} \in \text{Teich}(\Sigma_g)$  are connected by a unique geodesic. When referring to any metric properties of Teichmüller space, we will assume they are with respect to the WP metric unless otherwise stated.

## 2.3 Hyperelliptic Locus

A *hyperelliptic curve*  $X$  is a complex curve equipped with a biholomorphic involution  $\tau : X \rightarrow X$  such that  $X/\tau$  is isomorphic to  $\mathbb{P}^1$ . Such a map  $\tau$ , if it exists, is called a *hyperelliptic involution*. An element  $[\tau] \in \text{Mod}(\Sigma_g)$  is called a *hyperelliptic mapping class* if  $[\tau]^2 = 1$  and  $\Sigma_g/\tau$  is homeomorphic to  $\mathbb{P}^1$ , or equivalently, if  $[\tau]$  acts on  $H_1(\Sigma_g; \mathbb{Z})$  by multiplication by  $-1$ .

Let  $\overline{\mathcal{H}_g} \subset \mathcal{M}_g$  denote the locus of hyperelliptic curves and let  $\mathcal{H}_g := \pi^{-1}(\overline{\mathcal{H}_g})$ , where  $\pi : \text{Teich}(\Sigma_g) \rightarrow \mathcal{M}_g$  is the quotient map. The set  $\mathcal{H}_g$  is called the *hyperelliptic locus*. It has

(complex) dimension  $2g - 1$ . Note that when  $g = 3$ , the hyperelliptic locus  $\mathcal{H}_3$  has complex codimension 1 in  $\text{Teich}(\Sigma_g)$ .

The following proposition collects some facts that will be useful in later sections.

**Proposition 2.3.1.** *The locus  $\mathcal{H}_g$  is a complex-analytic submanifold of  $\text{Teich}(\Sigma_g)$ . Moreover,  $\mathcal{H}_g$  has infinitely many connected components (see Figure 1). If  $H$  is any component of  $\mathcal{H}_g$  then  $H$  is totally geodesic in  $\text{Teich}(\Sigma_g)$  and  $H$  is biholomorphic to  $\text{Teich}(\Sigma_{0,2g+2})$ , the Teichmüller space of a sphere with  $2g + 2$  punctures. In particular, each component of  $\mathcal{H}_g$  is contractible.*

*Proof.* Let  $[\tau] \in \text{Mod}(\Sigma_g)$  be a hyperelliptic mapping class. Then  $[\tau]$  acts on  $\text{Teich}(\Sigma_g)$  with fixed set

$$\text{Fix}([\tau]) := \{[Y, g] \in \text{Teich}(\Sigma_g) : [Y, g] = [Y, g \circ \tau]\}.$$

First, we show that

$$\mathcal{H}_g = \bigcup_{[\tau] \text{ hyperelliptic}} \text{Fix}([\tau]),$$

where the union is taken over all hyperelliptic mapping classes  $[\tau] \in \text{Mod}(\Sigma_g)$ . If  $[X, h] \in \text{Fix}([\tau])$  then  $\tau : X \rightarrow X$  is isotopic to a biholomorphism  $\tau_b$ . The map  $\tau_b$  must be a hyperelliptic involution, and so  $[X, h] \in \mathcal{H}_g$ . Conversely, if  $[X, h] \in \mathcal{H}_g$  then there is a hyperelliptic involution  $\tau : X \rightarrow X$  which is a biholomorphism and so  $[X, h] \in \text{Fix}([\tau])$ .

If  $[\tau]$  and  $[\eta]$  are two distinct hyperelliptic mapping classes, then  $\text{Fix}([\tau]) \cap \text{Fix}([\eta]) = \emptyset$ . More explicitly, if  $[X, h] \in \text{Fix}([\tau]) \cap \text{Fix}([\eta])$  then,  $[\tau]$  and  $[\eta]$  contain biholomorphic representatives  $\tau_b, \eta_b : X \rightarrow X$ . By [FK80, Section III.7.9, Corollary 2], we must have  $\tau_b = \eta_b$ .

Each set  $\text{Fix}([\tau])$  is totally geodesic in  $\text{Teich}(\Sigma_g)$ . This follows from the uniqueness of geodesics in the WP metric: if  $\gamma$  is any geodesic with endpoints lying in  $\text{Fix}([\tau])$ , then  $[\tau] \cdot \gamma$  must be another geodesic with the same endpoints as  $\gamma$ , hence  $\gamma$  must be fixed by  $\tau$ .

For a proof that  $\mathcal{H}_g$  is a complex-analytic submanifold of  $\text{Teich}(\Sigma_g)$  and that each component is biholomorphic to  $\text{Teich}(\Sigma_{0,2g+2})$ , we refer the reader to [Nag88, Section

4.1.5]. □

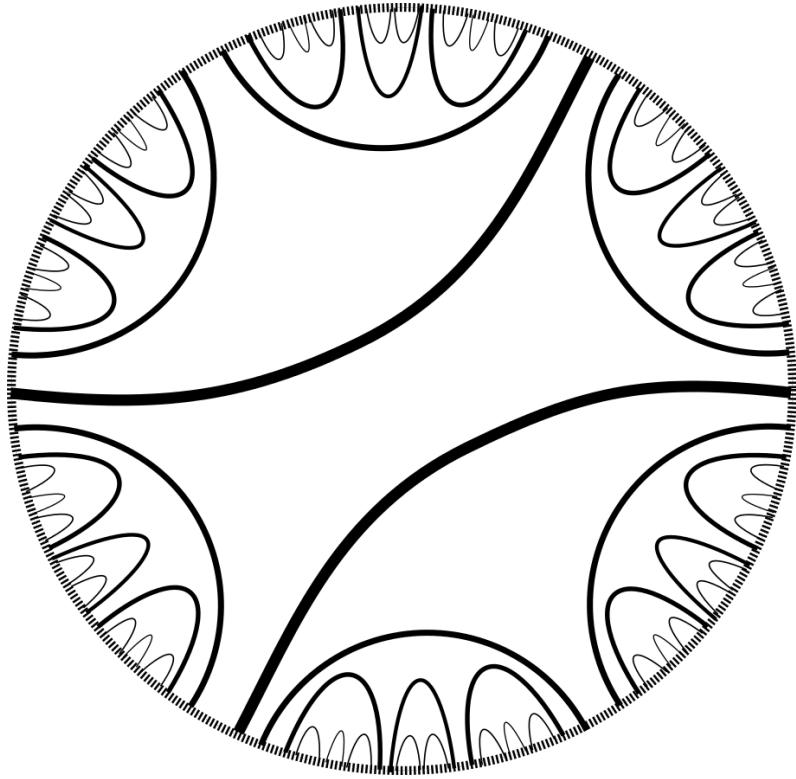


Figure 2.1: A schematic of the hyperelliptic locus  $\mathcal{H}_g$  in  $\text{Teich}(\Sigma_g)$ . The submanifold  $\mathcal{H}_g \subset \text{Teich}(\Sigma_g)$  has infinitely many connected components, each of which is totally geodesic with respect to the Weil-Petersson metric.

# CHAPTER 3

## HOMOTOPY TYPE OF THE HYPERELLIPTIC COMPLEMENT

In Section 3.1, we prove, Lemma 3.1.1, the existence of certain Morse functions on  $\text{Teich}(\Sigma_g)$ .

These functions will be used to prove Theorem 1.0.2 in Section 3.2.

### 3.1 Geodesic Length Functions

This section is devoted to proving the existence of sufficiently well-behaved functions on  $\text{Teich}(\Sigma_g)$ .

**Lemma 3.1.1.** *Let  $g \geq 3$ . There exists a function  $f : \text{Teich}(\Sigma_g) \rightarrow \mathbb{R}_+$  which satisfies the following properties.*

1. *The function  $f$  is proper, strictly convex and has positive-definite Hessian everywhere.*
2. *The function  $f$  has a unique critical point in  $\text{Teich}(\Sigma_g)$ , denoted  $x_0$ .*
3. *For any component  $H$  of  $\mathcal{H}_g$ , the restriction  $f|_H$  has a unique critical point, denoted  $x_H$ .*
4. *Any two critical values are distinct. That is, for any component  $H$  of  $\mathcal{H}_g$ ,  $f(x_H) \neq f(x_0)$ . Also, if  $H'$  is any other component of  $\mathcal{H}_g$ , then  $f(x_H) = f(x_{H'})$  if and only if  $H = H'$ .*
5. *The set of critical values*

$$\{f(x_H) : H \text{ is a component of } \mathcal{H}_g\} \cup \{f(x_0)\}$$

*is a discrete subset of  $\mathbb{R}_+$ .*

In particular, such a function  $f$  is Morse on  $\text{Teich}(\Sigma_g)$  and for each component  $H$  of  $\mathcal{H}_g$ , the restriction  $f|_H$  is Morse.

*Proof.* The function  $f$  is built using *geodesic length functions*. These functions are defined as follows. Let  $\alpha$  be a free homotopy class of simple closed curves on  $\Sigma_g$  and let  $[X, h]$  be a point in  $\text{Teich}(\Sigma_g)$ . Then  $h(\alpha)$  is a free homotopy class of simple closed curves in  $X$ . Recall that  $h(\alpha)$  contains a unique geodesic  $\gamma$ . The *geodesic length function*  $\ell_\alpha : \text{Teich}(\Sigma_g) \rightarrow \mathbb{R}_+$  associated to  $\alpha$  is defined by

$$\ell_\alpha(\mathcal{X}) := \text{length of the unique geodesic in the free homotopy class } h(\alpha) \text{ on } X,$$

where  $\mathcal{X} = [X, h]$ . Any other choice  $(X', h')$  of representative of  $[X, h]$  would differ from  $(X, h)$  by an isometry, hence  $\ell_\alpha$  is well-defined. Fix a finite collection  $\mathcal{A}$  of (homotopy classes of) simple closed curves which fills  $\Sigma_g$ , and let  $\mathbf{c} = (c_\alpha) \in \mathbb{R}_+^{\mathcal{A}}$  be a collection of positive real numbers for each  $\alpha \in \mathcal{A}$ . For each choice of  $\mathbf{c} \in \mathbb{R}_+^{\mathcal{A}}$ , there is a function

$$\mathcal{L}_{\mathcal{A}, \mathbf{c}} := \sum_{\alpha \in \mathcal{A}} c_\alpha \ell_\alpha : \text{Teich}(\Sigma_g) \rightarrow \mathbb{R}_+.$$

The function  $f$  in the statement of the theorem will be defined to be  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}$  for a specific value of  $\mathbf{c}$ .

Wolpert [Wol87, Theorem 4.6] states that for any free homotopy class of simple closed curves  $\alpha$  on  $\Sigma_g$ , the geodesic length function  $\ell_\alpha$  has positive-definite Hessian everywhere. In particular,  $\ell_\alpha$  is strictly convex along WP geodesics.

Recall that the Hessian operator  $\text{Hess}$  is given in local coordinates by

$$f \mapsto \left( \frac{\partial^2 f}{\partial x^i \partial x^j} + \Gamma_{ij}^k \frac{\partial f}{\partial x^k} \right) dx^i \otimes dx^j,$$

where  $\Gamma_{ij}^k$  are the Christoffel symbols given by  $g$ . Thus,  $\text{Hess}$  is  $\mathbb{R}$ -linear. It follows that

$$\text{Hess } \mathcal{L}_{\mathcal{A}, \mathbf{c}} = \sum_{\alpha \in \mathcal{A}} c_\alpha \cdot (\text{Hess } \ell_\alpha).$$

For any  $v \in T_{\mathcal{X}} \text{Teich}(\Sigma_g)$ ,

$$\text{Hess } \mathcal{L}_{\mathcal{A}, \mathbf{c}}(v, v) = \sum_{\alpha \in \mathcal{A}} c_\alpha \cdot (\text{Hess } \ell_\alpha)(v, v) > 0$$

and so  $\text{Hess } \mathcal{L}_{\mathcal{A}, \mathbf{c}}$  is positive-definite. This also shows that  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}$  is strictly convex.

Let  $\mathbf{1}$  denote the element of  $\mathbb{R}_+^{\mathcal{A}}$  such that  $c_\alpha = 1$  for all  $\alpha \in \mathcal{A}$ . For  $\mathbf{c} = (c_\alpha) \in \mathbb{R}_+^{\mathcal{A}}$ , let  $c_{\min} := \min_{\alpha \in \mathcal{A}} c_\alpha$ . Then,

$$c_{\min} \mathcal{L}_{\mathcal{A}, \mathbf{1}} \leq \mathcal{L}_{\mathcal{A}, \mathbf{c}}.$$

Kerckhoff [Ker83, Lemma 3.1] states that the functions  $\mathcal{L}_{\mathcal{A}, \mathbf{1}}$  are proper. If  $K = [a, b] \subset \mathbb{R}_+$  is compact, then

$$(\mathcal{L}_{\mathcal{A}, \mathbf{c}})^{-1}(K) \subset (\mathcal{L}_{\mathcal{A}, \mathbf{1}})^{-1}[0, b/c_{\min}],$$

so  $(\mathcal{L}_{\mathcal{A}, \mathbf{c}})^{-1}(K)$  is a closed subset of a compact set, hence is compact. Thus,  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}$  is proper.

This proves (1) in the statement of the theorem.

If  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}$  has distinct critical points  $x_0$  and  $x'_0$  in  $\text{Teich}(\Sigma_g)$ , then these are local minima of  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}$  since  $\text{Hess } \mathcal{L}_{\mathcal{A}, \mathbf{c}}$  is positive definite at both  $x_0$  and  $x'_0$ . Without loss of generality, assume  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}(x'_0) \leq \mathcal{L}_{\mathcal{A}, \mathbf{c}}(x_0)$ . However, by strict convexity, this is impossible. Let  $\gamma$  be the unique geodesic with  $\gamma(0) = x_0$  and  $\gamma(1) = x'_0$ . Then

$$\mathcal{L}_{\mathcal{A}, \mathbf{c}}(\gamma(t)) < (1-t)\mathcal{L}_{\mathcal{A}, \mathbf{c}}(x_0) + t\mathcal{L}_{\mathcal{A}, \mathbf{c}}(x'_0) \leq \mathcal{L}_{\mathcal{A}, \mathbf{c}}(x_0)$$

for all  $t \in (0, 1]$ , contradicting the fact that  $x_0$  must be a local minimum. Hence  $x_0 = x'_0$  and  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}$  has a unique critical point in  $\text{Teich}(\Sigma_g)$ , denoted  $x_0$ . This proves property (2).

Since the components of  $\mathcal{H}_g$  are totally geodesic in the WP metric, the same argument shows that the restriction  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}|_H$  will have a unique critical point, denoted  $x_H$ , for each component  $H$  of  $\mathcal{H}_g$ . This proves property (3) of the theorem. Thus, properties (1) through (3) of the theorem above are satisfied by the function  $\mathcal{L}_{\mathcal{A}, \mathbf{c}}$  for any value of  $\mathbf{c}$ .

Let  $S = \{H : H \text{ is a component of } \mathcal{H}_g\} \cup \{0\}$ . For each pair  $i, j \in S$  of distinct elements, there is an open dense subset  $U_{i,j}$  of  $\mathbb{R}_+^{\mathcal{A}}$  given by

$$U_{i,j} = \left\{ \mathbf{c} \in \mathbb{R}_+^{\mathcal{A}} : \mathcal{L}_{\mathcal{A}, \mathbf{c}}(x_i) \neq \mathcal{L}_{\mathcal{A}, \mathbf{c}}(x_j) \right\}.$$

By the Baire Category Theorem,  $\bigcap_{i \neq j} U_{i,j}$  is open and dense in  $\mathbb{R}_+^{\mathcal{A}}$ . Let  $\mathbf{c}' \in \bigcap_{i \neq j} U_{i,j}$ . We now define  $f := \mathcal{L}_{\mathcal{A}, \mathbf{c}'}$ . Then,  $f$  satisfies property (4).

Lastly, we wish to show that  $f(S)$  is discrete. Choose a neighborhood  $U_0$  of  $x_0$  and  $U_H$  of  $x_H$ , for each component  $H$  of  $\mathcal{H}_g$  which are mutually disjoint. Properness of  $f$  then implies that  $f(S)$  is discrete. This shows that  $f$  satisfies property (5).  $\square$

### 3.2 Relative Morse theory of the pair $(\text{Teich}(\Sigma_g), \mathcal{H}_g)$

The goal of this section is to prove Theorem 1.0.2. The idea is that the Morse function  $f$  found in Lemma 3.1.1 may be used to determine a handle decomposition of both  $\mathcal{H}_g$  and  $\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g$ . For a reference on relative Morse theory, see e.g. [Sha88, Section 3].

**Theorem 1.0.2.** *Let  $g \geq 3$ . The hyperelliptic complement  $\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g$  has the homotopy type of a wedge  $\bigvee_{i=1}^{\infty} S^n$  of infinitely many  $n$ -spheres, where  $n = 2g - 5$ .*

Note that since every curve of genus  $g = 2$  is hyperelliptic,  $\text{Teich}(\Sigma_2) \setminus \mathcal{H}_2 = \emptyset$ . The proof of Theorem 1.0.2 is similar to Mess's proof that the image of the period mapping on  $\text{Teich}(\Sigma_2)$  has the homotopy type of an infinite wedge of circles [Mes92, Proposition 4]. We now prove Theorem 1.0.2.

*Proof.* The idea behind relative Morse theory is that such a function as given by Lemma 3.1.1 can be used to determine a handle decomposition not only of  $\mathcal{H}_g$ , but of its complement  $\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g$ . Let  $f$  be the function that satisfies the conclusion of Lemma 3.1.1. We let  $x_0$  denote the unique minimum point of  $f$  in  $\text{Teich}(\Sigma_g)$ . For each component  $H$  of  $\mathcal{H}_g$ , let  $x_H$  denote the unique critical point of  $f|_H$ . We refer to  $x_0$  as a *critical point of  $f$  of type I* and each  $x_H$  are referred to as *critical points of  $f$  of type II*. The values  $c_0 = f(x_0)$  and  $c_H = f(x_H)$  are called *critical values of type I* and *II*, respectively.

For  $r$  a real number, let  $X_r := \{\mathcal{X} \in \text{Teich}(\Sigma_g) : f(\mathcal{X}) \leq r\}$ . If  $(c_0, c_0 + \epsilon]$  contains no type II critical values, then  $X_{c_0+\epsilon} \setminus \mathcal{H}_g$  is diffeomorphic to a 0-handle, i.e. a closed ball. Consider an arbitrary interval  $[a, b] \subset \mathbb{R}$ . If  $[a, b]$  contains no critical value of type I or II of  $f$ , then  $X_a \setminus \mathcal{H}_g$  is diffeomorphic to  $X_b \setminus \mathcal{H}_g$ . To see this, we can construct a vector field  $V$  which is equal to  $\text{grad}(f)$  outside a neighborhood of  $\mathcal{H}_g$  and such that  $V|_{\mathcal{H}_g}$  is equal to  $\text{grad}(f|_{\mathcal{H}_g})$ . The flow along this vector field gives the required diffeomorphism.

Let  $x$  be a critical point of type II, and let  $c = f(x)$ . By Lemma 3.1.1, the set of critical values of  $f$  is discrete, so there exists some  $\epsilon > 0$  such that  $[c - \epsilon, c + \epsilon]$  contains no other critical values of  $f$ . We wish to show that  $X_{c+\epsilon} \setminus \mathcal{H}_g$  is diffeomorphic to  $X_{c-\epsilon} \setminus \mathcal{H}_g$  with an  $n$ -handle attached, where  $n = 2g - 5$  (see Figure 2).

Let  $H$  be the component of  $\mathcal{H}_g$  containing  $x$ . There exists a coordinate system  $(u, y) \in \mathbb{R}^{2g-4} \times \mathbb{R}^{4g-2}$  in a neighborhood  $U$  of  $x$  such that [Sha88, 3.3]

1.  $U \cap H$  is given by  $u = 0$ ,
2.  $f = c + \|y\|^2$  on  $U \cap H$ .

The coordinates  $y$  are “tangent” coordinates to  $H$  and the coordinates  $u$  are “normal” coordinates to  $H$ . Note that since  $H$  has complex dimension  $2g - 1$ , it has real dimension  $4g - 2$ .

Then,  $X_{c+\epsilon} \setminus \mathcal{H}_g$  is diffeomorphic to the union of  $X_{c-\epsilon} \setminus \mathcal{H}_g$  and a tubular neighborhood

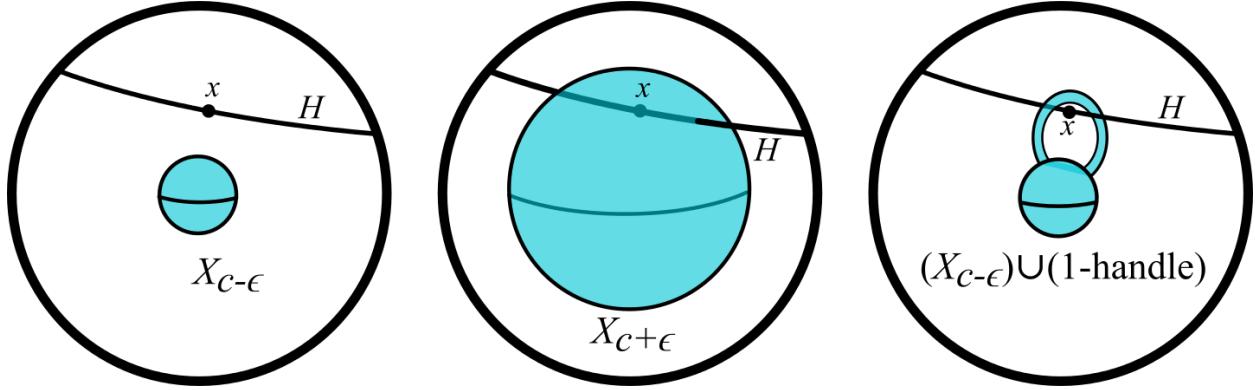


Figure 3.1: Start with  $X_{c-\epsilon}$ . As  $c - \epsilon$  increases to  $c + \epsilon$ , the level set  $X_{c+\epsilon}$  intersects exactly one more component  $H$  of  $\mathcal{H}_g$ , the component containing the critical point  $x$ . Here, the  $g = 3$  case is depicted.

of

$$\{(u, 0) : \|u\|^2 = \delta\},$$

for some small  $\delta > 0$ . This tubular neighborhood deformation retracts to the  $(2g - 5)$ -sphere  $\{(u, 0) : \|u\|^2 = \delta\}$ . Hence,  $\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g$  has a handle decomposition consisting of a 0-handle with infinitely many (one for each component of  $\mathcal{H}_g$ )  $n$ -handles attached, where  $n = 2g - 5$ .  $\square$

Let  $\mathcal{M}_g^{hyp}$  denote the moduli space of hyperelliptic curves of genus  $g$ . Since  $\text{Teich}(\Sigma_3) \setminus \mathcal{H}_3$  is a covering space for  $\mathcal{M}_3^{hyp}$ , the moduli space  $\mathcal{M}_3^{hyp}$  has contractible universal cover and  $\mathcal{M}_3^{hyp}$  is aspherical. If  $g \geq 4$  then  $\pi_n(\mathcal{M}_g^{hyp})$ , where  $n = 2g - 5 > 1$ , is an infinite rank abelian group. In particular,  $\mathcal{M}_g^{hyp}$  is not aspherical for  $g \geq 4$ .

We can be even more precise. The components of the hyperelliptic locus  $\mathcal{H}_g$  are enumerated by the set of cosets of the group  $\text{SMod}(\Sigma_g)$  in  $\text{Mod}(\Sigma_g)$ . Recall that  $\text{SMod}(\Sigma_g)$  is the centralizer in  $\text{Mod}(\Sigma_g)$  of a fixed hyperelliptic involution  $\tau \in \text{Mod}(\Sigma_g)$ . The group  $\text{SMod}(\Sigma_g)$  is called the *hyperelliptic mapping class group of genus  $g$* . If  $\eta$  is another hyperelliptic involution, then the centralizers of  $\tau$  and  $\eta$  are conjugate in  $\text{Mod}(\Sigma_g)$ .

**Corollary 3.2.1.** *Let  $g \geq 3$ . There is a homotopy equivalence*

$$\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g \cong \bigvee_{[h] \in \text{Mod}(\Sigma_g)/\text{SMod}(\Sigma_g)} S^{2g-5}.$$

*In particular,*

$$H_{2g-5}(\text{Teich}(\Sigma_g) \setminus \mathcal{H}_g; \mathbb{Z}) \cong \mathbb{Z}[\text{Mod}(\Sigma_g)/\text{SMod}(\Sigma_g)]$$

*as  $\text{Mod}(\Sigma_g)$ -modules.*

*Proof.* The mapping class group  $\text{Mod}(\Sigma_g)$  acts on the set of components of  $\mathcal{H}_g$  by permutations. Let  $H_0$  be a fixed component of  $\mathcal{H}_g$ . Then, there is a map

$$\text{Orb}(H_0) \rightarrow \text{Mod}(\Sigma_g)/\text{Stab}(H_0)$$

$$h \cdot H_0 \mapsto h\text{Stab}(H_0)$$

from the orbit  $\text{Orb}(H_0)$  of  $H_0$  to the left coset space of the stabilizer  $\text{Stab}(H_0)$ . It suffices to show that  $\text{Stab}(H_0) = \text{SMod}(\Sigma_g)$  and  $\text{Mod}(\Sigma_g)$  acts transitively on the set of components of  $\mathcal{H}_g$ .

First, since  $H_0 = \text{Fix}(\tau)$ , the mapping class  $h \in \text{Stab}(H_0)$  if and only if

$$h \cdot \text{Fix}(\tau) = \text{Fix}(h\tau h^{-1}) = \text{Fix}(\tau).$$

Since no hyperelliptic curve can have two distinct hyperelliptic involutions, it must follow that  $h\tau h^{-1} = \tau$  so  $h \in \text{SMod}(\Sigma_g)$ . Therefore,  $\text{Stab}(H_0) = \text{SMod}(\Sigma_g)$ .

Secondly, if  $H$  is any other component of  $\mathcal{H}_g$ , then  $H = \text{Fix}(\eta)$  for some hyperelliptic involution  $\eta \in \text{Mod}(\Sigma_g)$ . Since hyperelliptic involutions in  $\text{Mod}(\Sigma_g)$  are conjugate, there exists some  $h \in \text{SMod}(\Sigma_g)$  such that

$$H = \text{Fix}(\eta) = \text{Fix}(h\tau h^{-1}) = h \cdot \text{Fix}(\tau) = h \cdot H_0.$$

Therefore,  $\text{Mod}(\Sigma_g)$  acts transitively on the set of components of  $\mathcal{H}_g$ .  $\square$

# CHAPTER 4

## THE PARAMETER SPACE OF SMOOTH PLANE CURVES

In this chapter, we prove Proposition 4.1.2, showing that the cover of  $\mathcal{U}_d$  determined by the subgroup  $K_d$  of  $\pi_1(\mathcal{U}_d)$  carries the structure of a principal fiber bundle. This will be critical in the proof of Theorem 1.0.1 in Section 4.2.

### 4.1 Covers of $\mathcal{U}_d$ and principal fiber bundles

The main result of this section is to prove Proposition 4.1.2, exhibiting a cover of  $\mathcal{U}_d$  as a principal fiber bundle over a certain subspace of  $\text{Teich}(\Sigma_g)$ .

Associating each point of  $\mathcal{U}_d$  to the curve it determines gives rise to a map  $\varphi_d : \mathcal{U}_d \rightarrow \mathcal{M}_g$  into the moduli space of Riemann surfaces of genus  $g(d)$ , where  $g = g(d) := \binom{d-1}{2}$  by the degree-genus formula. Let  $\mathcal{M}_g^{pl}$  denote the image of this map. For  $d \geq 4$ , the locus  $\mathcal{M}_g^{pl} \subsetneq \mathcal{M}_g$  and for  $d = 3$ ,  $\mathcal{M}_1^{pl} = \mathcal{M}_1$ .

There is a (disconnected) covering  $\mathcal{U}_d^{mark}$  of  $\mathcal{U}_d$  defined as follows. A point  $(f, [h]) \in \mathcal{U}_d^{mark}$  is an ordered pair consisting of  $f \in \mathcal{U}_d$  and a homotopy class  $[h]$  of orientation-preserving homeomorphisms  $h : \Sigma_g \rightarrow V(f)$  of some fixed  $\Sigma_g$  with the complex curve  $V(f)$  given by  $f(x, y, z) = 0$ .

Let  $\pi_1(\mathcal{U}_d^{mark})$  be the fundamental group of a chosen component of  $\mathcal{U}_d^{mark}$ . Note that  $\pi_1(\mathcal{U}_d^{mark}) \cong K_d$ .

*Remark 4.1.1.* There is a commutative diagram

$$\begin{array}{ccc}
 \mathcal{U}_d^{mark} & \xrightarrow{\tilde{\varphi}_d} & \text{Teich}(\Sigma_g) \\
 \downarrow & & \downarrow \pi \\
 \mathcal{U}_d & \xrightarrow{\varphi_d} & \mathcal{M}_g
 \end{array}$$

The map  $\varphi_d : \mathcal{U}_d \rightarrow \mathcal{M}_g$  lifts to a map  $\tilde{\varphi}_d : \mathcal{U}_d^{mark} \rightarrow \text{Teich}(\Sigma_g)$  into Teichmüller space defined by

$$\varphi_d : (f, [h]) \mapsto [V(f), h].$$

Let  $\text{Teich}(\Sigma_g)^{pl}$  denote the image of  $\varphi_d$ .

Recall that a *principal  $G$ -bundle* is a fiber bundle  $P \rightarrow X$  with a  $G$ -action that acts freely and transitively on the fibers.

**Proposition 4.1.2.** *For  $d \geq 4$ , the map  $\tilde{\varphi}_d : \mathcal{U}_d^{mark} \rightarrow \text{Teich}(\Sigma_g)^{pl}$  is a principal  $\text{PGL}_3(\mathbb{C})$ -bundle.*

*Proof.* First,  $\text{PGL}_3(\mathbb{C})$  acts on  $\mathcal{U}_d^{mark}$  by  $g \cdot (f, [h]) = (g \cdot f, [g \circ h])$  where  $g \cdot f$  denotes the action of  $g$  on polynomials  $f(x, y, z)$ , by acting on the triple of variables  $(x, y, z)$ . This induces a map  $g : V(f) \rightarrow V(g \cdot f)$  and  $g \circ h$  is the composition of this map with the marking  $h : \Sigma_g \rightarrow V(f)$ .

This action is free. Indeed, if  $g \cdot (f, [h]) = (f, [h])$  then  $g \cdot f = f$  and  $[g \circ h] = [h]$ . Thus  $g$  induces an automorphism on the curve  $V(f)$ . Moreover, this automorphism acts trivially on the marking, hence trivially on  $H_1(V(f); \mathbb{Z})$ . An automorphism of  $V(f)$  acting trivially on homology must be the identity [FM12, Theorem 6.8]. The fixed set of any automorphism of  $\mathbb{P}^2$  is a linear subspace, so any  $g \in \text{PGL}_3(\mathbb{C})$  point-wise fixing a smooth quartic curve must be the identity automorphism.

Next, we show that this action is transitive on fibers. It suffices to show that if  $\tilde{\varphi}_d(f_1, [h_1]) = \tilde{\varphi}_d(f_2, [h_2])$ , then the  $(f_i, [h_i])$  lie in the same  $\text{PGL}_3(\mathbb{C})$ -orbit. By assumption,  $[V(f_1), h_1] = [V(f_2), h_2]$  and there is some biholomorphism  $\psi : V(f_1) \rightarrow V(f_2)$  such that  $[\psi \circ h_1] = [h_2]$ . Then the pullback of the hyperplane bundle  $H$  along the embeddings  $e_i : V(f_i) \rightarrow \mathbb{P}^2$  gives line bundles  $L_i := e_i^*(H)$  on  $V(f_i)$  of degree  $d$  with  $h^0(L_i) = 3$ .

A  $g_d^r$  *line bundle* is a line bundle  $L \rightarrow C$  such that  $\deg(L) = d$  and  $h^0(L) \geq r + 1$ . Smooth plane curves have a unique  $g_d^2$  given by the pullback of the hyperplane bundle [Ser87, Theorem

3.13]. Therefore,  $L_1$  and  $\psi^*L_2$  are isomorphic line bundles on  $V(f_1)$ .

For any smooth curve  $C$ , there is a correspondence between maps  $C \rightarrow \mathbb{P}^r$  up to the action of  $\mathrm{PGL}_{r+1}(\mathbb{C})$  and pairs  $(L, V)$  where  $L$  is a line bundle over  $C$  and  $V \subset H^0(C; L)$  is an  $(r+1)$ -dimensional subspace. The fact that there is a unique line bundle  $L$  on  $V(f_1)$  with  $h^0(L) \geq 3$  implies that there is only one such map  $V(f_1) \rightarrow \mathbb{P}^2$  up to the action of  $\mathrm{PGL}_3(\mathbb{C})$ . Therefore, the two embeddings  $e_1$  and  $e_2 \circ \psi$  are equivalent up to the action of  $\mathrm{PGL}_2(\mathbb{C})$ , i.e. there is some  $g \in \mathrm{PGL}_2(\mathbb{C})$  such that  $g \circ e_1 = e_2 \circ \psi$ . This implies that  $g \cdot f_1 = f_2$  and  $g : V(f_1) \rightarrow V(f_2)$  coincides with  $\psi$ . Thus,  $(f_1, [h_1])$  and  $(f_2, [h_2])$  lie in the same  $\mathrm{PGL}_3(\mathbb{C})$ -orbit.

Finally, it remains to prove local triviality. This is a consequence of a much more general fact that if  $G$  acts on a manifold  $P$  freely such that  $P/G$  is a manifold, then  $q : P \rightarrow P/G$  is locally trivial. Indeed, a local trivialization of  $q : P \rightarrow P/G$  can be built over any contractible subset  $U$  by first taking a section  $\sigma : U \rightarrow P$  and defining  $\varphi : q^{-1}(U) \rightarrow U \times G$  by  $\varphi(x) = (q(x), g(x))$ , where  $g(x) \in G$  is the unique element such that  $x = g(x) \cdot \sigma(q(x))$ .  $\square$

**Proposition 4.1.3.** *Let  $d \geq 3$  and  $g = \binom{d-1}{2}$ . The space  $\mathcal{U}_d^{\mathrm{mark}}$  has finitely many components. Consequently,  $\mathrm{Teich}(\Sigma_g)^{\mathrm{pl}}$  has finitely many components.*

*Proof.* A single component of  $\mathcal{U}_d^{\mathrm{mark}}$  is the connected covering space of  $\mathcal{U}_d$  corresponding to  $K_d$ . Hence, its deck transformation group is the image of the homomorphism  $\rho_d : \pi_1(\mathcal{U}_d) \rightarrow \mathrm{Mod}(\Sigma_g)$ . The components of  $\mathcal{U}_d^{\mathrm{mark}}$  are enumerated by the cosets of  $\mathrm{Im}(\rho_d)$  in  $\mathrm{Mod}(\Sigma_g)$ . It was shown in and [Sal19, Theorem A] that the index  $[\mathrm{Mod}(\Sigma_g) : \mathrm{Im}(\rho_d)] < \infty$ .  $\square$

## 4.2 The kernel of the geometric monodromy of the universal quartic

In this section, we prove Theorem 1.0.1.

**Theorem 1.0.1.** *The group  $K_4$  is isomorphic to  $F_\infty \times \mathbb{Z}/3\mathbb{Z}$ , where  $F_\infty$  is an infinite rank*

free group. Moreover,  $F_\infty$  has a free generating set in bijection with the set of cosets of the hyperelliptic mapping class group  $\text{SMod}(\Sigma_3)$ , and

$$H_1(K_4; \mathbb{Q}) \cong \mathbb{Q}[\text{Mod}(\Sigma_3)/\text{SMod}(\Sigma_3)]$$

as  $\text{Mod}(\Sigma_3)$ -modules.

*Proof of Theorem 1.0.1.* Classically,  $\text{Teich}(\Sigma_3)^{pl}$  is exactly the complement of the hyperelliptic locus  $\mathcal{H}_3$  in  $\text{Teich}(\Sigma_3)$ : the canonical map  $C \rightarrow \mathbb{P}^2$  is an embedding precisely when  $C$  is nonhyperelliptic [GH94, Pages 246-7]. Consider the following principal fiber bundle.

$$\begin{array}{ccc} \text{PGL}_3(\mathbb{C}) & \longrightarrow & \mathcal{U}_4^{mark} \\ & & \downarrow \varphi_4 \\ & & \text{Teich}(\Sigma_3) \setminus \mathcal{H}_3 \end{array}$$

Because  $\rho_4 : \pi_1(\mathcal{U}_4) \rightarrow \text{Mod}(\Sigma_3)$  is surjective [Kun08, Proposition 6.3],  $\mathcal{U}_4^{mark}$  is connected.

By Theorem 1.0.2,  $\text{Teich}(\Sigma_3) \setminus \mathcal{H}_3$  is homotopy equivalent to an infinite wedge of circles and, since  $\text{PGL}_3(\mathbb{C})$  is connected, there must exist some continuous section  $\sigma : \text{Teich}(\Sigma_3) \setminus \mathcal{H}_3 \rightarrow \mathcal{U}_4^{mark}$ . Because  $\varphi_4$  is a principal  $\text{PGL}_3(\mathbb{C})$ -bundle, the existence of such a section implies that  $\mathcal{U}_4^{mark}$  is homeomorphic to  $\text{PGL}_3(\mathbb{C}) \times (\text{Teich}(\Sigma_3) \setminus \mathcal{H}_3)$ , and so

$$\pi_i(\mathcal{U}_4^{mark}) = \begin{cases} \mathbb{Z}/3\mathbb{Z} \times F_\infty, & \text{for } i = 1 \\ \pi_i(\text{PGL}_3(\mathbb{C})), & \text{for } i > 1. \end{cases} \quad (4.2.1)$$

This also shows that  $\pi_i(\mathcal{U}_4) \cong \pi_i(\text{PGL}_3(\mathbb{C}))$  for  $i \geq 2$ .

We now wish to show that  $H_1(K_4; \mathbb{Q})$  is isomorphic to  $\mathbb{Q}[\text{Mod}(\Sigma_3)/\text{SMod}(\Sigma_3)]$  as  $\text{Mod}(\Sigma_3)$ -modules. The calculation of  $K_4 \cong \pi_1(\mathcal{U}_4^{mark})$  in equation 4.2.1 shows that the

projection

$$\mathcal{U}_4^{mark} \xrightarrow{\cong} \mathrm{PGL}_3(\mathbb{C}) \times (\mathrm{Teich}(\Sigma_3) \setminus \mathcal{H}_3) \rightarrow \mathrm{Teich}(\Sigma_3) \setminus \mathcal{H}_3$$

induces an isomorphism

$$H_1(K_4; \mathbb{Q}) \cong H_1(\mathrm{Teich}(\Sigma_3) \setminus \mathcal{H}_3; \mathbb{Q}).$$

The action of  $\mathrm{Mod}(\Sigma_3)$  on  $\mathcal{U}_4^{mark}$  commutes with the projection map

$$\mathcal{U}_4^{mark} \rightarrow \mathrm{Teich}(\Sigma_3) \setminus \mathcal{H}_3,$$

so that the above isomorphism of  $\mathbb{Q}$ -vector spaces is an isomorphism of  $\mathrm{Mod}(\Sigma_3)$ -modules.

The group  $H_1(\mathrm{Teich}(\Sigma_3) \setminus \mathcal{H}_3; \mathbb{Z})$  is the free abelian group on the set of cycles in  $\mathrm{Teich}(\Sigma_3) \setminus \mathcal{H}_3$  represented by meridians around the components of the hyperelliptic locus  $\mathcal{H}_3$ ; that is, the boundaries of disks transversely intersecting  $\mathcal{H}_3$  in a single point. Such cycles are in bijection with the cosets of  $\mathrm{Mod}(\Sigma_3)/\mathrm{SMod}(\Sigma_3)$  (see proof of Corollary 3.2.1). This bijection commutes with the action of  $\mathrm{Mod}(\Sigma_3)$  and therefore this  $\mathrm{Mod}(\Sigma_3)$ -module is isomorphic to the permutation representation  $\mathbb{Q}[\mathrm{Mod}(\Sigma_3)/\mathrm{SMod}(\Sigma_3)]$ .  $\square$

The following table shows  $\pi_i(\mathcal{U}_4) \cong \pi_i(\mathrm{PGL}_3(\mathbb{C}))$  for small values of  $i \geq 2$  (c.f. [MT64, Introduction], where we have used the fact that  $\mathrm{SL}_3(\mathbb{C})$  covers  $\mathrm{PGL}_3(\mathbb{C})$  and is homotopy equivalent to  $\mathrm{SU}(3)$ ).

$i$	2	3	4	5	6	7	8	9	10	11	12
$\pi_i(\mathcal{U}_4)$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	$\mathbb{Z}/6\mathbb{Z}$	0	$\mathbb{Z}/12\mathbb{Z}$	$\mathbb{Z}/3\mathbb{Z}$	$\mathbb{Z}/30\mathbb{Z}$	$\mathbb{Z}/4\mathbb{Z}$	$\mathbb{Z}/60\mathbb{Z}$

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